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THE ADVANTAGES OF LINEAR CONCENTRATION- RESPONSE CURVES FOR *IN VITRO* BIOASSAYS WITH ENVIRONMENTAL SAMPLES

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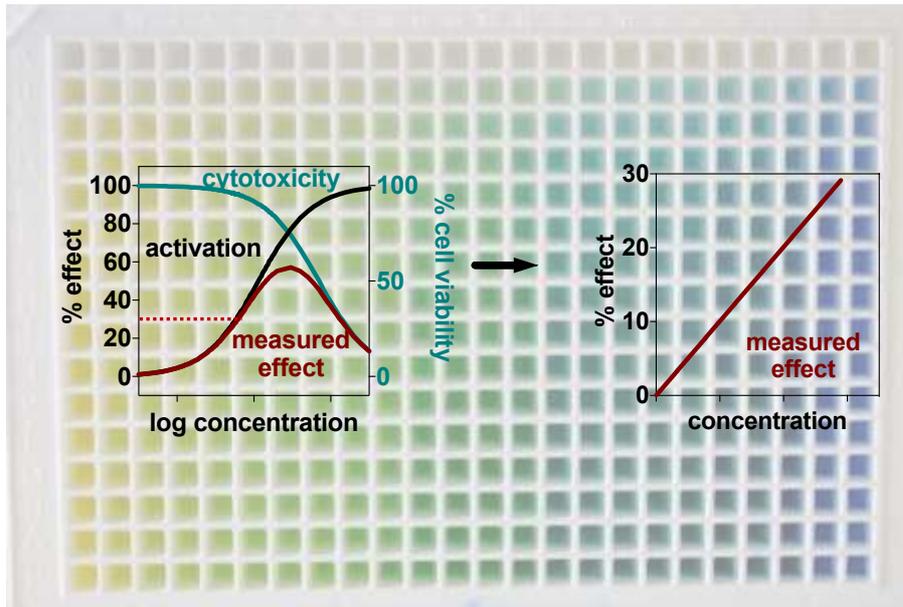
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20 Graphical Abstract



21

22 Linear concentration-response curves allow simple description of low-dose effects
 23 yet rigorous derivation of bioanalytical equivalent concentrations.

24

25 Abstract

26 *In vitro* assays and high-throughput screening (HTS) tools are increasingly being
 27 employed as replacements for animal testing, but most concentration-response
 28 curves are still evaluated with models developed for animal testing. We argue that
 29 application of *in vitro* assays, particularly reporter gene assays, to environmental
 30 samples can benefit from a different approach to concentration-response modelling.
 31 First, cytotoxicity often occurs at higher concentrations, especially for weakly acting
 32 compounds and in complex environmental mixtures with many components. In these
 33 cases, specific effects can be masked by cytotoxicity. Second, for many HTS
 34 assays, low effect levels can be precisely quantified due to the low variability of
 35 controls in cell-based assays and the opportunity to run many concentrations and
 36 replicates when using high density well-plate formats (e.g., 384 or more wells per

37 plate). Hence we recommend focusing concentration-response modelling on the
38 lower portion of the concentration-response curve, which is approximately linear.
39 Effect concentrations derived from low-effect level linear concentration-response
40 models facilitate simple derivation of relative effect potencies and the correct
41 application of mixture toxicity models in the calculation of bioanalytical equivalent
42 concentrations.

43

44

45 Introduction

46 Biological data are often log-normally distributed (Limpert 2001) and so are toxicity
47 data. Hence the most popular models for describing sigmoidal concentration-
48 response curves with logarithmic concentration scale (log-CRC) are based on log-
49 normal distributions (probit), log-logistic distributions (logit) and various similar
50 symmetric and asymmetric models that fit deviations from ideal distributions (normal
51 distribution with standard deviation of 1 or a probit CRC with a slope of 1).

52 One can take different approaches to curve fitting of experimental CRCs for complex
53 environmental samples that contain mixtures of hundreds and thousands of
54 chemicals. The CRC may be described by any best-fit model, even a polynomial, to
55 derive an EC_y value that best describes the concentration triggering the effect y (y
56 being typically 10 or 50% of the maximum effect but also any other measure of effect
57 level of monotonous CRCs) indicated by the absolute residuals in a weighted least
58 squares regression analysis (Scholze 2001). One of the most popular models for
59 continuous data, which has been applied for many decades for microbiological and
60 biochemical assays, is the logistic CRC model using logarithmic concentrations and a
61 four-parameter logistic fit that determines the minimum and the maximum of the

62 curve as well as the location (EC_{50}) and the slope of the log-linear middle portion of
63 the curve (Volund 1978). Such curves can also be approximated on a linear
64 concentration scale by slope-ratio models at the low and high end of the curve
65 (Finney 1951) or by a log-linear model in the middle portion of the curve (Volund
66 1978). These models were often adopted in toxicological and ecotoxicological studies
67 that rely on small numbers of observations and replicates to derive precise EC values
68 from logarithmic concentrations (Ritz 2010, Scholze 2001, Slob 2002). The EC
69 values are then typically used for risk assessment where additional extrapolation
70 factors are applied to derive safe concentrations.

71 For interpretation of high-throughput *in vitro* bioassays data from environmental
72 samples, we rely on data for single chemicals tested with the same bioassay to
73 derive relative (effect) potency (RP or REP) (Villeneuve 2000) to compare effects
74 between chemicals, mixtures and environmental samples. Further we use toxic units
75 ($TU = 1/EC$) and bioanalytical equivalent concentrations (BEQ) to describe effects of
76 complex samples (Wagner 2013). These approaches require parallel log-CRCs and
77 the same minima and maxima of effects (efficacy) (Villeneuve 2000). The easiest
78 way to comply with the condition for effect-level independent REP is to use only the
79 low effect-level portion of the CRC, where the slope-ratio models apply (Volund
80 1978). This also tends to be the relevant concentration range for single chemicals in
81 complex environmental mixtures, where the individual components are often present
82 at concentrations below visible effect levels. The CRC on a linear concentration scale
83 (linear-CRC) are typically linear up to 30% of the maximum effect level.

84

85 *High-throughput screening (HTS) in vitro bioassays*

86 Classic whole animal testing generally employs a low number of replicates, often with
87 high variability of the biological controls. Hence, effect levels below 10% of the
88 maximum effect are often not statistically distinguishable from background. This is
89 one of the reasons why no observable effect concentration/lowest observable effect
90 concentration (NOEC/LOEC) and no observable adverse effect level/lowest
91 observable adverse effect level (NOAEL/LOAEL) values were traditionally derived by
92 hypothesis testing (Fox 2016, Green 2013). Benchmark effect concentrations, such
93 as EC₁₀, estimated from dose-response modelling, were advocated when continuous
94 dose-response (or concentration-response) data became more widely available.

95 The situation is different for many modern *in vitro* assays that can be run in 384- or
96 even 1536-well plate format allowing HTS large numbers of dose levels in one experiment.
97 In addition, precision pipetting with robotic instrumentation allows not only efficient
98 and accurate pipetting with few technical replicates but also the ability to design the
99 spacing of concentrations at will, easily covering anything from several orders of
100 magnitude of serial dilutions to narrow linear spacing. Consequently, repeatability of
101 many cell-based bioassays has become so good that the limit of detection (effect of
102 control wells plus three times standard deviation of controls) is typically below an
103 induction ratio (IR) of 1.5 or an effect level of 10%. This allows the derivation of effect
104 concentrations at low effect levels with high precision and accuracy. Hence, in the
105 case of HTS bioassays, there are not the traditional experimental limitations to
106 working with low level linear-CRCs. Further, unlike for more complex CRC models
107 that will not yield a reliable fit with only partial data or are over-parameterized in the
108 case of partial CRCs, any deviation from linearity is easily visible.

109 Even more important to consider is the make-up of reporter gene assays, many of
110 which are used in both large chemical screening programs such as Tox21 (Shukla
111 2010) and in environmental monitoring (Escher 2012a). Reporter gene assays work
112 on the principle that a gene is engineered to be under control of the response
113 element of a specific nuclear receptor or transcription factor and translates into a
114 protein, which can be quantified in a simple way, such as green fluorescence protein
115 or an enzyme that can be quantified by substrate turnover (e.g., luciferase after
116 addition of luciferin and ATP, or β -lactamase after addition of a fluorescent
117 substrate). The reporter protein read out is proportional to the activation of the
118 targeted nuclear receptor or transcription factor. This proportionality will only be valid
119 provided the cells remain viable and are not compromised by cytotoxicity.

120

121 *How to deal with cytotoxicity in reporter gene assays?*

122 The activation of the reporter gene can be masked by cytotoxicity. This problem is
123 especially pronounced for complex environmental samples where the majority of
124 chemicals in the mixture might have non-specific effects that contribute to cytotoxicity
125 while only a small fraction specifically activates the response of interest.
126 Consequently it is recommended to run parallel cytotoxicity assays and use only non-
127 cytotoxic concentrations when evaluating CRCs.

128 Even if the cells are not dead, a burst of activity across a wide range of stress
129 response pathways and even nuclear receptors, not directly related to cell death, has
130 been widely observed during screening of single chemicals in the Tox21 program
131 (Judson 2016). Similar effects have been observed when screening environmental
132 samples using cell-based assays (Hebert 2018, Nivala 2018). This phenomenon,
133 termed the cytotoxic burst (Judson 2016), represents another artefact that can

134 complicate CRC modelling when working with concentrated samples required to elicit
135 higher effect levels.

136 The current strategy to deal with this phenomenon is to omit any effect data
137 associated with concentrations above the inhibitory concentration leading to 10%
138 reduced cell viability (IC_{10}) (Neale 2017b, Nivala 2018). Using linear CRCs focused
139 on effect levels up to 30% maximum effect only, one greatly reduces the risk of
140 reaching cytotoxic concentrations but it remains important that any concentrations
141 above the IC_{10} for cytotoxicity are omitted in the derivation of EC values for the
142 specific effects. This might lead in some cases to the inability to derive EC values for
143 activation of a specific response. In our experience this situation is quite common for
144 certain assays (e.g., p53) where effects of environmental samples start to occur right
145 around the IC_{10} with often just one or two measured concentrations in the range
146 between EC_y and IC_{10} . In this case we recommend a conservative approach and
147 would report no activity up to cytotoxicity to assure that there are no false-positive
148 results.

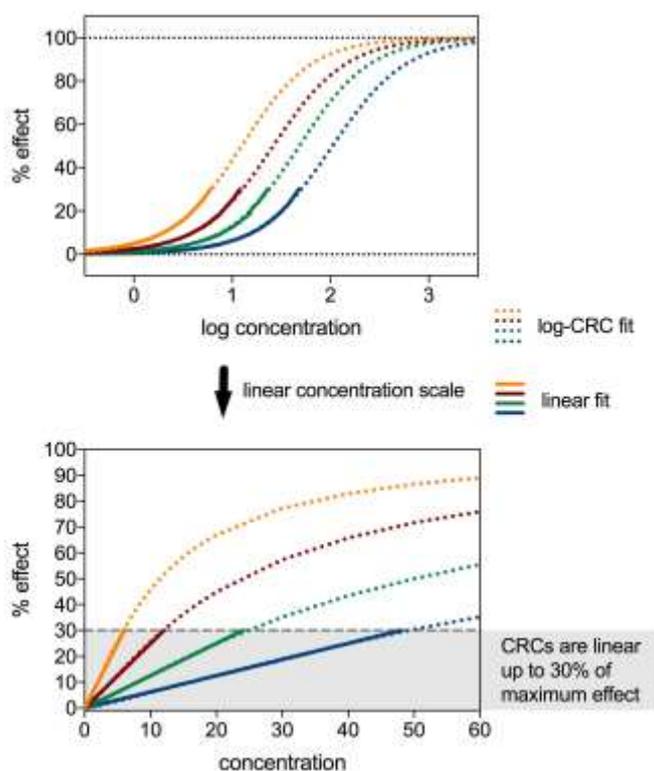
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150 [Linear concentration-response modelling: why and how?](#)

151 Cumulative normal distributions of log-CRCs with standard deviations of one, probit
152 log-CRCs with slopes of one or logistic log-CRC with slopes around 1.2 lead to log-
153 concentration-response plots of the sigmoidal form depicted in Figure 1 (dotted
154 lines). Concentrations with any units (e.g., molar, ppb, or mg/L), including relative
155 enrichment factors (REFs) in the case of environmental samples, can be used. A
156 REF indicates the factor by which a water sample would need to be concentrated to
157 achieve the effect level y . For example, a REF of 8 would indicate that 8-fold
158 enrichment would be needed to yield a response equal to 10% of the maximum effect

159 (y for this example) (Escher 2012a). Such cumulative normal distributions with a
160 standard deviation of one have, per definition, parallel slopes (Figure 1).
161 Reformatting the CRC to a linear concentration scale demonstrates that all CRCs are
162 approximately linear up to an effect level of around 30%. Linear CRCs at lower effect
163 levels are not only less prone to be affected by cytotoxicity but can also be treated
164 mathematically with much simpler models.

165



166

167 **Figure 1.** Relationship between sigmoidal log-CRCs and linear CRCs

168

169 *Low-level linear CRCs for HTS in vitro bioassays*

170 For reporter gene assays that target the activation of nuclear receptors, the response
171 is typically expressed as relative luminescence units, relative fluorescence units, etc.
172 depending on the mode of detection. These values must be normalized for each
173 microtiter plate by comparison with a known reference compound as a positive

174 control activating 100% of the defined maximal response and negative controls, i.e.,
175 the signal of the unexposed cells or the solvent control, set to 0% response.

176 The effect concentration triggering effect y ($y = 10\%$ or any other benchmark) is
177 defined by eq. 1 and the associated standard error (SE_{EC_y}) are derived by error
178 propagation shown in eq. 2. The same equation also holds for cytotoxicity and cell
179 population growth rate and biomass, provided the data can be assigned to effects
180 between zero and 100%.

$$181 \quad EC_y = \frac{y}{\text{slope}} \quad (1)$$

$$182 \quad SE(EC_y) \approx \frac{y}{\text{slope}^2} \cdot SE(\text{slope}) \quad (2)$$

183

184 Super-induction (i.e., responses exceeding the maximum observed for the positive
185 reference compound (Baston 2011)) and partial agonism (i.e., levelling off of the
186 maximum effect at lower than 100% of a full agonist (Howard 2010)) have been
187 observed in some reporter gene assays on nuclear receptors for chemicals and
188 environmental mixtures. Mixture models, like the generalized concentration addition
189 model (Howard 2009), have been developed to account for mixture effects even in
190 complex environmental samples. However, applying these models generally requires
191 that that full CRCs are available for all independent components of the mixture,
192 whether they are full or just partial agonists (Brinkmann 2018). Unfortunately, in
193 complex environmental samples even if some of the composition is known, the
194 complete composition is generally unknown and full CRCs, even for the known
195 components are often unavailable to support the mixture modeling. By applying linear
196 CRCs focused on effect levels below 30%, we can circumvent the problems of partial
197 agonism and super-induction.

198 There are reporter gene assays, such as those indicative of transcription factors of
199 adaptive stress responses or some genotoxicity assays such as umuC, where there
200 is no maximum (100%) response. In these cases an induction ratio (IR) can be used
201 as a measure of effect. The IR is defined as the ratio of the signal of the sample
202 divided by the signal of the control. The resulting CRCs are typically linear up to an
203 IR of 4 to 5 and IR 1.5 is typically a suitable effect benchmark that is above the limit
204 of detection, which is defined as three times the standard deviation of the effects of
205 the unexposed cells (Buchinger 2010, Escher 2012b, ISO13829:2000 2000). The
206 $EC_{IR1.5}$ is thus derived by eq. 3 and the standard error of the $EC_{IR1.5}$ ($SE(EC_{IR1.5})$) can
207 be calculated by error propagation with eq. 4.

208

$$209 \quad EC_{IR1.5} = \frac{0.5}{\text{slope}} \quad (3)$$

$$210 \quad SE(EC_{IR1.5}) \approx \frac{0.5}{\text{slope}^2} \cdot SE(\text{slope}) \quad (4)$$

211

212 *Examples of applications*

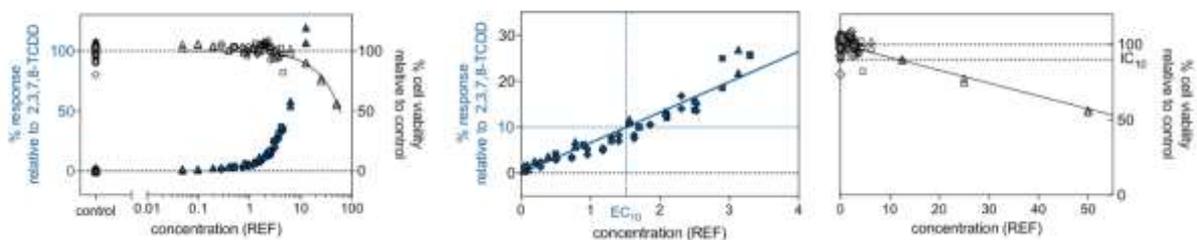
213 To illustrate how these approaches work in practice several examples from water
214 quality assessment are provided (Figure 2).

215 The first example shows responses for a solid phase extract of a wastewater
216 treatment plant effluent (Figure 2A). Solid phase extraction (SPE) is frequently used
217 as a technique to concentrate micropollutants present in a water sample while
218 separating those compounds from other matrix constituents that can interfere with
219 downstream analyses (Neale 2018). In this example, the activation of the
220 arylhydrocarbon receptor in the AhR CALUX assay (Brennan 2015) by a wastewater
221 plant treatment effluent extract (Nivala 2018) is compromised by cytotoxicity which
222 begins to occur around a REF of 10 (Figure 2A, left). At concentrations that are

223 already cytotoxic super-induction compared to the maximum response of the 2,3,7,8-
 224 TCDD reference compound can be observed. This is clearly an artefact of the
 225 cytotoxicity burst (Judson 2016), which does not indicate a specific effect but is a
 226 consequence of non-specific toxicity. Fitting a specific effect beyond cytotoxicity
 227 would not be mechanistically meaningful and could confuse mixture modelling. These
 228 issues are avoided when applying the linear evaluation of the activation-CRC (EC₁₀,
 229 Figure 2A, middle) because concentrations causing 10% or more cytotoxicity (IC₁₀,
 230 Figure 2A, right) only occurred at concentrations causing more than 30% effect and
 231 thus were not included in the analysis.

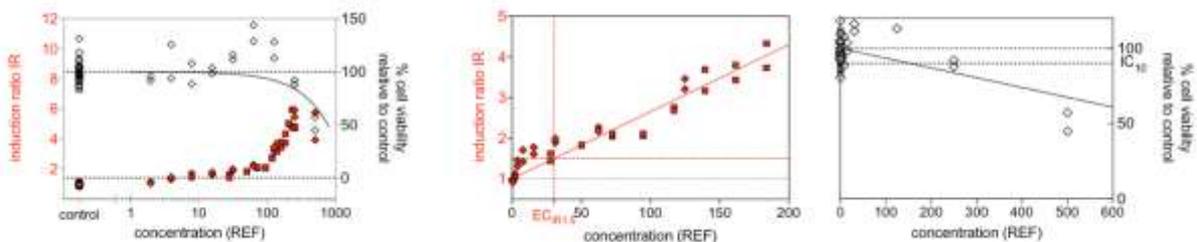
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A. Wastewater treatment plant effluent in AhR-CALUX assay



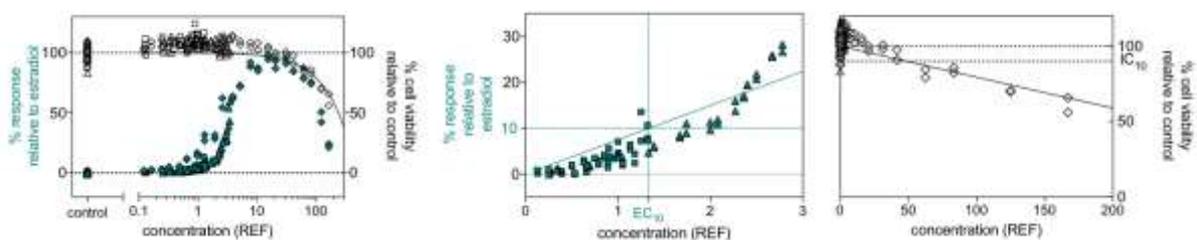
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B. Drinking water in AREc32 assay for oxidative stress response



234

C. Pristine water spiked with a cocktail of 579 chemicals in the ER-GeneBLazer assay (estrogenicity)



235

236 **Figure 2.** Examples for the proposed linear CRC evaluation. A. Wastewater
 237 treatment plant effluent enriched with SPE, run in the AhR CALUX assay for

238 *activation of the arylhydrocarbon receptor (data from Nivala 2018). B. Drinking water*
239 *enriched with SPE, run in the AREc32 assay for oxidative stress response (data from*
240 *Hebert 2018). C. 579 chemicals spiked to a pristine creek water sample, run in the*
241 *ER-GeneBLAzer assay for estrogenicity (Neale 2018). The empty symbols are cell*
242 *viability data and the filled symbols activity data with different symbols from different*
243 *independent experiments and the same symbols in activity and cytotoxicity from a*
244 *matching experiment.*

245

246 A second example refers to activation of the oxidative stress response quantified with
247 the AREc32 assay in drinking water (Figure 2B) (Hebert 2018). Here cytotoxicity was
248 observed at a 200 fold enrichment of the water sample, but a linear CRC allows the
249 derivation of an $EC_{IR1.5}$ of 30 fold enrichment for the oxidative stress response (Figure
250 2B). Despite the high enrichment needed, the response was not compromised by
251 cytotoxicity at effect levels up to IR 4 and was distinctly different from the control.
252 Hence an $EC_{IR1.5}$ could be derived despite the inverse U-shaped form of the raw
253 CRCs for the activation of oxidative stress response.

254 The third example refers to a study where relatively clean creek water was spiked
255 with 579 different micropollutants, among them steroidal estrogens but also others
256 that would disturb the estrogenic effect by causing cytotoxicity (Neale 2018). As the
257 non-estrogenic chemicals had a strong effect on cell viability with an IC_{10} of REF 50,
258 the activation of the estrogen receptor quantified with the ER-GeneBLAzer assay
259 (Neale 2018) followed an inverted U-shaped curve but was again fairly linear at low
260 effect levels (Figure 2C). Note that in this example (Figure 2C) a slight deviation from
261 linearity was evident (corresponding to a slope > 1.2 of the log-logistic fit) but the
262 EC_{10} was still within a factor of two from the concentrations corresponding to the
263 experimental IR 1.5, which would equate to 0.3 log-units error as compared to a

264 perfect sigmoidal fit. This low uncertainty is acceptable because a log-logistic fit
 265 would have led to unknown uncertainty for the subsequent analysis of relative effect
 266 potency, as discussed in the next chapter.

267

268 Relative (Effect) Potency

269 If we want to compare effects of chemicals between each other and between
 270 different bioassay or predict mixture effects of defined chemical mixtures, we need to
 271 know the relative potency RP_i of compound i , also called relative effect potency REP_i .
 272 REP_i is also a vital parameter for the derivation of effect-based trigger values (Escher
 273 2018, Escher 2015) that are used to define acceptable water quality with respect to
 274 mixtures in a similar way as environmental quality standards or guideline values do
 275 for single compounds.

276 REP_i can be calculated by eq. 5 and its associated SE by eq. 6. Using the low-level
 277 linear CRCs described above, the REP_i and its SE can also be directly calculated
 278 from the slopes (eq. 5) and hence REP_i is independent of the effect level within the
 279 linear low-level effect range (Figure 3).

280

$$281 \quad REP_i = \frac{EC_y(\text{reference})}{EC_y(i)} = \frac{\text{slope}(i)}{\text{slope}(\text{reference})} \quad (5)$$

282

$$283 \quad SE(REP_i) \approx \sqrt{\frac{1}{EC_y(i)^2} \cdot SE(EC_y(\text{reference}))^2 + \frac{EC_y(\text{reference})^2}{EC_y(i)^4} \cdot SE(EC_y(i))^2}$$

$$284 \quad = \sqrt{\frac{1}{\text{slope}(\text{reference})^2} \cdot SE(\text{slope}(i))^2 + \frac{\text{slope}(i)^2}{\text{slope}(\text{reference})^4} \cdot SE(\text{slope}(\text{reference}))^2} \quad (6)$$

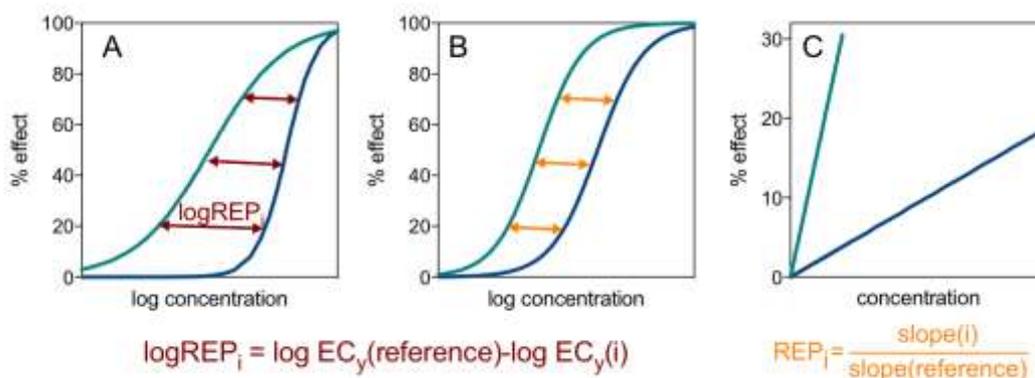
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291

292 **Figure 3.** If the slopes of the log-CRCs are not the same for reference compound
 293 and chemical i , then the REP_i are dependent on the effect level (A). For log-CRC with
 294 the same slope (B) and linear-CRCs (C), the REP_i are independent of the effect
 295 level.

296

297 Another advantage of linear low-level CRC for deriving REP_i is that the concept also
 298 works for weakly potent chemicals (partial agonist) that do not reach 50% effect.
 299 There are other ways to cope with non-similar concentration effect curves (Dinse
 300 2011) and derive REPs as a function of response level (Ritz 2006) but the linear
 301 method described here is probably the most simple for evaluation of HTS data and
 302 circumvents complex approaches to derive the REP_i that then cannot be easily
 303 applied for the determination of BEQ, which are essential for the description of
 304 mixture effects of environmental samples.

305

306 Bioanalytical equivalent concentrations

307 BEQ are commonly used to express the potency of a complex mixture in terms of an
308 equivalent concentration of a well-defined reference compound that produces the
309 same biological response. BEQ_{bio} can be calculated directly from bioassay EC values
310 and BEQ_{chem} are calculated from chemical concentrations and the REPs of all
311 components of a mixture. Comparison of BEQ_{bio} and BEQ_{chem} can be used to
312 determine which fraction of the mixture effect of a water sample is triggered by
313 known and by unknown chemicals (Tang 2014), an approach that is termed “iceberg
314 modelling” (Neale 2018).

315

316 *BEQ derived from bioassay results (BEQ_{bio})*

317 The calculation of BEQ_{bio} is very similar to the REP_i estimation described above.
318 Because the mixtures are generally undefined, REP_i cannot be calculated directly
319 from a ratio of concentration units, rather an equivalent biological activity is used to
320 associate an enrichment factor, equivalent volume of water, equivalent mass of
321 sediment, etc. with a certain concentration of a reference chemical. There is a fairly
322 confusing nomenclature on BEQ in the literature. For example, scientists often
323 referred to toxic equivalent concentrations (TEQ). However, because many *in vitro*
324 assays are not reporting toxicity but some defined biological effect, bio-equivalents or
325 bioanalytical equivalent concentrations BEQ have become more popular for *in vitro*
326 bioassays. Wagner et al. (2013) reviewed 234 peer-reviewed publications on that
327 topic and came to a very similar conclusion as Villeneuve et al. (2000) did for the
328 REP_i , i.e., that non-parallel log-CRCs and the variability of the maximum effect were
329 the largest impediments, as well as the extrapolation to untested enrichment factors.
330 After this analysis, Wagner et al. (2013) recommended the definition of bio-

331 equivalents from non-linear interpolations of log-CRC and proposed a checklist to
 332 assess the validity of the approach in practical applications when often only single
 333 point estimates were available. At the time of that publication the use of linear CRC
 334 for environmental samples was already emerging (Escher 2012b, Escher 2013) but
 335 they were not accounted for in that review. In recent years the linear approaches and
 336 slope-ratio for first developed and applied to single compounds decades ago have
 337 been increasingly, and effectively, applied to environmental samples (König 2017,
 338 Neale 2015, Neale 2017b, Nivala 2018). However, their advantages and differences
 339 relative to other curve fitting and effect concentration estimation approaches have not
 340 been thoroughly discussed preventing wider implementation.

341 As the BEQ_{bio} from effect concentrations in a bioassay is the ratio of the EC_y of a
 342 bioassay-specific reference compound divided by the EC_y of the sample (eq. 7), it
 343 can be directly calculated from the inverse ratio of the slopes, provided there is an
 344 equal intercept of both lines. The associated standard error of the BEQ ($SE(BEQ_{bio})$)
 345 can be simply calculated by error propagation (eq. 8). This is a great advantage over
 346 more complex models where advanced statistical tools or Monte Carlo resampling
 347 methods are required to estimate the uncertainty of the BEQ.

348

$$349 \quad BEQ_{bio} = \frac{EC_y(\text{reference})}{EC_y(\text{sample})} = \frac{\text{slope}(\text{sample})}{\text{slope}(\text{reference})} \quad (7)$$

$$350 \quad SE(BEQ_{bio}) \approx \sqrt{\frac{1}{EC_y(\text{sample})^2} \cdot SE(EC_y(\text{reference}))^2 + \frac{EC_y(\text{reference})^2}{EC_y(\text{sample})^4} \cdot SE(EC_y(\text{sample}))^2}$$

351 (8)

352

353 Naturally the BEQ can also be calculated with the same equation (eq. 7) for EC
 354 values that are derived from logistic fits of log-CRCs. However, if the slopes of the
 355 logistic log-CRC were not equal for the reference compound and sample, the error

356 associated with the BEQ would be more difficult to estimate, e.g., by non-linear
 357 interpolation yielding a range of BEQ values instead of a single estimate (Schmitt
 358 2012). Approaches to deal with non-linear CRC and quality control measures were
 359 discussed in detail by Wagner et al. (2013) giving some guidance for the use of
 360 historic data.

361 In contrast, if the linear-CRC did not yield a perfect linear fit, which would be
 362 equivalent to a slope different from 1 of a logistic log-CRC, we can still quantify this
 363 deviation by the SE of the slope of the linear CRC and propagate the error all the
 364 way through to the BEQ. This is demonstrated by the fact that in the formulation of
 365 eq. 7 we do not even need the EC_y values but the BEQ can be directly calculated
 366 from the inverse ratio of the slopes and accordingly the SE could also be calculated
 367 from the slopes and their errors alone.

368

369 *BEQ derived from chemical analysis BEQ_{chem}*

370 The BEQ_{chem} can be calculated as the sum of the product of the REP_i and the
 371 concentration C_i of all detected chemicals i (eq. 9, SE, eq., 10).

372

$$373 \quad BEQ_{chem} = \sum_{i=1}^n REP_i \cdot C_i \quad (9)$$

$$374 \quad SE(BEQ_{chem}) \approx \sqrt{\sum_{i=1}^n C_i^2 \cdot SE(REP_i)^2 + REP_i^2 \cdot SE(C_i)^2} \quad (10)$$

375

376 The comparison of BEQ_{bio} with BEQ_{chem} can be used as a “mass balance” or
 377 “potency balance” analysis if all causative agents are known, e.g. for effect-directed
 378 analysis (Hashmi 2018). It can also be used to evaluate whether the known
 379 composition of an environmental sample can reasonably account for the biological

380 activity observed, or whether unknown constituents and/or complex (greater than
381 additive) interactions are likely contributing (Neale 2017a, Tang 2014).

382

383 *Advantages and limitations of linear CRCs*

384 Overall, the low-dose linear CRC approach proposed here has many practical
385 advantages for application of HTS assays with environmental samples but also one
386 main theoretical caveat. The caveat is that a normal distribution with a standard
387 deviation of one of the effect data or a log-logistic fit with a slope of 1.2 is a
388 prerequisite for the CRC to be linear from 0% to 30% effect level. Based on several
389 years of experience with this linear approach we are confident that the majority of
390 linear-CRCs are fairly linear below effect levels of 30% of maximum effect or IR 4 for
391 a wide range of water, sediment and biota samples but there are exceptions, as
392 shown in Figure 2C. Fortunately, even small deviations from linearity can be easily
393 detected by visual inspection and described by the regression coefficient and the SE
394 of the slope of the linear regression. Generally speaking, complex dose-response
395 modelling is unnecessary and linear fits can easily be accomplished in standard
396 spreadsheet calculation programs. This can both accelerate evaluation of HTS data
397 and help avoid error when non-experts are applying these tools. Additionally, by
398 focusing the analysis on low effect levels, solubility problems are often circumvented,
399 and cytotoxicity interferences can be much better managed, avoiding experimental
400 artefacts.

401 The major practical limitation is that the serial dilutions which are most commonly
402 used because they can easily be prepared manually, are not perfectly suited for
403 linear CRC modelling. However, the increasingly widespread availability of simple
404 automated dispensers and HTS bioassay robots can help overcome this practical

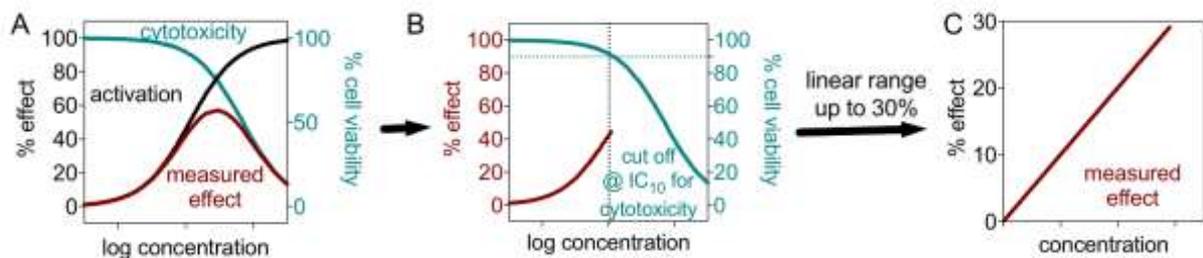
405 problem. Furthermore, even using manual dilution approaches, linear dilution series
406 can be prepared.

407

408 CONCLUSIONS

409 In working with users of bioassay data who have different levels of expertise, e.g.,
410 regulators, students, scientists from other fields, we have found that a simple and
411 consistent evaluation method is much less prone to error than a complex model
412 where the user must make decisions about the selection of valid data points to be
413 included in the analysis and the models to be applied. Summarizing all discussion
414 points above, we recommend using the entire data set of concentrations against cell
415 viability obtained from testing a given environmental sample to derive the IC_{10} for cell
416 viability (Figure 4A). Then only concentrations below the IC_{10} should be used for
417 further processing (Figure 4B). The remaining data should be visually inspected
418 before plotting concentrations against activity and applying an appropriate
419 concentration-response model to derive the EC_{10} or $EC_{IR1.5}$ for reporter gene
420 activation (Figure 4C). In our experience, in most practical cases we were left with
421 only a low effect level portion of the curve up to 30% (Figure 1), which was often very
422 close to linearity, making linear fits of linear-CRCs (i.e., slope ratio) most amenable
423 for the data analysis. We have successfully applied the low level linear-CRC
424 approach in numerous case studies with over one hundred *in vitro* bioassays applied
425 to many different types of water samples from sewage to surface water to drinking
426 water in collaboration with more than 20 international research groups (e.g., Escher
427 2014, Neale 2015, Neale 2017a, Neale 2017b, Nivala 2018). However, this approach
428 will attain its full potential only once cytotoxicity is measured in parallel, which was
429 admittedly not the case in all of the previous case studies.

430



431

432 **Figure 4.** Recommended processing of CRC data of environmental samples. A.
 433 Measured effect yields often U-shaped CRCs due to cytotoxicity overlaying
 434 activation. B. All concentrations above the IC_{10} for cytotoxicity should be removed for
 435 analysis of effect. C. The linear-CRC model should be only applied to data <30%
 436 effect (linear range, see Figure 1).

437

438 The simple and transparent approach with all uncertainty quantified is a good starting
 439 point for the regulatory acceptance of *in vitro* bioassays for water quality assessment.
 440 Since proposed effect-based trigger (EBT) values for water quality are typically
 441 derived as EBT-BEQ (Brand 2013, Escher 2015, van der Oost 2017, Escher 2018)
 442 the discussed uncertainties in BEQ from log-CRC modelling (Wagner 2013) would
 443 potentially lead to low-quality EBTs and subsequently to high uncertainty in the water
 444 quality monitoring and compliance assessment. Furthermore, the simplicity of the
 445 linear CRC approach opens up application in sediment and biota testing. Of course,
 446 this aspect is not the only one to consider when applying environmental samples in *in*
 447 *vitro* HTS assays (Windal 2005), but it is a crucial one and one can truly simplify life
 448 by simplifying modeling.

449

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458
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461

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