

1 **COMPARING NUTRIENT BUDGETS IN INTEGRATED RICE-SHRIMP PONDS**
2 **AND SHRIMP GROW-OUT PONDS**

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11
12 **Abstract:**

13 Saltwater intrusion has become a severe issue for the Mekong Delta in Vietnam, especially
14 near the coastline. This issue has led to farmers diversifying from exclusively growing rice
15 to adopting a mixed rice-shrimp system with rice only cultivated in the wet season.
16 However, the nutrient (nitrogen, phosphorus and carbon) cycling and nutrient use
17 efficiency of this system remain poorly understood. To address this knowledge gap, we
18 examined nutrient budgets across 12 farms using integrated rice-shrimp ponds, and in
19 some cases semi-intensive or intensive shrimp grow-out ponds (*Penaeus monodon* or
20 *Penaeus vannamei*), over a two-year period (2014-2015). In terms of nutrient budgets, the
21 main nutrient input (92% of the N input, 57% P and 95% C) in the integrated rice-shrimp
22 ponds (IRSPs) came from intake water (excluding C from primary production), while
23 water discharge accounted for the highest output (75% of N output, 41% P, 57% C,
24 excluding C from respiration). The study showed that IRSPs had low dissolved oxygen and
25 high nutrient concentrations which may affect shrimp production. Conversely, salinity
26 levels in the wet season were too high for rice plants thereby affecting rice production.
27 Shrimp survival in the IRSPs was low over the two years ($6.3 \pm 2.2\%$), which resulted in
28 the low proportion of nutrients exported from the ponds as harvested shrimp (6% N, 5% P
29 and 10% C). In contrast, the shrimp grow-out ponds (SGOPs), had much higher survival
30 (77.1% for *P. vannamei* and 59.2% for *P. monodon*) in three of the six farms where the

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31 shrimp survived through to harvest. In these ponds, formulated feed was the highest
32 nutrient input (*P. vannamei*: 82% N, 75% P and 85% C; *P. monodon*: 75% N, 55% P and
33 77% C) with approximately a third of the nutrients being in the shrimp harvest. In our
34 study, nutrients in the IRSPs were used less efficiently than in SGOPs, hence mechanisms
35 to improve shrimp survival and production in IRSPs are urgently needed.

36 *Keywords*: carbon; grow-out ponds; nitrogen; phosphorus; prawns; rice-shrimp ponds.

37

38 **1. Introduction**

39 Farmed shrimp are the most valuable commodity for Vietnam and account for 42% of the
40 country's earnings from seafood production, and approximately 76% of Vietnam's shrimp
41 production occurs in the Mekong Delta (ADB, 2013). The Mekong River and its tributaries
42 are also critical areas for rice production, and the river system and its farmlands are known
43 as the "Rice Bowl" of Vietnam (Dang and Danh, 2008). However, in recent decades, sea
44 level rise, river flow regulation and increased water consumption have increased water
45 salinity to the point where it is becoming a serious problem for rice production (Tho et al.,
46 2013). Hence, in some regions, the canals and farms have freshwater in the rainy season
47 but higher salinity in the dry season; consequently, rice is increasingly difficult to grow in
48 the dry season. Integrated rice-shrimp farming has been promoted given that dry season
49 conditions are suitable for shrimp production when rice crops might struggle or fail under
50 higher salinities. This farming system involves farming rice in the wet season with shrimp
51 culture in the same system during the dry season. This practice has increased from around
52 40,000 ha in 2000 (Brennan et al., 2002; Preston and Clayton, 2003) to 160,000 ha in 2016
53 and has been speculated a rise to 250,000 ha by 2030 (Tuan et al., 2016). Despite this,
54 there have been few studies on the sustainability of these systems (Leigh et al., 2017).

55 An understanding of the nutrient budget, by quantifying nutrient inputs and outputs, and
56 hence the efficiency of nutrient utilization, is critical to determine the sustainability of rice-
57 shrimp farming systems. However, to date, this has not been addressed. There are many
58 studies of nutrient budgets in a range of aquaculture systems, especially for shrimp ponds.
59 For example, nutrient budgets have been determined for intensive shrimp ponds in
60 Thailand (Briggs and Funge-Smith, 1994; Thakur and Lin, 2003), extensive shrimp ponds
61 in Bangladesh (Rouf et al., 2012; Wahab et al., 2003), semi-intensive shrimp farms in

62 Mexico (Paez-Osuna et al., 1997; Paez-Osuna et al., 1999; Miranda et al., 2009), and semi-
63 intensive shrimp farms in Australia (Jackson et al., 2003). These studies have found that
64 feed was the primary source of nitrogen (N), phosphorus (P), and carbon (C) in these
65 ponds with less than 17% being assimilated by shrimp (Briggs and Funge-Smith, 1994;
66 Islam et al., 2004; Jackson et al., 2003; Miranda et al., 2009). In culture systems with low
67 water exchange, waterborne loss of nutrients is less important than loss into the bed
68 sediments of the pond due to the rapid accumulation of sludge (Audelo-Naranjo et al.,
69 2010; Kittiwonich et al., 2012; Qi et al., 2001; Thakur and Lin, 2003). Hence, a significant
70 proportion of nutrients received in ponds ends up settling on the pond bottom and being
71 discharged (Huy and Maeda, 2015; Sun and Boyd, 2013; Xia et al., 2004).

72 Nutrient budgets provide a means to quantify potential impacts of a pond management
73 strategies to improve the efficiency of production. There remains a gap in our knowledge
74 of nutrient budgets for integrated rice-shrimp ponds (IRSPs). Therefore, in this study, we
75 compared nutrient budgets in shrimp grow-out ponds (SGOPs) with adjacent IRSPs to
76 determine dominant nutrient inputs and outputs, and the relative effectiveness of nutrient
77 utilization by shrimp. This information is a fundamental step in improving food utilization
78 efficiency, water quality and biogeochemical processes of the farming practices.

79

80 **2. Materials and methods**

81 **2.1. Study area**

82 The study focused on 12 farms along a canal in the Cai Nuoc District, Ca Mau Province,
83 Vietnam from February 2014 to January 2016 (Fig. 1). There were six farms (C1-C6) using
84 only IRSPs, with a platform (70-80% of area) for rice growing, and surrounding ditch (20-
85 30% of area) for water management and shrimp farming. An additional six farms (M1-M6)
86 were used to trial a combination of the IRSPs, plus newly constructed SGOPs with shrimp
87 grown from semi-intensive to intensive conditions. IRSPs ranged in size from 1.2 to 3.5 ha,
88 with water depth in the ditch typically 1.0-1.4 m, and for the platform 0.1-0.4 m. The
89 SGOPs were 0.2-0.3 ha in area, and 1.1-1.5 m deep (Fig. 2).

90 There are two main seasons in the region: the dry season from December to April, and the
91 wet (rainy) season from May/June to October/November (Leigh et al., 2017). The hottest

92 period is typically between April and May, while the wettest period is from September to
93 October/November. During the rainy season, water salinity in this region drops gradually
94 from around 20 to 4, and may become fresh (Hoanh et al., 2016). The area experiences
95 annual flooding due to its low elevation. The air temperature ranges from 24 to 34⁰C, and
96 the average monthly rainfall ranges from 0 mm in the dry season to 250 mm in the wet
97 season, with annual rainfall around 2300 mm (ADB, 2013).

98 **2.2. Pond management**

99 The first steps of preparation for the IRSP included cleaning and reinforcing the pond
100 bank, discharging the water in the ditch through a sluice gate, and transferring sludge in the
101 ditch to the platform. After that, lime was added to the pond (using calcium oxide, a dose
102 of 1 t ha⁻¹ ditch⁻¹ and 500 kg ha⁻¹ platform⁻¹), then the platform was dried for 5-7 days.
103 Approximately 15-20 days later, water was added from the canal via a filter bag if the
104 salinity of the supply channel was suitable (> 10) or via a settling pond. Unwanted fish
105 were killed using Rotenone, and water was disinfected using iodine added at 0.5 mg L⁻¹.

106 Before shrimp stocking, the SGOP was also prepared by cleaning and reinforcing the
107 bank, draining the pond to dry the sediment, and removing sludge on the bottom layer.
108 Next, the pond was fenced with nets to prevent small crabs from entering before it was
109 limed (using calcium oxide, dose: 1-2 t ha⁻¹), and dried from 7-10 days. The total time for
110 pond preparation (removing sludge, drying pond and liming) was one month. When
111 salinity of the supply channel was suitable, water was pumped through a filter bag into the
112 pond. The depth of water was about 1.2 to 1.6 m. From 3 to 5 days after filling, water was
113 disinfected by using benzalkonium chloride (1.5 mg L⁻¹). A few days later, fertilizer was
114 added (using di-ammonium phosphate or nitrogen phosphorus potassium, dose: 2-3 kg
115 1,000 m⁻³), and conducted continuously within 2-3 days. Lime and dolomite were typically
116 added. A few days before stocking, probiotics (BioShrimp-RIA2, dose: 1.0 L 1,000 m⁻²)
117 were also added, and some basic physico-chemical parameters were monitored to ensure
118 they were in an appropriate range: pH (7.5-8.5), alkalinity (80-120 mg L⁻¹), salinity (> 8
119 for stocking black tiger shrimp), Secchi disc depth (25-35 cm), and colour of water (light
120 blue, light yellow, blue yellow, yellow green, and light brown) (Boyd, 1995).

121 A key difference between the SGOPs and the IRSPs was the shrimp stocking density and
122 the resulting need for formulated feed. SGOPs were stocked at a density of 15 post larvae

123 m² for *P. monodon* (mostly in the dry season) or 30 post larvae m² for *P. vannamei*
124 (mainly in the wet season) while IRSPs were stocked with *P. monodon* with a mean
125 density of 2 post larvae m². SGOPs were stocked once early in the dry season
126 (*P. monodon*) and once at the beginning of the wet season (*P. vannamei*). For the IRSPs,
127 stocking occurred every 2-3 months during the two-year period over both the wet and dry
128 season. Mud crabs (*Scylla paramamosain*) were also stocked in the IRSPs having an
129 estimated density of 0.5 crab m². Formulated feed (Tomboy, UP) was added to SGOPs
130 while shrimp in the IRSPs consumed only natural food present in those ponds.

131 Paddlewheels (Motor 3HP or diesel engines) were used in the SGOPs, whereas IRSPs were
132 not aerated. Two paddlewheels were typically installed in each SGOP so that aerators
133 could supply enough oxygen for shrimp, especially in the rainy weather, during the night
134 and in periods of chemical treatment (Boyd, 1998).

135 Pumping times for exchanging water from both SGOPs and IRSPs were recorded and the
136 pumping times correlated with flow rates to determine the volume of water exchanges.
137 Additionally, the water depth in the ditch and platform of IRSPs, and the water depth of
138 SGOPs were recorded throughout the growth season. When this information was combined
139 with the area of the farm, the volumes of water could be calculated.

140 **2.3. Shrimp harvest**

141 For IRSPs, trap nets with a large mesh size were used for partial harvests throughout the
142 year to ensure that only shrimp greater than 20 g were caught and removed from the ponds.
143 At the end of the year, the ponds were drained, and additional shrimp were harvested using
144 trap or seine nets. Fifty shrimp were weighed at each harvest to estimate the total biomass
145 and mean weight. In addition to the shrimp, mud crabs were occasionally harvested and
146 biomass recorded.

147 At the end of culture period, SGOPs were drained, and shrimp were caught using large
148 seine nets and subsets of animals weighed. The feed conversion ratio (FCR) was calculated
149 as well as the survival and total biomass of shrimp. The FCR was calculated as total weight
150 of dry feed given divided by the total weight gain. Survival was determined as the number
151 of shrimp caught divided by the initial number of shrimp stocked in each pond, and total
152 biomass as final total wet weight per crop.

153 **2.4. Water sampling and analyses**

154 Physico-chemical parameters, i.e. temperature, salinity and dissolved oxygen (DO), were
155 measured weekly using calibrated loggers (Thermocron ibuttons, Odyssey conductivity
156 probes). Water samples for nutrient analyses were collected monthly. This involved
157 collecting surface water samples with a bucket of the inlet water, and each ditch in the
158 IRSP, as well as each SGOP. Samples were taken at five different locations in IRSPs and
159 SGOPs, and for inlet water using a 1.0 L bottle. Each bottle of water was poured into one
160 bucket to make a composite sample from which subsamples for various analyses were
161 taken. Known volumes of water for chlorophyll *a* analysis were filtered through glass fibre
162 filters (Whatman GF/F with nominal pore size 0.7 μm , 2.5 cm diameter). Filters were
163 stored in a freezer until analyzed as chlorophyll *a* degraded easily. Similar sampling
164 method was applied for total suspended solid (TSS) parameter.

165 Two replicate samples were taken for total organic carbon (TOC), total nitrogen (TN) and
166 total phosphorus (TP), which were then frozen. For ammonia nitrogen ($\text{NH}_4\text{-N}$), nitrite
167 nitrogen ($\text{NO}_2\text{-N}$), nitrate nitrogen ($\text{NO}_3\text{-N}$), soluble reactive phosphate ($\text{PO}_4\text{-P}$), total
168 dissolved nitrogen (TDN) and total dissolved phosphorus (TDP) analyses, a subsample was
169 taken and filtered through a 0.45 μm membrane filter (Sartorius, 2.5 cm diameter), then
170 frozen until analyzed.

171 $\text{NH}_4\text{-N}$ was analyzed using the phenate method; $\text{NO}_2\text{-N}$, $\text{NO}_3\text{-N}$ using cadmium reduction
172 and sulphanilamide method; $\text{PO}_4\text{-P}$ using the ascorbic acid method, and TP, TDP, TN and
173 TDN using the persulfate method followed by colorimetric analyses (APHA, 2005).

174 Concentrations of chlorophyll *a* were determined by acetone extraction of the glass fibre
175 filters and spectrophotometric measurements following APHA methods (2005). TOC was
176 determined following APHA methods (2005) using chromic acid rapid titration method.

177 For TSS samples, filters were defrosted and dried in an oven at 60⁰C for 24 hours (APHA,
178 2005).

179 **2.5. Sediment, benthic algae, feed, fertilizer, shrimp and crab data**

180 In the SGOPs, sediment samples were collected by taking different cores (up to 15 cm
181 depth) from each pond and mixed in a composite sample for analysis. All sediment
182 samples were oven-dried at 60⁰C for 24 h, pulverized to pass through a 0.25 mm mesh
183 screen, and analyzed for TN, TP and TC (APHA, 2005).

184 Benthic algae in IRSPs were collected four times per year (two in the dry season and two
185 in the rainy season) in both ditch and platform. For the platform, a bucket was placed over
186 benthic algae and pushed into the sediment so that the bucket was firmly held in place. The
187 bucket was reached into, and all plant material (i.e., rice stubble, grass) within the area of
188 the bucket were removed. For the ditch, a beam trawl was used, and it was dragged around
189 1.0 m down the ditch. After that, the beam trawl net was washed out to remove as much
190 mud as possible. Benthic algae samples in both ditch and platform were placed inside
191 plastic bags, and this procedure was repeated at four other sites on the platform and four
192 other locations on the ditch. All samples were combined and recorded weight, and stored in
193 the freezer until analyzed.

194 Nutrient (TN, TP and TC) content of benthic algae, shrimp feed, fertilizer, harvested
195 shrimp and crab were also analyzed using the same methods as for sediment. Samples were
196 prepared by freeze-drying and pulverizing to pass samples through a 0.85 mm screen.

197 It was not possible to quantify sedimentation in the IRSPs due to the confounding effect of
198 erosion from the platforms and banks.

199 **2.6. Calculation of nutrient budget**

200 Nutrient (N, P and C) inputs and outputs in the IRSPs were based on shrimp, crab, water
201 and fertilizer inputs, and harvested shrimp, crab, discharge water and benthic algae
202 biomass outputs. Inputs and outputs were calculated as follows:

203 Nutrient input in shrimp = Nutrient concentration in shrimp at stocking \times total shrimp
204 stocking weights (as dry weight).

205 Nutrient input in crab = Nutrient concentration in crab at stocking \times total crab stocking
206 weights.

207 Nutrient input in water = Nutrient concentration in inlet water \times total amount of water
208 supplied.

209 Nutrient input in fertilizer = Nutrient concentration in fertilizer \times total amount of fertilizer
210 supplied.

211

212 Nutrient output in shrimp = Nutrient concentration in harvested shrimp \times total shrimp
213 biomass.

214 Nutrient output in crab = Nutrient concentration in crab harvesting \times total crab biomass.

215 Nutrient output in water = Nutrient concentration in outlet water \times total amount of water
216 released.

217 Nutrient output in benthic algae = N concentrations were based on chlorophyll *a*
218 concentrations, using the ratio of chlorophyll to C of Legendre and Michaud (1999),
219 and the benthic algae P and C were calculated from the Redfield (1958) molar ratio
220 (C:N:P = 106:16:1).

221

222 Nutrient (N, P and C) inputs and outputs in the SGOPs were calculated using shrimp,
223 water, feed and fertilizer inputs, and harvested shrimp, discharge water and sedimented
224 material outputs. Inputs and outputs were calculated as follows:

225 Nutrient input in shrimp = Nutrient concentration in shrimp at stocking \times total shrimp
226 stocking weights (as dry weight).

227 Nutrient input in water = Nutrient concentration in inlet water \times total amount of water
228 supplied.

229 Nutrient input in feed = Nutrient concentration in feed \times total amount of feed supplied.

230 Nutrient input in fertilizer = Nutrient concentration in fertilizer \times total amount of fertilizer
231 supplied.

232 Nutrient output in shrimp = Nutrient concentration in harvested shrimp \times total shrimp
233 biomass (as dry weight).

234 Nutrient output in water = Nutrient concentration in outlet water \times total amount of water
235 released.

236 Nutrient output in sedimented material (referred to as sediment) = Nutrient concentration
237 in the sediment \times total settled materials (as dry weight).

238

239 It should be noted that this research did not quantify C exchange with the atmosphere, i.e.
240 carbon fixation and respiration processes, so these inputs and outputs were not included in
241 the C budget.

242 **2.7. Data analysis**

243 Analysis of variance (ANOVA) with Duncan multiple range tests was applied to determine
244 the significant differences in water quality parameters in the wet and dry season, using
245 SAS software (SAS 9.4).

246

247 **3. Results**

248 **3.1. Production**

249 Mean shrimp survival ranged from 4.1 to 8.5% across the 12 IRSPs (M1-M6 and C1-C6),
250 and shrimp yield was 113.2 ± 37.5 kg ha⁻¹ (Table 1). In addition to the shrimp harvest,
251 there was also a significant crab harvest from a number of farms (mean crab yield: $38.4 \pm$
252 17.3 kg ha⁻¹). Rice harvests were only obtained from three of the twelve IRSPs over the
253 entire two-year study period (112 - 258 kg ha⁻¹, based on platform area only).

254 For the SGOPs (M1-M6), the survival of the shrimp varied substantially, with a mean of
255 77.1% (*P. vannamei*) and 59.2% (*P. monodon*) for those ponds where a harvest was
256 achieved (there were three of the six ponds where survival was 0%). The shrimp
257 production ranged from 3667 to 4167 kg ha⁻¹, with the mean of 3917 kg ha⁻¹ for *P.*
258 *vannamei*, and an average of 1551 kg ha⁻¹ for *P. monodon*. Mean FCR for *P. vannamei*
259 ponds was 1.08 while *P. monodon* was 1.44 (Table 1).

260 **3.2. Water quality**

261 In IRSPs, chlorophyll *a* concentration ranged from 2 to 180 µg L⁻¹ and was significantly
262 different between the beginning (first month) and the end (final month) of the culture
263 period ($P < 0.05$) (Table 2). The concentrations of DO were consistently low (usually less
264 than 2 mg L⁻¹), especially in the early morning. TN concentrations in the water column of
265 IRSPs ranged from 3.03 to 3.14 mg L⁻¹ in the dry season, and 0.64 to 1.16 mg L⁻¹ in the wet
266 season. TDN concentrations in IRSPs fluctuated throughout the year with no obvious
267 changes between the wet and dry season. TOC in the IRSPs was around 13 mg L⁻¹ which
268 was less than a half that of the SGOPs (approximately 26 mg L⁻¹) (Table 2).

269 DO concentrations tended to be lower toward the end of the culture period in SGOPs (both
270 *P. vannamei* and *P. monodon*). Overall, DO concentration varied from 3.4 to 7.1 mg L⁻¹ for
271 *P. vannamei*, and between 3.2 and 5.9 mg L⁻¹ for *P. monodon* (Table 2). In SGOPs, the
272 NH₄-N, NO₂-N and NO₃-N ranged from 0.08 to 1.29, 0.01 to 0.54, 0.03 to 1.66 mg L⁻¹,

273 for *P. vannamei*, and 0.01 to 1.70, 0.01 to 0.20, 0.01 to 1.72 mg L⁻¹ for *P. monodon*,
274 respectively (Table 2). There were significant ($P < 0.05$) increase in NH₄-N, NO₂-N and
275 NO₃-N concentrations in the pond water in the final month of culture as compared to the
276 values for the first month of the culture period. Additionally, PO₄-P concentrations ranged
277 from 0.01 to 0.03 mg L⁻¹ for *P. vannamei*, and 0.01 to 0.19 mg L⁻¹ for *P. monodon* ponds,
278 whereas TP concentrations were between 0.1 to 0.4 mg L⁻¹ for *P. vannamei* and 0.3 to 0.7
279 mg L⁻¹ for *P. monodon* ponds. Both PO₄-P and TP concentrations also increased
280 significantly ($P < 0.05$) from the first month to the final month of the culture period for *P.*
281 *monodon* ponds, but not the case for *P. vannamei* ponds. Chlorophyll *a* concentrations
282 increased gradually over the production period, and at the end of the culture period they
283 were around 83 and 57 µg L⁻¹ for *P. vannamei* and *P. monodon*, respectively (Table 2).
284 The TSS concentrations in the SGOPs were just two-thirds that of IRSPs.

285 3.3. Nutrient budgets

286 In IRSPs, water intake was the main nutrient and carbon input (92% N, 57% P, 95% C)
287 while fertilizer addition accounted for 8% N, 43% P and 5% C. Shrimp survival was only
288 around 4.1-8.5% across the two years, hence shrimp only occupied 6% N, 5% P and 10%
289 C of total output (Table 3, Fig. 3). Water discharge accounted for the highest output (75%
290 N, 41% P, 57% C), followed by unaccounted (11% N, 50% P, 25% C) (Table 3, Fig. 3).

291 In *P. vannamei* ponds (SGOPs), formulated feed was the primary nutrient (N, P and C)
292 input, i.e. 82, 75 and 85%, of the total input respectively. Intake water was 8, 6 and 10% N,
293 P and C in that order. N, P and C inputs in the form of fertilizer were 10, 19 and 5%,
294 respectively. Shrimp biomass tended to be the dominant output as it accounted for around
295 35% of total nutrient outputs. Approximately 10, 13 and 29% of N, P and C output,
296 respectively, were unaccounted for (Table 4, Fig. 4).

297 For the *P. monodon* ponds (SGOPs), the feed was also the major input of nutrient (75% N,
298 55% P and 77% C), followed by fertilizer (14% N, 40% P and 8% C). Shrimp harvest was
299 approximately 26% of the total output. A major portion of the nutrient inputs were
300 deposited on the floor of the ponds (i.e., sediment) as well as discharged over the season in
301 the discharge water (40% N, 57% P, 41% C and 24% N, 9% P, 17% C, respectively, of
302 total nutrient retention in the culture system) (Table 4, Fig. 5).

303

304 4. Discussion

305 This study is the first to determine nutrient budgets in the IRSPs, and showed, for the first
306 time, that SGOPs were more efficient than IRSPs. In the SGOPs, the feed was more
307 efficiently converted to shrimp biomass. In contrast, in IRSPs, nutrients and C in water
308 intake was largely discharged, especially in the case of N. Hence, most of the nutrients did
309 not get assimilated in the ponds. One key reason for this poor assimilation was low shrimp
310 survival ($6.3 \pm 2.2\%$), thus the nutrient (especially N and P) in this system did not convert
311 to shrimp biomass. This, combined with the low rice harvest (mostly no rice crop over the
312 two-year period of the sampling at the 12 farms due to high salinity affecting rice
313 production), supports the conclusion that SGOPs were a more efficient system than IRSPs
314 in our study.

315 In SGOPs, the nutrient budgets, and more particularly the N budgets of *P. vannamei* ponds
316 were higher than that of *P. monodon* ponds. This was because of two reasons: firstly, *P.*
317 *vannamei* ponds had much higher stocking densities (30 post larvae m^{-2} compared to 15
318 post larvae m^{-2} of *P. monodon*); and, secondly that the higher stocking densities in *P.*
319 *vannamei* ponds required higher feed inputs compared to *P. monodon* ponds. The results
320 from our *P. vannamei* ponds were similar to those from *P. vannamei* ponds studied by Xia
321 et al. (2004). They found inputs of 315 kg N ha^{-1} and 56 kg P ha^{-1} with a stocking density
322 of 50 post larvae m^{-2} . In addition, Sahu et al. (2012) had similar N, P and C in their *P.*
323 *monodon* harvests on semi-intensive ponds compared to our study (107-293 kg N ha^{-1} crop⁻¹
324 ¹, 23-57 kg P ha^{-1} crop⁻¹, 763-1831 kg C ha^{-1} crop⁻¹ at the stocking density of 10-22 post
325 larvae m^{-2}). The FCRs in our study were low compared with other studies suggesting the
326 feed utilization efficiency was high (Jackson et al., 2003; Sahu et al., 2012).

327 Moreover, in the comparison between *P. vannamei* and *P. monodon* ponds, the results
328 demonstrated that *P. vannamei* was more efficient than *P. monodon* since *P. vannamei*
329 reached harvest size faster (2.7 ± 0.4 months for *P. vannamei* as opposed to 4.2 ± 0.5
330 months for *P. monodon*), had higher survival, higher production, and a better FCR. Some
331 SGOPs had no harvest in this research due to a disease outbreak (mainly Early Mortality
332 Syndrome - EMS) on both *P. vannamei* and *P. monodon* ponds. EMS has become the most
333 severe disease affecting shrimp in the Mekong Delta since 2010 (FAO, 2013). Occurrences
334 of EMS usually happen in the first 30 days after shrimp stocking, and mortality can be

335 higher than 70% (Lightner et al., 2013). Therefore, a faster time to harvest reduces the
336 risks of loss, which, in turn, results in more production and income for farmers.

337 Incorporation of nutrients into benthic algae was quite low in this study which proposes
338 that natural feed may be limiting. Hence the management of benthic algae in the IRSPs
339 could be critical (Burford and Williams, 2001; Burford et al., 2004; Tho et al., 2013).
340 However, at times, large amounts of nutrients were stored in macrophytes (aquatic plants)
341 and benthic algae on the platform, but this biomass was found to vary considerably over
342 time and between farms. It was unclear what drove this variability, but the change in
343 salinity and temperature might reduce the biomass (Dien et al., 2016). Traditional pond
344 management practices often include fertilization to promote primary productivity, but this
345 practice is questionable since nutrient levels in the ponds were high compared with other
346 studies (Alongi et al., 2000). This is because incoming water from the canals contained
347 high loads of N and P from sewage and other nutrient waste inputs.

348 Additionally, fertilizer may be of limited value in ponds where formulated feed is added.
349 Studies in Choluteca, Honduras, indicated that inorganic fertilization of ponds with feed
350 added was of dubious value during the wet season, and did not increase shrimp yield
351 during the dry season when ambient conditions hinder shrimp growth (Teichert-
352 Coddington et al., 2000). In SGOPs, fertilizer can have a considerable effect on effluent
353 discharge concentrations of soluble N, P and C (Wahab et al., 2003; Xia et al., 2004).
354 Studies also suggest that farm managers should minimize the use of fertilizers unless site-
355 specific studies demonstrate that fertilization increases yields (Barua et al., 2011; Burford
356 et al., 2004; Rouf et al., 2012). Our study also suggests that fertilizer addition may be an
357 unnecessary expense.

358 Nutrient and C in water discharge from IRSPs was lower than SGOPs, thus IRSPs have a
359 lower environmental impact than SGOPs (Chowdhury et al., 2011). However, in this study,
360 this was counteracted by poor production likely due to chronically low DO concentration
361 affecting growth and mortality, in particular between the evening and the early morning
362 period (Leigh et al., 2017). This issue could be considered the main reason for the low
363 shrimp survival because safe DO concentrations for shrimp health should be more than 3.0
364 mg L⁻¹ (MNRE, 2008). DO concentration decreases with increased depth of water, and also
365 when temperature and salinity decline. Shrimp survival in IRSPs was also likely to be

366 affected by food availability (Burford and Lorenzen, 2004; Burford et al., 2004; Islam,
367 2003). Furthermore, the high salinity in IRSPs resulted in the failure of the rice-crop. High
368 salinity is considered the primary risk factor for rice (Leigh et al., 2017; Nhan et al., 2011).
369 Hence, unless these risk factors are addressed, IRSPs cannot be made more sustainable.

370 It is advised that pond preparation of IRSPs was vital to enhancing water quality,
371 especially for increasing DO concentrations during the culture period. To overcome the
372 oxygen deficiency in the IRSPs, some solutions might include: water exchange through the
373 renewal of pond water with high-quality water either by sluice-gate or pumping; aeration
374 by using paddle wheels; and, use of probiotics that are bio-friendly agents and contain such
375 as *Lactobacillus* and *Bacillus* spp. (Thakur and Lin, 2003). However, it may not be feasible
376 to apply these methods to increase DO concentrations in the IRSPs because of the large
377 area: around 2.0-3.0 ha (Table 1). Hence, a more economical way may be to focus on pond
378 preparation to remove sludge from the bottom layer of the ditch (Thuy and Ford, 2010).
379 Subsequently, a mechanism is needed so that water quality can be monitored and
380 maintained properly during the culture period.

381 Denitrification and ammonia volatilization are two potential losses of N that were not
382 measured in our study and are not often measured directly in aquaculture systems. In most
383 studies, these factors are estimated indirectly as the difference between the N inputs and
384 outputs (Briggs and Funge-Smith, 1994; Martin et al., 1998; Miranda et al., 2009; Paez-
385 Osuna et al., 1999; Sun and Boyd, 2013; Xia et al., 2004). The study showed that in the
386 grow-out pond, around 10% N was estimated as lost via these processes in both *P.*
387 *vannamei* and *P. monodon* ponds. The unaccounted for component varies widely between
388 studies. Most of the studies estimated less than 15 % of N as unaccounted (Briggs and
389 Funge-Smith, 1994; Martin et al., 1998). However, much higher losses from 27 to 66%
390 have also been reported (Hopkins et al., 1993; Martin et al., 1998; Paez-Osuna et al.,
391 1999). Burford and Longmore (2001) did one of few studies to measure fluxes and infer
392 denitrification in shrimp ponds and found < 2% of available N was denitrified. They
393 attributed this to low availability of nitrate substrate, in turn, due to low nitrification of
394 ammonia nitrogen. Therefore, further research should be conducted to quantify
395 “unaccounted” regarding nutrient outputs, especially focusing on N outputs to thoroughly
396 understand the N dynamics in both SGOPs and IRSPs.

397 **5. Conclusions**

398 This study showed that the main nutrient input (92% N, 57% P and 95% C) in the IRSPs
399 came from intake water, and water discharge accounted for the highest output (75% N,
400 41% P, and 57% C). Shrimp survival in IRSPs was low over the two years (4.1-8.5%),
401 which resulted in the low nutrient output in the harvested shrimp (6% N, 5% P and 10%
402 C). In contrast, the SGOPs had much higher survival (77.1% for *P. vannamei* and 59.2%
403 for *P. monodon*) in the three of the six farms where the crop survived through to harvest. In
404 these ponds, formulated feed was the highest nutrient input (*P. vannamei*: 82% N, 75% P
405 and 85% C; *P. monodon*: 75% N, 55% P and 77% C) with approximately a third of the
406 nutrients being in shrimp harvest. These results showed that nutrients in the IRSPs were
407 used less efficiently than in SGOPs, thus mechanisms to improve shrimp survival and
408 production are urgently needed.

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550 251.
- 551

552 **Figure captions**

553

554 **Figure 1.** Map of 12 farms and the adjacent canal in Ca Mau province, Vietnam.

555 **Figure 2.** Design of an integrated rice-shrimp pond (left) and an intensive shrimp grow-out
556 pond (right) with a settling pond on the same farm.

557 **Figure 3.** The nutrient (% N, % P and % C) budgets in integrated rice-shrimp ponds.

558 **Figure 4.** The nutrient (% N, % P and % C) budgets in shrimp *P. vannamei* ponds.

559 **Figure 5.** The nutrient (% N, % P and % C) budgets in shrimp *P. monodon* ponds.

560 **Tables**561 Table 1. Production (mean \pm SD) and related data for integrated rice-shrimp ponds and shrimp grow-out ponds.

Ponds	Pond area (m ²)	Survival (%)	Culture period (months)	Shrimp yield (kg ha ⁻¹)	Crab yield (kg ha ⁻¹)	FCR
Rice-shrimp	24,500 \pm 9,439	6.3 \pm 2.2	24 (semi-continuous harvesting)	113.2 \pm 37.5	38.4 \pm 17.3	-
Grow-out:						
<i>P. vannamei</i>	3000 \pm 245	77.1 \pm 10.4	2.7 \pm 0.4	3917 \pm 250	-	1.08 \pm 0.02
<i>P. monodon</i>	3000 \pm 376	59.2 \pm 5.3	4.2 \pm 0.5	1551 \pm 312	-	1.44 \pm 0.17

562

563

564 Table 2. Water quality parameters (range and mean values) in integrated rice-shrimp ponds during 2014–2015, *P. vannamei* ponds across the
 565 three months of grow-out, and *P. monodon* ponds across the five months of grow-out. All units were presented at mg L⁻¹, except Chlorophyll *a*
 566 (µg L⁻¹).

Parameter	Integrated rice-shrimp ponds			<i>P. vannamei</i> ponds			<i>P. monodon</i> ponds		
	Beginning (Month 1)	Middle (Month 12)	At the end (Month 24)	Beginning (Month 1)	Middle (Month 2)	At the end (Month 3)	Beginning (Month 1)	Middle (Month 3)	At the end (Month 5)
NH ₄ -N (mg L ⁻¹)	0.03-0.19 (0.10)	0.01-0.21 (0.07)	0.08-0.86 (0.20)	0.08-0.20 (0.13)	0.14-0.41 (0.28)	0.53-1.29 (0.91)	0.23-0.76 (0.53)	0.01-0.38 (0.26)	0.94-1.70 (1.36)
NO ₂ -N (mg L ⁻¹)	0.00-0.04 (0.01)	0.04-0.06 (0.05)	0.01-0.37 (0.08)	0.01-0.02 (0.02)	0.01-0.08 (0.06)	0.07-0.54 (0.34)	0.01-0.06 (0.03)	0.01-0.14 (0.06)	0.01-0.20 (0.08)
NO ₃ -N (mg L ⁻¹)	0.10-0.37 (0.26)	0.03-0.11 (0.06)	0.01-0.14 (0.06)	0.10-1.66 (0.64)	0.03-0.08 (0.06)	0.11-0.36 (0.24)	0.23-0.35 (0.29)	0.01-0.39 (0.24)	0.95-1.72 (0.23)
TN (mg L ⁻¹)	0.7-3.7 (1.8)	0.6-1.2 (0.8)	0.9-1.4 (1.2)	0.2-2.0 (1.1)	0.6-1.9 (1.2)	1.7-1.8 (1.8)	1.0-2.9 (1.7)	3.7-4.6 (4.1)	2.5-5.8 (4.4)
PO ₄ -P (mg L ⁻¹)	0.01-0.07 (0.03)	0.01-0.19 (0.03)	0.01-0.16 (0.05)	0.01-0.03 (0.02)	0.01-0.02 (0.02)	0.01-0.02 (0.01)	0.01-0.10 (0.06)	0.15-0.16 (0.16)	0.17-0.19 (0.18)
TP (mg L ⁻¹)	0.1-0.4 (0.3)	0.0-0.2 (0.1)	0.1-0.2 (0.1)	0.1-0.2 (0.2)	0.1-0.4 (0.3)	0.1-0.3 (0.2)	0.3-0.5 (0.4)	0.4-0.6 (0.5)	0.3-0.7 (0.4)
TOC (mg L ⁻¹)	9.8-22.2 (18.1)	3.9-6.1 (5.0)	15.0-21.4 (19.6)	15.9-21.2 (17.8)	19.2-32.6 (26.8)	9.7-18.3 (14.0)	12.4-23.0 (18.4)	16.2-27.1 (20.0)	21.5-28.1 (23.7)
DO (mg L ⁻¹)	0.7-2.7 (1.6)	0.9-3.5 (2.1)	1.3-4.2 (2.9)	4.0-7.1 (5.7)	3.7-6.2 (4.8)	3.4-6.1 (4.5)	3.4-5.7 (4.4)	3.4-5.9 (4.5)	3.2-5.6 (4.3)
Chlorophyll <i>a</i> (µg L ⁻¹)	1.8-104.4 (23.9)	5.4-59.4 (30.2)	8.4-179.7 (90.5)	22.7-66.8 (60.7)	8.9-112.4 (67.6)	67.5-97.8 (82.6)	0.6-7.4 (3.9)	4.8-58.4 (25.8)	14.3-74.3 (57.4)
TSS (mg L ⁻¹)	25-247 (129)	37-87 (53)	76-147 (130)	44-88 (69)	45-142 (96)	90-122 (111)	39-54 (45)	37-71 (57)	80-106 (91)

567
 568

569 Table 3. The nutrient budget for integrated rice-shrimp ponds (kg ha⁻¹ and % of total inputs and outputs).

Nutrient	Inputs					Outputs					
	Shrimp	Crab	Water	Fertilizer	Total	Shrimp	Crab	Water	Benthic algae	Unaccounted	Total
N (kg ha ⁻¹)	0.01	<0.01	41.27	3.67	44.95	2.59	1.07	33.79	2.48	5.02	44.95
N (%)	0.02	<0.01	91.82	8.16	100.00	5.76	2.38	75.18	5.52	11.16	100.00
P (kg ha ⁻¹)	0.02	<0.01	4.96	3.74	8.72	0.43	0.24	3.58	0.15	4.32	8.72
P (%)	0.22	<0.01	56.89	42.89	100.00	4.93	2.75	41.06	1.72	49.54	100.00
C (kg ha ⁻¹)	0.03	<0.01	402.15	19.27	421.45	43.15	17.72	240.10	13.25	107.23	421.45
C (%)	0.01	<0.01	95.42	4.57	100.00	10.24	4.20	56.97	3.14	25.44	100.00

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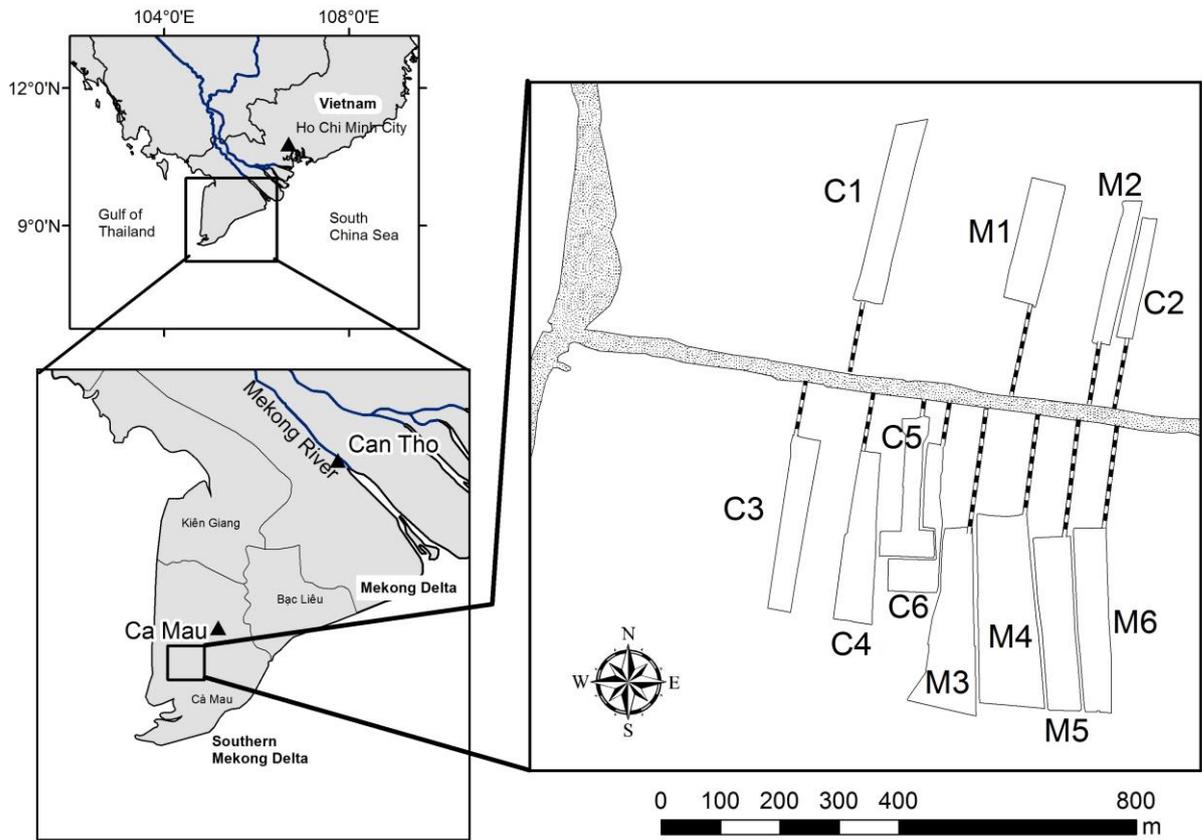
571

572 Table 4. The nutrient budgets for shrimp grow-out ponds (kg ha⁻¹ and % of total inputs and outputs).

Nutrient	Inputs					Outputs				
	Shrimp	Water	Feed	Fertilizer	Total	Shrimp	Sedimentation	Water	Unaccounted	Total
<i>P. vannamei</i> ponds:										
N (kg ha ⁻¹)	0.2	25.2	245.7	30.0	301.1	104.5	113.3	54.5	28.8	301.1
N (%)	0.1	8.4	81.6	9.9	100.0	34.7	37.6	18.1	9.6	100.0
P (kg ha ⁻¹)	<0.1	3.2	39.4	9.9	52.5	12.5	29.7	3.4	6.9	52.5
P (%)	<0.1	6.0	75.1	18.9	100.0	23.8	56.5	6.4	13.3	100.0
C (kg ha ⁻¹)	3.4	154.4	1352.2	75.0	1585.0	366.7	540.0	224.0	354.3	1585.0
C (%)	0.2	9.8	85.3	4.7	100.0	23.1	34.1	14.1	28.7	100.0
<i>P. monodon</i> ponds:										
N (kg ha ⁻¹)	0.1	20.6	142.9	26.1	189.7	50.4	76.0	45.6	17.7	189.7
N (%)	<0.1	10.9	75.3	13.8	100.0	26.5	40.1	24.0	9.4	100.0
P (kg ha ⁻¹)	<0.1	2.3	26.1	19.1	47.5	6.0	27.1	4.4	10.0	47.5
P (%)	<0.1	4.9	54.9	40.2	100.0	12.7	57.0	9.2	21.1	100.0
C (kg ha ⁻¹)	0.9	178.5	942.1	95.0	1216.5	176.7	492.8	205.8	341.2	1216.5
C (%)	0.1	14.7	77.4	7.8	100.0	14.5	40.5	16.9	28.1	100.0

573 **Figures**

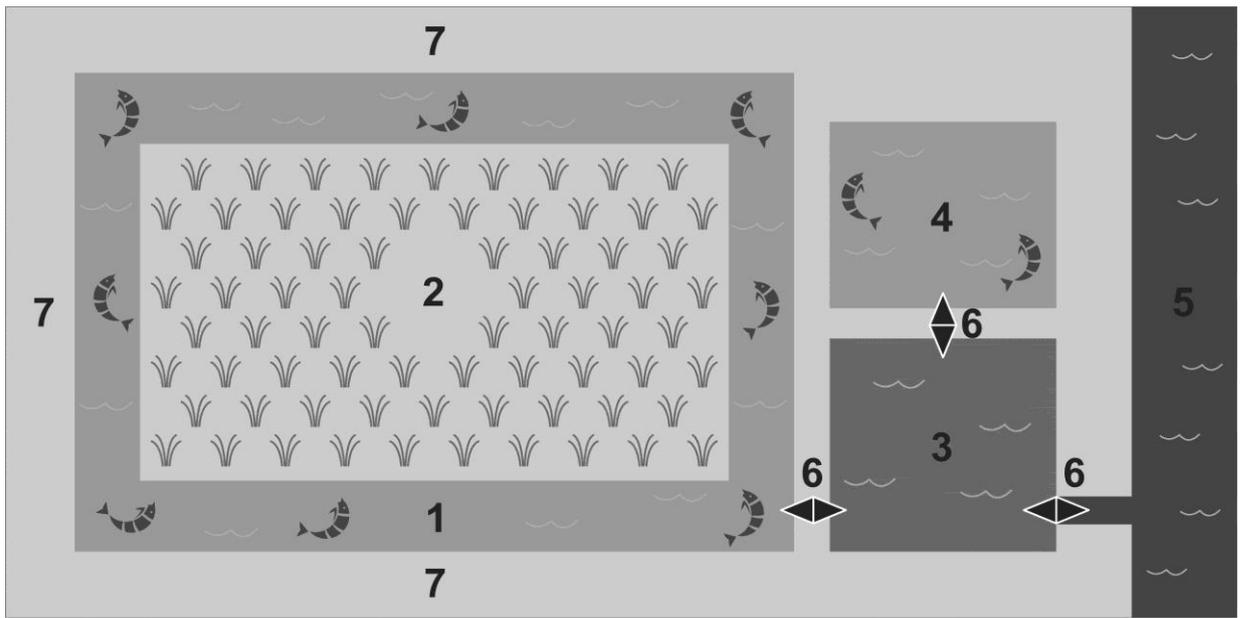
574 **Figure 1**



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577 Figure 2

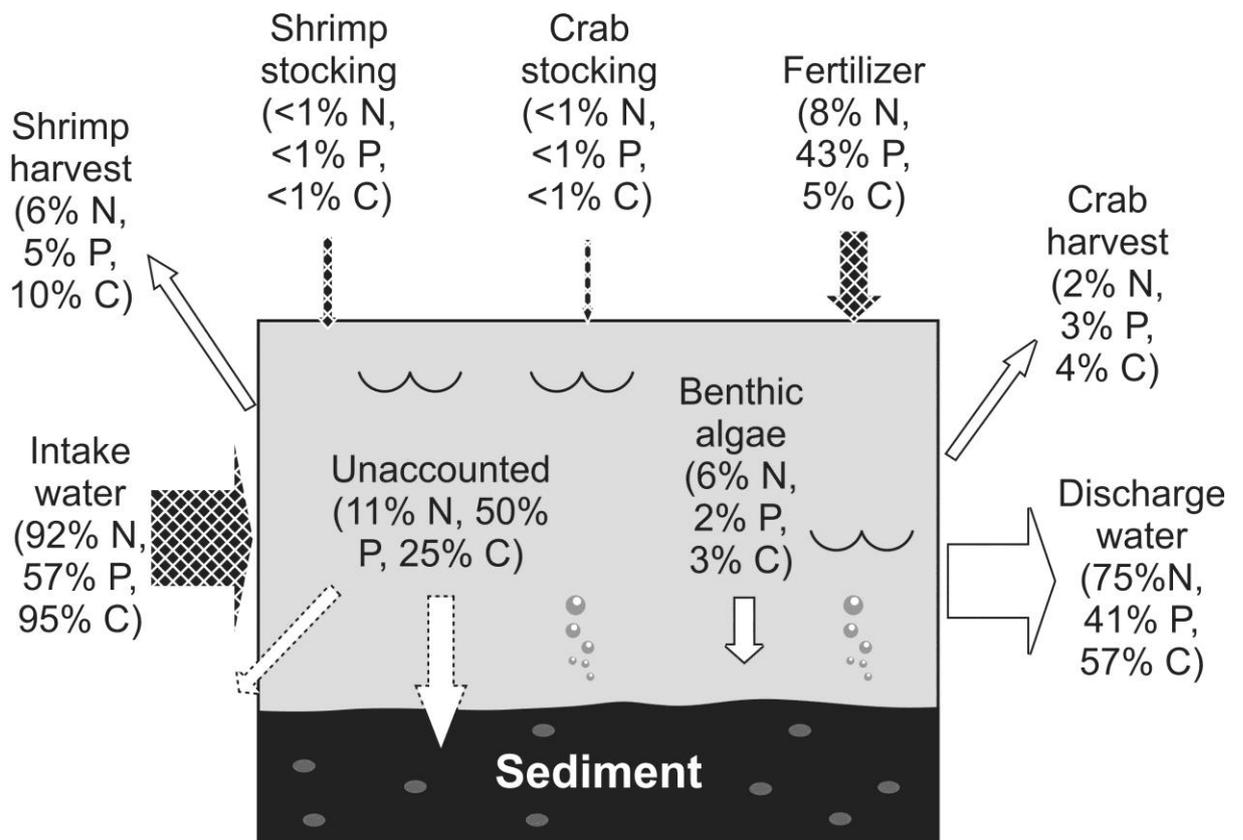


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|---|--|--|--|
|  1 Ditch |  3 Settling pond |  5 Main canal |  7 Bank |
|  2 Platform |  4 Grow-out pond |  6 Sluice gate | |

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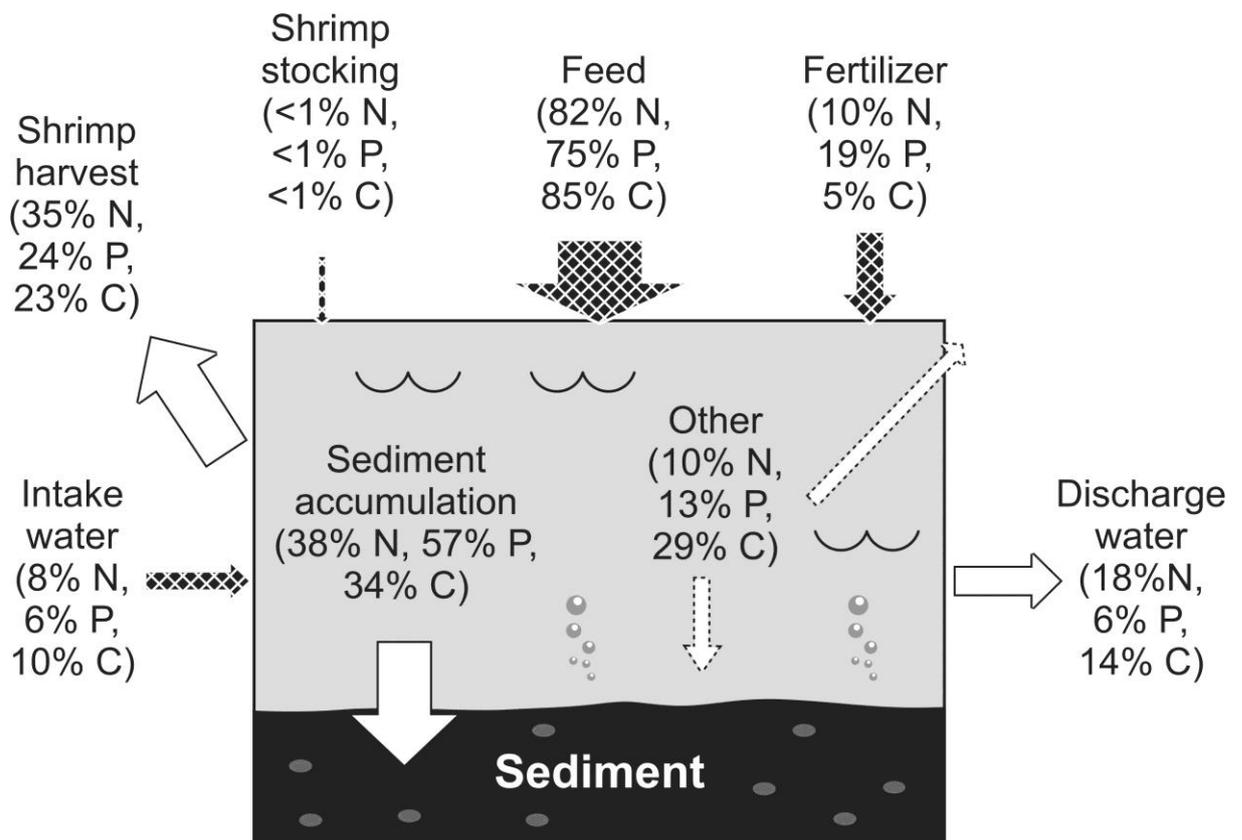
580 Figure 3



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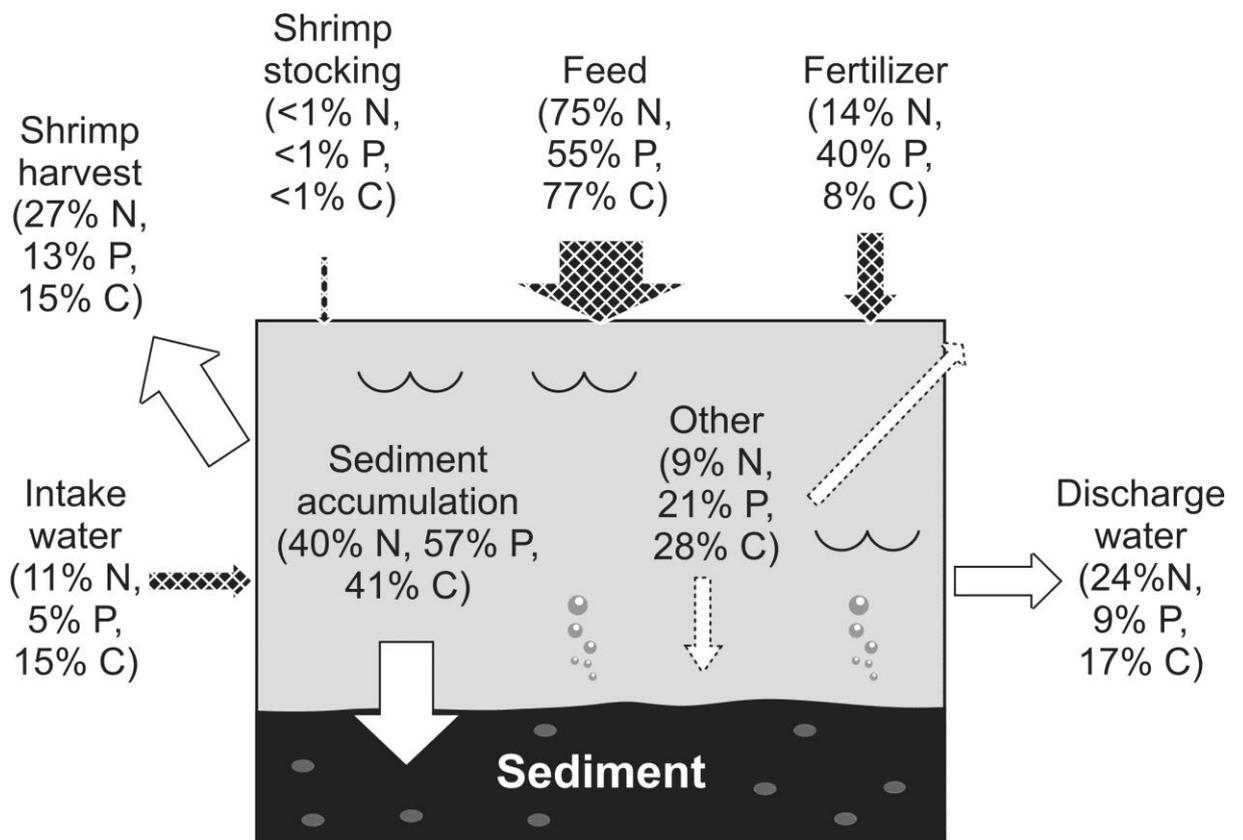
583 Figure 4



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586 Figure 5



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