Cord blood vitamin D and the risk of acute lower respiratory infection in Indigenous infants in the Northern Territory

One fifth of Indigenous infants born in the Northern Territory are hospitalised with an acute lower respiratory infection (ALRI) during their first year of life.¹ Several international studies have reported an inverse relationship between cord blood vitamin D levels and infant respiratory infections.^{2–4} As exposure to sunshine is the most important influence on vitamin D status, there has been little consideration of the relationship between vitamin D status and disease in the tropical north of Australia.

Vitamin D is produced in the skin after exposure to sunlight. Subsequent hydroxylation in the liver yields the dominant circulating vitamin D metabolite, 25(OH)D₃. The discovery that vitamin D receptors are widely distributed throughout human tissues and that several cell types, including those of the immune system, can synthesise the active vitamin D metabolite (1,25(OH)₂D) from 25(OH)D₃ has prompted renewed interest in the role of vitamin D. Vitamin D is required for innate (antimicrobial peptide production) and adaptive (favours response by T_H2 effector T cells) immune responses.⁵ These may be particularly important in the respiratory tract of the developing infant, and perhaps relevant to the relationship between cord blood vitamin D levels and the risk of respiratory infection.^{2–4}

Circulating 25(OH)D₃ and the less common 25(OH)D₂ are together referred to as 25(OH)D. In the United States, vitamin D deficiency is defined as a serum 25(OH)D level under 50 nmol/L, and vitamin D insufficiency as levels of 50–75 nmol/L.⁶ In Australia, 25(OH)D levels of 50 nmol or more are considered sufficient, although higher levels are regarded as optimal.⁷

Abstract

Objectives: To assess vitamin D status in Indigenous mothers and infants in the Northern Territory, and to determine whether cord blood vitamin D levels are correlated with the risk of infant hospitalisation for acute lower respiratory infection (ALRI).

Design and participants: Within a nested cohort of 109 Indigenous mother–infant pairs recruited between 2006 and 2011, we used liquid chromatography–mass spectrometry to measure vitamin D (25(OH)D₃) levels in maternal blood during pregnancy ($n = 33$; median gestation, 32 weeks [range, 28–36 weeks]) and at birth ($n = 106$; median gestation, 39 weeks [range, 34–41 weeks]), in cord blood ($n = 84$; median gestation, 39 weeks [range, 36–41 weeks]), and in infant blood at age 7 months ($n = 37$; median age, 7.1 months [range, 6.6–8.1 months]).

Main outcome measure: ALRI hospitalisations during the first 12 months of infancy, identified using International Classification of Diseases coding (J09–J22, A37–A37.9).

Results: Compared with mean 25(OH)D₃ levels in maternal blood during pregnancy (104 nmol/L), mean levels were 23% lower in maternal blood at birth (80 nmol/L) and 48% lower in cord blood samples (54 nmol/L). The mean cord blood 25(OH)D₃ concentration in seven infants subsequently hospitalised for an ALRI was 37 nmol/L (95% CI, 25–48 nmol/L), lower than the 56 nmol/L (95% CI, 51–61 nmol/L) in the 77 infants who were not hospitalised with an ALRI ($P = 0.025$).

Conclusions: Cord blood 25(OH)D₃ concentrations were about half those in maternal blood during the third trimester of pregnancy (about 7 weeks earlier). Most cord blood levels (80%) were classified as vitamin D insufficient (< 75 nmol/L) by existing guidelines, and were lower among infants who were subsequently hospitalised with an ALRI.

Neonates and breastfed infants rely almost exclusively on maternal vitamin D.^{8,9} According to national population surveys, the prevalence of low 25(OH)D levels (< 50 nmol/L) in women during pregnancy varies from 10% among women in south-east Queensland¹⁰ to more than 80% in dark-skinned and/or veiled women in Melbourne, Victoria.¹¹ In Far North Queensland, a small study of pregnant women at mid-gestation (93 non-Indigenous and 23 Indigenous women) found that only eight (7%) had 25(OH)D values under 75 nmol/L.¹² While little is known about the vitamin D status of pregnant Indigenous women and children, dark skin is a risk factor for low vitamin D levels,⁷ and our recently published data indicate that about 40% of hospitalised Indigenous infants in the NT (median age,

7 months) had 25(OH)D₃ levels below 75 nmol/L.¹³

The aims of our study were to describe the natural history of vitamin D status from the third trimester of pregnancy to infancy (age 7 months), and to determine whether low vitamin D levels at birth (cord blood 25(OH)D₃) were associated with an increased risk of ALRI hospitalisation during the first year of life.

Methods

Participants and study design

From our randomised controlled trial of maternal pneumococcal vaccination (PneuMum; ClinicalTrials.gov NCT00714064), we established a cohort of 109 Indigenous mother–infant pairs from the Top End of the

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Northern Territory, in regions serviced exclusively by Royal Darwin Hospital. Participants were recruited from 2006 to 2011, and followed over several visits from the third trimester of pregnancy until the infant was 7 months old. Within this cohort, blood was available from 33 mothers during the third trimester of pregnancy (<36 weeks), from 106 mothers at birth, from 84 cord specimens (<72 hours after birth), and from 37 infants at age 7 months. Vitamin D levels were measured in each of these blood samples to assess temporal trends in vitamin D status during the birth period, and to establish the exposure of interest (cord blood vitamin D status) before ascertaining the primary outcome, ALRI hospitalisation before 12 months of age.

Vitamin D measurements

Serum 25(OH)D₃ and 25(OH)D₂ levels were measured using isotope dilution–liquid chromatography–tandem mass spectrometry (ID-LC-MS/MS), as described previously.^{13,14} Low, medium and high commercial controls (UTAK Laboratories) were used to monitor assay precision. Sample identity was concealed during testing. As 25(OH)D₂ levels were undetectable or negligible in all specimens, we defined 25(OH)D₃ levels below 75 nmol/L as vitamin D insufficiency,⁶ and below 50 nmol/L as vitamin D deficiency.⁷

ALRI hospitalisations

Infant ALRI hospitalisations during the first 12 months of life were identified by International Classification of Diseases, 10th revision, Australian modification (ICD-10-AM) codes recorded during admission to Royal Darwin Hospital (J09–J22, A37–A37.9).¹⁵ Hospital and study data were linked via the Hospital Registration Number, common to each dataset and unique to each infant. Diagnoses made during the birth admission (ICD-10-AM, Z37.0–Z39.2) and related admissions within 7 days of birth were excluded from the analysis.

Analysis

Vitamin D levels are reported for all available blood samples at each time point. Participant characteristics were assessed according to cord blood vitamin D status categories (<50 nmol/L, 50–74 nmol/L, ≥75 nmol/L) to assess potential confounders of the exposure. The Fisher exact test (proportional data) and Kruskal–Wallis test (continuous data) were used to assess differences between groups. The primary analysis was a comparison of cord blood 25(OH)D₃ levels in infants who were subsequently hospitalised with an ALRI with those of infants who were not. Student *t* tests were used to compare the normally distributed vitamin D data; *P* < 0.05 (two-tailed) was defined as statistically significant. With 84 cord blood samples and assuming that 20% of infants would be hospitalised with an ALRI¹ and that mean cord blood 25(OH)D₃ levels for healthy infants ranged between 50 and 75 nmol/L (standard deviation, 25 nmol/L), our analysis had 80% power to detect a difference in 25(OH)D₃ levels (between those of infants who were hospitalised for ALRI and of those who were not) of 20 nmol/L.

Ethics approval

The study was approved by the Human Research Ethics Committee of the NT Department of Health and by the Menzies School of Health Research (HREC 05/52, HREC-2012-1882). Written consent was obtained for access to each child's medical records and the analysis of their blood samples.

Results

Participant characteristics

In general, participant characteristics were similar across the cord blood 25(OH)D₃ categories, except that remote dwelling was associated with lower cord blood 25(OH)D₃ levels (Box 1). The median maternal age at recruitment was 24 years, and almost half (43%) reported smoking during pregnancy. Uptake of the influenza vaccine during pregnancy was low (14%). Most infants (91%) had received three doses of the

pneumococcal conjugate vaccine (PCV; 7-valent or 10-valent plus *Haemophilus influenzae* protein D) by 12 months of age.

Vitamin D levels

As assessed in maternal venous blood, the prevalence of vitamin D insufficiency was 21% (7 of 33) during the third trimester (median gestation time, 32 weeks; range, 28–36 weeks) and 45% (48 of 106) at birth (median gestation time, 39 weeks; range, 34–41 weeks) (Box 2). In cord blood (median gestation time, 39 weeks; range, 36–41 weeks), the prevalence of vitamin D insufficiency was 80% (67 of 84); 44% (37 of 84) had 25(OH)D₃ levels below 50 nmol/L, and 10% (8 of 84) below 25 nmol/L. The prevalence of vitamin D insufficiency among infants at the 7 month visit (median age, 7.1 months; range, 6.6–8.1 months) was 22% (8 of 37).

Considering all samples (unmatched), the relative difference in mean 25(OH)D₃ levels between maternal venous blood during the third trimester and at birth was 23% (104 nmol/L *v* 80 nmol/L) and between maternal venous and cord blood levels at birth 33% (80 nmol/L *v* 54 nmol/L) (Box 2; Box 3A). Overall, there was a 48% relative difference in 25(OH)D₃ levels between mothers' levels during the third trimester and those of cord blood. This trend in relative difference was similar in matched samples (data not shown). At birth, the 25(OH)D₃ concentrations of the 81 matched maternal venous and cord blood samples exhibited a linear correlation (*r* = 0.84; *P* < 0.001; Box 3B).

Vitamin D levels in urban and remote participants

The mean 25(OH)D₃ concentration was lower in remote than in urban participants during pregnancy, at birth, and at infant age 7 months (Box 4). The relative difference in 25(OH)D₃ concentration between maternal blood in the third trimester and cord blood in remote participants was 57% (87 nmol/L *v* 37 nmol/L), compared with 46% in urban participants (108 nmol/L *v* 58 nmol/L). The cord blood 25(OH)D₃ concentrations of all 14 remote infants were below

1 Participant characteristics, by cord blood 25(OH)D₃ status

	Total	Cord blood 25(OH)D ₃ levels (nmol/L)			P
		< 50	50–74	≥ 75	
Number	84	37	30	17	
Maternal characteristics					
Median maternal age, years (range)	24 (17–37)	25 (17–37)	23 (17–33)	26 (17–33)	0.197
Household occupancy, people (range)	4 (1–11)	5 (2–11)	4 (1–11)	4 (3–10)	0.117
Remote community residence	14 (17%)	12 (32%)	2 (7%)	0	0.003
Smoker	36 (43%)	19 (51%)	8 (27%)	9 (53%)	0.086
Influenza vaccine during pregnancy	12 (14%)	6 (16%)	5 (17%)	1 (6%)	0.613
Infant characteristics: at birth					
Boys	47 (56%)	20 (54%)	17 (57%)	10 (59%)	0.960
Low birth weight (< 2500 g)	2 (2%)	1 (3%)	0	1 (6%)	0.491
Premature (< 37 weeks)	1 (1%)	1 (3%)	0	0	1.000
Special or intensive care admission	9 (11%)	4 (11%)	4 (13%)	4 (6%)	0.901
Infant characteristics: after the birth					
Exclusively breastfed					
1 month after the birth	48 (47%)	18 (49%)	18 (60%)	12 (71%)	0.290
2 months after the birth	34 (40%)	14 (38%)	12 (40%)	8 (47%)	0.807
7 months after the birth	31 (37%)	16 (43%)	9 (30%)	6 (35%)	0.536
Mother smoking*					
1 month after the birth	35 (46%)	19 (61%)	7 (25%)	9 (53%)	0.016
2 months after the birth	31 (45%)	15 (56%)	9 (32%)	7 (50%)	0.196
7 months after the birth	38 (55%)	17 (57%)	13 (50%)	8 (62%)	0.856
Vaccination					
2 doses of PCV by 7 months	57 (68%)	25 (68%)	22 (73%)	10 (59%)	0.595
3 doses of PCV by 12 months	68 (91%)	31 (89%)	24 (96%)	13 (87%)	0.591
23vPPV during pregnancy	24 (29%)	8 (22%)	9 (30%)	7 (41%)	0.322
23vPPV at birth	29 (35%)	11 (30%)	14 (47%)	4 (24%)	0.221

23vPPV = 23-valent pneumococcal polysaccharide vaccine; PCV = pneumococcal conjugate vaccine (the 7-valent pneumococcal conjugate vaccine [7vPCV] or the 10-valent pneumococcal *Haemophilus influenzae* protein D conjugate vaccine [10vPHID-CV]). All figures are numbers of individuals and column percentages unless otherwise indicated. Data were compared across categories using the Fisher exact test for proportional data and the Kruskal–Wallis test for continuous data. * Smoking data were unavailable for eight mothers at 1 month and for 15 mothers at 2 and 7 months post partum. ♦

75 nmol/L; 86% (12 of 14) were under 50 nmol/L and 14% (2 of 14) were under 25 nmol/L.

Vitamin D and ALRI hospitalisation

Of the 84 infants for whom cord blood samples were available, seven (8%) were hospitalised with an ALRI during their first 12 months of life; the median age at initial admission was 5.3 months (range, 1.9–7.5 months). In our primary analysis (Box 5), the mean cord blood 25(OH)D₃ concentration in these seven infants was 37 nmol/L (95% CI, 25–48 nmol/L), compared with 56 nmol/L (95% CI,

51–61 nmol/L) for the 77 infants not hospitalised for an ALRI ($P = 0.025$). Mean 25(OH)D₃ levels in maternal venous blood at birth were similarly lower in the mothers of infants subsequently hospitalised with an ALRI than in the mothers of those not hospitalised for an ALRI (Box 5).

ALRI among urban and remote participants

The proportion of remotely dwelling infants who were hospitalised with an ALRI (4 of 14, 29%) was higher than for urban infants (3 of 70, 4%; $P = 0.013$). The low number of ALRI hospitalisations was insufficient for a

model including remote dwelling as a confounding factor.

Discussion

This is the first study to longitudinally assess vitamin D levels in pregnant Indigenous mothers and their infants. We found that the mean 25(OH)D₃ level in cord blood was about half (48%) that of maternal blood during the third trimester of pregnancy (about 7 weeks earlier), a difference due equally to a decline in maternal levels late in pregnancy and to a gradient across the placenta. We also found that the 25(OH)D₃

2 Serum vitamin D (25(OH)D₃) levels measured during pregnancy, at birth, and in the infant at age 7 months

Visit	Blood sample	n	Median age (range)	25(OH)D ₃ levels (nmol/L)				
				Mean (95% CI)	Relative difference*	< 50	50–74	≥ 75
Pregnancy	Maternal	33	32 weeks [†] (28–36 weeks)	104 (93–115)	Base	1 (3%)	6 (18%)	26 (79%)
Birth	Maternal	106	39 weeks [†] (34–41 weeks)	80 (74–86)	–23%	18 (17%)	30 (28%)	58 (55%)
Birth	Cord	84	39 weeks [†] (36–41 weeks)	54 (50–59)	–48%	37 (44%)	30 (36%)	17 (20%)
7 months	Infant	37	7.1 months [‡] (6.6–8.1 months)	93 (86–101)	–10%	1 (3%)	7 (19%)	29 (78%)

* Compared with maternal vitamin D blood concentration during pregnancy. † Gestational age. ‡ Infant age. ♦

concentration was less than 75 nmol/L in 80% of cord blood samples, and that the mean cord blood 25(OH)D₃ concentration was lower in infants who were subsequently hospitalised with an ALRI than in those who were not (37 nmol/L *v* 56 nmol/L; *P* = 0.025). This comparison of cord blood vitamin D levels according to ALRI hospitalisation outcome should be interpreted with caution, however, given the small number of ALRI hospitalisations (seven) and an inability to adequately investigate potential confounders, such as remote dwelling. This characteristic was associated with both lower cord blood vitamin D levels and a higher proportion of ALRI hospitalisations, and may have independently caused both low vitamin D levels and increased risk of ALRI hospitalisation. Further, this study did not measure specific factors known to influence vitamin D status, such as skin pigmentation, time spent outdoors, and diet. Despite the limitations of this study, our findings warrant further investigation.

Physiological changes in vitamin D metabolism occur during pregnancy to support the increased calcium demands of the fetus, but the specific mechanisms are not fully understood. Levels of vitamin D-binding protein and the active vitamin D metabolite, 1,25(OH)₂D, increase steadily during pregnancy, while concentrations of 25(OH)D₃ generally remain stable.^{16,17} In our study, maternal 25(OH)D₃ concentrations fell both late in pregnancy (by 23%) and across the placenta (by 33%). The observed difference in 25(OH)D₃ concentrations between venous (maternal) and cord blood at birth is

3 (A) Maternal and infant vitamin D levels.* (B) Correlation between 84 matched maternal venous and cord blood vitamin D measurements at birth

* Dashed lines indicate reference 25(OH)D₃ values for vitamin D deficiency (< 50 nmol/L) and insufficiency (< 75 nmol/L). ♦

4 Vitamin D levels (nmol/L) for urban and remote dwelling participants

Visit	Blood sample	n	Urban			n	Remote		
			Median age (range)	Mean 25(OH)D ₃ levels (95% CI)	Relative difference* (%)		Median age (range)	Mean 25(OH)D ₃ levels (95% CI)	Relative difference* (%)
Pregnancy	Maternal	26	33 weeks [†] (30–36)	108 (95–122)	Base	7	32 weeks [†] (28–35)	87 (68–107)	Base
Birth	Maternal	85	39 weeks [†] (35–41)	86 (79–92)	–23%	21	39 weeks [†] (34–41)	57 (49–66)	–34%
Birth	Cord	70	39 weeks [†] (36–41)	58 (53–63)	–46%	14	39 weeks [†] (37–41)	37 (30–43)	–57%
7 months	Infant	33	7.1 months [‡] (6.8–8.1)	94 (86–101)	–13%	4	7.1 months [‡] (6.6–8.1)	90 (56–124)	+3%

*Compared with maternal vitamin D blood concentration during pregnancy. †Gestational age. ‡Infant age. ◆

consistent with other studies;¹⁸ however, few have specifically characterised 25(OH)D₃ levels late in pregnancy. In 2003, a small study of 20 Hungarian women found no difference in mean maternal 25(OH)D₃ levels between 22–24 weeks' gestation and birth,¹⁹ while a study of 14 healthy French women found a 20% decline in mean 25(OH)D₃ levels between 36 weeks' gestation (46.8 nmol/L) and birth (37.4 nmol/L).²⁰ As there is little seasonal variation in 25(OH)D₃ levels in the tropical NT,¹³ the drop in late pregnancy may reflect natural progression, perhaps related to maternal–fetal immune tolerance or

neonatal immune development,²¹ increased calcium demands of the growing fetus,²² or the emergence of risk factors, such as increased body mass index or more time spent indoors.⁷

The cord blood vitamin D data in our study suggest that 80% of infants were born with vitamin D insufficiency (<75 nmol/L), 44% with mild deficiency (<50 nmol/L), and 10% with moderate deficiency (<25 nmol/L). The significance of these definitions for the neonate, however, is unclear, and more work is needed to define vitamin D reference ranges in cord

blood. Vitamin D status was generally normal by infant age 7 months, the next sampling point.

Concordant with the trends in our data, a recent trial of maternal-plus-infant vitamin D supplementation for the prevention of deficiency among New Zealand infants⁸ showed that mean 25(OH)D levels in the placebo group were higher in mothers at 36 weeks' gestation (50 nmol/L) than in cord blood (33 nmol/L), and that infant levels steadily increased through ages 2 (50 nmol/L), 4 (75 nmol/L) and 6 months (78 nmol/L). As the authors did not report maternal 25(OH)D levels at birth, it was not possible to determine whether the drop between 36 weeks' gestation and cord blood was the result of a maternal decline or of the placental differential. Compliant daily maternal (from 27 weeks' gestation to birth) and infant supplementation (from birth to age 6 months) at low (1000 and 400 IU/day respectively) and high doses (2000 and 800 IU/day respectively) increased mean cord blood 25(OH)D₃ levels to 60 nmol/L and 65 nmol/L respectively (*v* 33 nmol/L in placebo-treated participants); mean infant 25(OH)D₃ levels of 75 nmol/L or more were maintained at each of the 2, 4 and 6 months sampling points.

Among the participants who contributed a cord blood sample to our study, there were fewer infant ALRI hospitalisations in the first 12 months of life (8%) than predicted by historical NT data (22%).¹ As ALRI hospitalisation rates are highest among remote and Central Australian infants,¹ this difference was probably caused by the

5 Mean vitamin D levels during pregnancy, at birth, and in the infant at age 7 months, according to infant hospitalisation with an acute lower respiratory infection (ALRI) during the first 12 months of life

Only one maternal vitamin D measurement during the third trimester of pregnancy was associated with an infant ALRI hospitalisation, so that there is no confidence interval for the open triangle. Only two infants with vitamin D measurements at 7 months were hospitalised with an ALRI, so that the upper and lower confidence boundaries around the open triangle are very wide (exceeding the graph scale), as indicated by the arrows. ◆

over-representation of Top End and urban infants in our cohort (Top End, 100%; urban, 83%) compared with the NT-wide historical data (Top End, 71%, urban, 39%). Nevertheless, cord blood 25(OH)D₃ concentrations were lower in infants who were subsequently hospitalised with an ALRI than in those who were not. We could not reliably adjust our analysis to account for remote dwelling as a confounder because of the low number of ALRI hospitalisations, but our unadjusted findings are consistent with those of several other studies. In the Netherlands, cord blood 25(OH)D concentration was lower in infants who developed a respiratory syncytial virus-associated ALRI during their first 12 months than in controls (65 nmol/L *v* 84 nmol/L; *P* = 0.009);³ in New Zealand, lower cord blood 25(OH)D concentration was associated with an increased risk of any respiratory infection by 3 months of age (odds ratios, 1.00 for ≥ 75 nmol/L; 1.39 for 25–74 nmol/L; 2.16 for < 25 nmol/L).² In a Korean study, 90% of 525 cord blood samples tested had 25(OH)D levels below 75 nmol/L, and reduced cord blood 25(OH)D concentration was strongly associated with increased risk of acute nasopharyngitis during the first 6 months of life.⁴ In their recent randomised controlled trial of vitamin D supplementation, Grant and colleagues²³ audited health care visits by children (to age 18 months) as a secondary outcome; infants in the high dose group (87%; 66 of 76)

but not the low dose supplementation group (95%; 76 of 80) had significantly fewer health care presentations for acute respiratory infections than infants in the placebo group (99%; 79 of 80).

Although not all studies support an inverse association between vitamin D levels and ALRI risk, a supplementation strategy beginning in the third trimester of pregnancy may be useful in preventing both vitamin D insufficiency and subsequent acute respiratory infections in Indigenous neonates in the NT. Acute respiratory infections are endemic in remote Indigenous communities because of factors such as overcrowding and exposure to tobacco smoke.²⁴ However, it is less obvious why remote participants in our study had lower 25(OH)D₃ levels during pregnancy and at birth, or why the relative difference in mean concentrations in maternal blood at 30–36 weeks' gestation and in cord blood was greater than in their urban counterparts (remote, –57% *v* urban, –48%). Similar vitamin D levels in urban and remote infants at age 7 months suggest that the negative influence of remoteness on vitamin D levels was confined to the mothers. As the climate and time spent outdoors are likely to be similar for urban and remote participants, risk factors other than exposure to sunlight require further investigation. Interestingly, vitamin D has also been found to be a negative acute phase reactant that is

depleted after an inflammatory insult.²⁵ Lower vitamin D levels seen among remote participants may therefore be the result, rather than a cause, of their high burden of infection.

Conclusions

While only one in five Indigenous mothers had 25(OH)D₃ levels below 75 nmol/L midway through the third trimester of their pregnancy, four in five cord bloods tested had lower levels as the result of declining 25(OH)D₃ levels late in pregnancy and differences in levels across the placenta. The significance of low cord blood 25(OH)D₃ concentrations is unclear, but the seven infants hospitalised with an ALRI during their first 12 months of life had significantly lower levels than those not hospitalised with an ALRI. Our findings, in conjunction with emerging international data, support the need for further longitudinal studies and for randomised controlled trials of vitamin D supplementation for the prevention of infant ALRI.

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