

1 **The influence of a fruit smoothie or cereal and milk breakfast on**
2 **subsequent dietary intake: A pilot study**

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20

21 **Abstract**

22 Smoothies are popular breakfast foods. This study examined the effect of consuming
23 Cereal & Milk (CM) or a nutritionally-comparable Fruit Smoothie (FS) for breakfast on
24 daily energy intake (EI) in free-living adults and the extent to which individuals
25 compensated for calories ingested in a High Energy Fruit Smoothie (HE). Ten
26 participants (28.4 ± 2.2 y; 23.3 ± 1.0 kg·m⁻², Mean±SEM) attended the laboratory on 3
27 consecutive days per week for 3 weeks. Each week, they received a CM, FS or HE
28 breakfast, then recorded all food/beverages consumed across the remainder of the day.
29 The CM and FS were energy-matched to participants' usual breakfast (1675 ± 283 kJ),
30 while the HE contained an additional 100 kJ·kg⁻¹ of maltodextrin (3019 ± 335 kJ). Mean
31 3-day EI was similar on CM and FS (7894 ± 547 vs. 7570 ± 463 kJ, $p > 0.05$), but elevated
32 on HE (8861 ± 726 kJ, $p = 0.012$). Thus, individuals who substitute CM for a FS breakfast
33 should be mindful that energy-dense beverages may result in increased daily EI.

34

35 Keywords: smoothie; liquid meal; breakfast; dietary energy compensation; food form;
36 energy density.

37

38 **1.0 Introduction**

39 Traditionally, breakfast is considered the most important meal of the day (O'Neil, Byrd-
40 Bredbenner, et al. 2014). Studies of adults suggest that breakfast consumption may improve
41 the distribution of food groups in the diet, nutritional intake and overall diet quality (Williams
42 2005; Kerver et al. 2006; Song et al. 2006; Deshmukh-Taskar 2010). Indeed, an analysis of
43 National Health and Nutrition Examination Survey data identified increased intakes of fiber
44 and some micronutrients (e.g. vitamin A, vitamins B1, 2, 9 and 12, calcium, phosphorus,
45 magnesium, and potassium), as well as improved dietary intakes of fruit, grains/cereals and
46 milk among breakfast consumers, compared to non-breakfast eaters (Deshmukh-Taskar
47 2010). Epidemiological studies also suggest that breakfast consumption may be associated
48 with a lower body mass index (BMI) and waist circumference (Cho et al. 2003; Song et al.
49 2005; Kant et al. 2008; Azadbakht et al. 2013; Odegaard et al. 2013). However, these
50 findings are not necessarily observed in randomized and controlled investigations involving
51 breakfast interventions (Dhurandhar et al. 2014; Chowdhury et al. 2016).

52 The effect of different breakfast patterns on dietary intake and weight/adiposity status
53 has not been well researched. However, some observational evidence does suggest that the
54 benefits of breakfast consumption depend on the type of meal selected (Cho et al. 2003;
55 Deshmukh-Taskar 2010; O'Neil, Nicklas, et al. 2014). One study reported that individuals
56 who consumed meat/eggs, dairy or fats/sweets for breakfast had a BMI similar to non-
57 breakfast eaters, while the consumption of ready-to-eat/cooked cereal or sweetened breads
58 (e.g. pancakes, pastries and waffles) was associated with reduced BMI (Cho et al. 2003). A
59 more recent investigation concluded that BMI and waist circumference were only lower
60 among breakfast consumers when the breakfast meal contained high-quality grains/cereal
61 (O'Neil, Nicklas, et al. 2014). Given that overweight and obesity represent a major public
62 health issue, experimental studies investigating how different breakfast patterns contribute to
63 caloric intake and influence broader dietary behavior are important.

64 While Western-style breakfasts have traditionally comprised of bread, ready-to-eat
65 cereal and/or milk (Cordain et al. 2005; Williams 2005) many consumers appear to be opting
66 for the convenience of liquid breakfast alternatives (Australian Bureau of Statistics 2014; The
67 Neilson Company 2014), such as smoothies (i.e. blended beverages that typically contain
68 fruit, yoghurt, milk, honey and fruit juice) (McCartney et al. 2018). Indeed, in a recent survey
69 of Australian adults ($n=833$; 79% female; 78% 18–34 y), ~32% of individuals reported
70 consuming ≥ 1 smoothie per week, with the majority of these (~57%) indicating this was
71 consumed as a breakfast meal (i.e. without additional food) (McCartney et al. 2018).

72 Commercial reports also suggest that the “smoothie industry” has experienced considerable
73 financial growth in many countries (e.g. Australia, New Zealand, the UK and the USA)
74 (Gehtman 2008; Technavio 2016). Therefore, a greater understanding of the contribution
75 smoothies make to the dietary intake of individuals is required.

76 Regular consumption of smoothies for breakfast may result in contrasting health
77 outcomes for consumers. On one hand, it is possible that smoothies may provide a convenient
78 vehicle for the consumption of foods and nutrients that are otherwise commonly inadequate
79 in the diet (e.g. fruit, vegetables and/or dairy products) (Bates and Price 2015; McCartney et
80 al. 2018). Indeed, Bates and Price (2015) recently demonstrated that offering smoothies (as
81 opposed to whole-fruit, only) as part of a school breakfast program led to an increase in the
82 number of adolescents consuming ≥ 1 serving of fruit (4.3 vs. 45.1%) and the average number
83 of fruit serves consumed (< 0.1 vs. 0.6 serves \cdot student⁻¹). However, other characteristics of
84 smoothies, specifically, their liquid form and energy density (ED), could possibly promote
85 increased energy intake. For instance, some evidence suggests that caloric fluids evoke
86 weaker satiation than nutritionally-comparable solid and semi-solid foods. Indeed, an analysis
87 of > 40 studies investigating acute appetitive responses to different dietary pre-loads
88 demonstrated that, compared to solid foods, liquids increased energy consumption at the
89 subsequent meal (Almiron-Roig et al. 2013), possibly due to several physiological (e.g.
90 increased rate of ingestion and gastric emptying and reduced mastication) and cognitive
91 factors (de Graaf 2011; Dhillon et al. 2016). Unlike many other fluids, however, smoothies
92 are typically viscous, have a diverse nutrient profile (e.g. protein, fat and fiber) and are
93 produced by blending whole ingredients, which may influence the appetitive responses that
94 they provide (Clegg et al. 2013). The concentration of fruit, dairy foods and other ingredients
95 (e.g. protein supplements, honey, nuts and fruit juice) in smoothies may also result in the
96 formulation of an energy-dense beverage (McCartney et al. 2018). Survey data indicate that
97 many consumers do not feel confident in their ability to predict the energy content of these
98 products (McCartney et al. 2018), meaning that they may depend on internal cues to regulate
99 energy intake following consumption of a smoothie. Previous research suggests that
100 individuals are generally poor at compensating for excess calories consumed in energy dense
101 foods or beverages (Wooley et al. 1972; Louis-Sylvestre et al. 1994). However, it is currently
102 unclear if individuals inherently modify their dietary intake to compensate for excess energy
103 consumed when the ED of a breakfast smoothie is unknown.

104

105 The primary aim of this pilot study was to investigate the effect of consuming cereal
106 (Cereal & Milk) versus a nutritionally-comparable smoothie (Fruit Smoothie) for breakfast
107 on the dietary behavior of free-living adults over 3 consecutive days. Further, a subsequent
108 aim of this study was to determine the extent to which individuals compensated for the
109 additional calories ingested when the ED of the smoothie was increased (High Energy Fruit
110 Smoothie). We hypothesized that participants' daily energy intake would be higher following
111 Fruit Smoothie consumption than Cereal & Milk, and that individuals would fail to reduce
112 their calorie intake sufficiently to offset the additional energy consumed in the High Energy
113 Fruit Smoothie.

114

115 **2.0 Materials and Methods**

116 **2.1 Participant Characteristics**

117 Eleven volunteers aged between 18 and 50 y agreed to take part in this study. One volunteer
118 failed to adhere to the pre-experimental conditions on two separate occasions and was
119 removed from the study prior to completion. The 10 remaining participants ($n=5$ males; $n=5$
120 females) successfully completed all nine experimental trial days (age: 28.4 ± 2.2 y; weight:
121 67.2 ± 5.1 kg; BMI: 23.3 ± 1.0 kg·m⁻²; Mean±SEM). Participants were fully informed of the
122 nature and possible risks of the study before providing written informed consent. The
123 investigation was approved by the University's Human Research Ethics Committee (GU ref
124 number 2015/818) and the procedures were conducted in accordance with the principles
125 outlined by the agreement of Helsinki.

126

127 **2.2 Study Design**

128 This study was conducted as a pilot investigation. While research has previously investigated
129 the effect of food form (i.e. liquid vs. solid pre-loads) on dietary behavior (Almiron-Roig et
130 al. 2013), this is the first study to do so using *fruit smoothies*, specifically. Given their unique
131 characteristics (i.e. viscosity, nutritional profile and the fact they are produced by blending
132 whole ingredients), we felt it would be inappropriate to calculate a sample size using previous
133 data (Almiron-Roig et al. 2013). Thus, we explored the effects based on available resources
134 (e.g. finances, equipment) to examine the feasibility of detecting differences in dietary
135 behavior following the consumption of fruit smoothie or cereal breakfasts.

136 The study design is displayed in Figure 1. Participants attended the research laboratory
137 for breakfast on three consecutive mornings per week (Tuesday, Wednesday and Thursday)
138 for three consecutive weeks. Each week, they received one of three different breakfasts in a

139 repeated-measures design: (1) Cereal & Milk (CM); (2) Fruit Smoothie (FS); or (3) HE Fruit
140 Smoothie (HE). Breakfasts were randomized (individually) and counterbalanced for order
141 using an incomplete Latin-square design. Trials were conducted on weekdays to avoid the
142 confounding effects associated with consuming a poorer quality diet on weekends (e.g. higher
143 fat, total energy and alcohol intake) (McCarthy 2014). Each 3 d test period was separated by
144 a 4 d washout period. Following breakfast, participants were required to photograph and
145 record all food/beverages consumed for the remainder of the day. Diet records were analyzed
146 to determine estimated total daily energy intake (EI) under each of the experimental
147 conditions. EI under the CM vs. FS and FS vs. HE (specifically) were compared to
148 investigate the effect of food form (i.e. solid vs. liquid meal) and added energy on dietary
149 behaviour, respectively.

150

151 **2.3 Deception**

152 To minimize bias during dietary reporting, participants were told that the purpose of the
153 investigation was to explore the impact of consuming liquid breakfasts made with “new
154 blender-technologies” (e.g. Nutribullet®) claiming to “*unlock hidden nutrition from food*” on
155 markers of health and nutritional status, and that the diet records would be used to quantify
156 participants’ habitual intake of “health promoting” constituents (e.g. antioxidants). To
157 reinforce the deception, participants were instructed to collect a first-morning urine sample
158 on trial days and told that the specimen would be used to assess urinary biomarkers of health
159 and nutritional status. Blood glucose level (BGL) and seated blood pressure (BP) were also
160 measured using a finger-prick blood sample (Accucheck Advantage II, Roche) and automated
161 monitor device (OMRON, HEM-7203), respectively prior to breakfast administration on trial
162 mornings.

163

164 **2.4 Preliminary Screening**

165 All volunteers presented to the laboratory where eligibility to participate was assessed. First,
166 individuals provided a description of their usual breakfast behavior. Those classified as
167 “habitual breakfast consumers” (i.e. ≥ 500 kJ within 2 h of waking ≥ 4 d \cdot week $^{-1}$) were eligible
168 to participate in this study. A BMI was calculated from height and weight measurements
169 taken using digital scales (SECA 804 digital scales, ECOMED Trading Pty Ltd) and a
170 stadiometer. To be eligible, participants were required to have a BMI < 30.0 kg \cdot m $^{-2}$ (non-
171 obese) and not have followed an energy-restricted diet in the previous 6 months. Volunteers
172 then completed a medical history questionnaire and the Eating Attitudes Test-26 (EAT-26)

173 (Constain et al. 2014). Individuals were excluded from this study if they scored >20 on the
174 EAT-26, indicating probable disordered eating (Garner & Garfinkel 1979); as were
175 volunteers who self-reported an allergy, intolerance or dislike toward any of the breakfast
176 constituents (unless a nutritionally-comparable ingredient could be substituted). Once
177 eligibility was verified, participants completed the R18 Three-Factor Eating Questionnaire
178 (de Lauzon et al. 2004) (i.e. to measure cognitive, behavioural and emotional influencers of
179 eating behavior) and a short written survey on usual smoothie consumption behaviors.
180 Participants were required to indicate how frequently they consumed smoothies and report
181 the extent to which they agreed with the statement ‘*smoothies are as (or more) filling than*
182 *cereal*’ on a 3-point Likert scale (agree, unsure, disagree). Finally, eligible participants were
183 trained to keep a written diet record (i.e. specific item, estimated quantity and brand name,
184 where applicable) and digital diet record (see *Post-Experimental Procedures* for further
185 details) and instructed to maintain their regular weekly physical activity regime for the
186 duration of the study.

187

188 **2.5 Experimental Procedures**

189 For Day 1 of each treatment condition, participants were instructed to fast ~10 h (overnight)
190 and refrain from drinking water before breakfast. On arrival at the laboratory (~6:00 and 8:00
191 AM), they verbally acknowledged compliance to the pre-trial conditions and self-reported
192 sleep duration. BM (inclusive of light clothing) was measured using digital scales (SECA 804
193 digital scales, ECOMED Trading Pty Ltd). Participants then submitted their urine sample
194 (collected prior to arrival) and completed the BGL and BP measurements. Visual analog
195 scales (VAS) (0–100 mm) were used to evaluate subjective hunger (not at all hungry –
196 hungry as I have ever felt), desire to eat (not at all interested in food – very interested in
197 food), and fullness (not at all full – full as I have ever felt), immediately prior to, and
198 following consumption of, the test-breakfast. VAS were administered via a computerized
199 modifiable software program, (Adaptive Visual Analog Scales, Marsh-Richard et al. (2009))
200 on a laptop computer. On subsequent days for each treatment condition, these procedures
201 were repeated but participants were not required to fast overnight.

202

203 **2.6 Post-Experimental Procedures**

204 Following breakfast, participants were instructed to keep a written record of all food and
205 beverages they consumed for the remainder of the day and to capture images of the items
206 consumed using their smart phone device. A 3.8 × 2.5 × 1.0 cm fiducial marker was included

207 in each image assisting the investigator to estimate the size of the item photographed. Similar
208 methodology has demonstrated good reliability and validity in adult populations (Ngo et al.
209 2009). Food records and accompanying images were submitted to an investigator after each
210 collection period and checked for completeness (e.g. quantities, brand names, missing
211 condiments). Diet records were analyzed using FoodWorks[®] Version 8 (Xyris Software Pty
212 Ltd, Spring Hill, Australia) to determine EI. All diet records were analysed separately by two
213 trained dietitians to provide an indication of measurement error (i.e. coefficient of variation
214 (CV)). A limit of 2 standard alcoholic drinks (i.e. 20 g ethanol) was imposed on trial days, as
215 higher levels of alcohol intake (i.e. ≥ 24 g ethanol) may be influential on appetitive responses
216 (Hetherington et al. 2001).

217

218 **2.7 Breakfast Composition and Administration**

219 ***2.7.1 The Eating Environment***

220 Each participant received an individualized test-breakfast that was energy-matched to their
221 usual intake (except for the HE), determined from descriptions of usual breakfast collected
222 during screening. The macronutrient profile, fiber content and water content of the CM and
223 FS were closely matched per unit energy (Table 1) to ensure that differences in nutritional
224 composition did not influence feelings of satiety or subsequent dietary intake. Participants
225 were encouraged to consume the meal at their own pace, however the amount of time taken
226 to consume the meal was monitored covertly. The meals were typically administered in a
227 group setting (maximum of 4 participants). Those individuals receiving the same treatment
228 were permitted to interact, however other distractions (e.g. laptop computers and mobile
229 phones) were prohibited from use in the eating environment.

230

231 ***2.7.2 Fruit Smoothie and HE Fruit Smoothie***

232 The FS contained the following ingredients (in order of energy contribution): Full cream milk
233 (Woolworths Select[®], Australia) (42.4%), banana (26.2%), blueberries (Creative Gourmet[™],
234 Patties Foods[®], Australia) (14.5%), yoghurt (Vaalia[™], Parmalat Australia Ltd[®]) (11.3%),
235 skim-milk powder (Woolworths Select[®], Australia) (3.0%), psyllium husk (Woolworths
236 Select[®], Australia) (2.5%) and water. Ingredients were blended together for 60 s immediately
237 prior to serving. The HE was prepared and administered as per the standard FS beverage.
238 However, maltodextrin powder (Poly-Joule[™]) containing 20% of the recipients' estimated
239 daily energy requirements (estimated as 100 kJ·kg⁻¹) was also added (Mean \pm SEM: 83.2 \pm 6.4
240 g; Range: 58.8 – 122.7 g). Participants were not told of the manipulation. All beverages were

241 presented in identical disposable cups. As the extent to which a vessel is filled can influence
242 feelings of satiety (Wansink and van Ittersum 2013), the volume of fluid required dictated the
243 use of either a small (500 mL) or large (710 mL) vessel, all of which were filled to >70%
244 capacity.

245

246 **2.7.3 Cereal & Milk Breakfast**

247 The CM contained the following ingredients (in order of energy contribution): Hi-Bran Weet
248 Bix™ (Sanitarium®, Australia) (39.1%), full cream milk (Woolworths Select®, Australia)
249 (31.8%), blueberries (Creative Gourmet™, Patties Foods®, Australia) (17.7%) and yoghurt
250 (Vaalia™, Parmalat Australia Ltd®) (11.4%). Water was served alongside the test-breakfast
251 to match the water content of the Fruit Smoothie. Again, the food was delivered in a small or
252 large bowl filled to >70% capacity. The breakfasts were modified on two occasions due to
253 one participant disliking cow's milk and another reporting lactose intolerance. In these
254 instances, soymilk (So Good Regular™ Sanitarium®, Australia) and lactose-free milk (Zymil
255 Full Cream™ Pauls®, Australia) were substituted for the milk component of the FS, HE and
256 CM.

257

258 **2.8 Statistical Analyses**

259 All statistical procedures were performed using IBM SPSS Statistical Software, Version 22.0
260 (SPSS Inc., Chicago, IL). All measures were examined for normality (Shapiro-Wilk,
261 p 's>0.05) and sphericity (Mauchly's test, p 's>0.05), respectively. Planned comparisons were
262 performed to investigate: (1) the impact of consuming an isocaloric solid (i.e. CM) vs. liquid
263 (i.e. FS) breakfast on EI; and (2) the capacity of individuals to compensate for additional
264 energy ingested when the caloric content of the smoothie is altered (i.e. HE vs. FS). EI was
265 calculated as the energy content of the test-breakfast plus the energy content of the food and
266 beverages consumed for the remainder of the day (i.e. diet record). Analyses of EI were
267 performed using 2 (treatment, i.e. CM vs. FS or FS vs. HE) × 3 (Day, i.e. day 1, 2 and 3)
268 repeated-measures analysis of variance (ANOVA). The percentage of added energy that was
269 compensated for on the HE treatment (i.e. by a reduction in EI over the remainder of the day)
270 on days 1, 2 and 3 (i.e. 24 h %EC) and in total (i.e. 3 d %EC), were calculated (relative to EI
271 on the FS condition) using the following equation:

272

$$273 \text{ EC(\%)} = \left\{ \frac{(\text{Diet Record EI}_{[\text{FS}]} - \text{Diet Record EI}_{[\text{HE}]})}{(\text{Test Meal EI}_{[\text{HE}]} - \text{Test Meal EI}_{[\text{FS}]})} \right\} \times 100$$

274

275 Where 0% EC indicates the energy difference between test-meals was not compensated
276 for and 100% EC indicates complete compensation. Values <0% suggest that subsequent EI
277 was *greater* following HE than FS. Paired *t*-tests were used to compare total 3 d EI across
278 treatments. The time trend in % EC across the 3 d was investigated using a one-way repeated-
279 measures ANOVA. Pre- and post-breakfast subjective ratings were investigated using 2
280 (Treatment) × 2 (Time, i.e. pre- vs. post- meal) × 3 (Day, i.e. day 1 – 3) repeated measures
281 ANOVAs. One-way repeated-measures ANOVA were performed to compare the pre-trial
282 conditions (i.e. sleep duration and BM) *within* each treatment (i.e. across days 1 to 3 of a
283 single treatment). No statistically significant differences were observed within each
284 treatment. Therefore, the mean 3 d value for each variable was taken and used to compare
285 pre-trial conditions across all three treatments. The time taken to consume CM vs. FS, and FS
286 vs. HE treatments were compared via 2 (treatment, i.e. CM vs. FS and FS vs. HE) × 3 (Day,
287 i.e. days 1 – 3) repeated-measures ANOVA. Pairwise comparisons were performed where
288 significant main effects were present. Significant differences were accepted as $p < 0.05$. Each
289 of the 18 items on the Three Factor Eating Questionnaire was given a score between 1 and 4
290 and item scores were summed into raw scores for cognitive restraint, uncontrolled eating, and
291 emotional eating. The raw scale scores were then transformed to a 0–100 scale [$((\text{raw score} -$
292 $\text{lowest possible raw score})/\text{possible raw score range}) \times 100$]. Higher scores in the respective
293 scales are indicative of greater cognitive restraint, uncontrolled, or emotional eating (Anglé et
294 al. 2009). All data are presented as Mean±SEM.

295

296 **3.0 Results**

297 **3.1 Participant Characteristics and Pre-Trial Conditions**

298 Of the 10 participants that took part in this study, $n=6$ indicated that they usually consumed
299 ≥ 1 smoothie·week⁻¹ (3.5 ± 0.9 ·week⁻¹) (most often as a snack between meals ($n=2$), as a
300 breakfast ($n=3$) or as an accompaniment to meal ($n=1$)) and $n=4$ consumed smoothies
301 infrequently (>1 ·year⁻¹). Half of the participants ($n=5$) *agreed* that smoothies would be “*as*
302 *filling (or more filling) than a cereal and milk*”, whereas the remaining participants either
303 *disagreed* ($n=3$) or indicated they were *unsure* ($n=2$) about the satiating effects of smoothies.
304 According to the Three Factor Eating Questionnaire, no participant exceeded clinical
305 thresholds for cognitive restraint, uncontrolled eating or emotional eating. All participants
306 verbally acknowledged compliance to the pre- and post- experimental procedures upon
307 arrival at the laboratory. Mean sleep duration; $F(2,18)=1.09$, $p=0.358$; and BM, $F(2,18)=1.14$,

308 $p=0.341$; were similar across all three experimental treatments (CM: 7.1 ± 0.3 h, 66.6 ± 5.0 kg;
309 FS: 6.6 ± 0.4 h, 66.8 ± 5.0 kg; HE: 7.0 ± 0.3 h, 66.9 ± 5.1 kg).

310

311 **3.2 Breakfast Characteristics**

312 The energy content of participants' usual breakfast was estimated as 1673 ± 283 kJ. Hence, the
313 energy content of the CM and FS reflected this (Table 2). The HE provided approximately
314 3019 ± 335 kJ. All participants voluntarily consumed the entire contents of each test-meal.

315

316 **3.3 Cereal & Milk vs. Fruit Smoothie: Effects of Food Form**

317 ***3.3.1 Subjective Ratings and Eating Time***

318 2 (Treatment) \times 2 (Time) \times 3 (Day) analyses of mean subjective hunger, desire to eat, and
319 fullness ratings revealed a significant main effect of time on each scale, $F(1,9)=66.3$;
320 $F(1,9)=38.9$; $F(1,9)=70.7$, respectively (all p 's <0.001), such that consuming a test-breakfast
321 significantly decreased hunger (71 ± 5 vs. 18 ± 4 mm) and desire to eat (77 ± 5 vs. 24 ± 6 mm),
322 whilst increasing fullness (16 ± 4 vs. 70 ± 5 mm). No other significant main effects or
323 interactive effects were observed (all p 's >0.05). The amount of time taken to consume the
324 CM (8.5 ± 1.3 min) vs. FS (7.8 ± 1.3 min) was not significantly different by treatment or day
325 (p 's >0.05).

326

327 ***3.3.2 Estimated Energy Intake***

328 Daily EI across the 3 d intervention period under the CM and FS treatments is indicated in
329 Table 3. A 2 (Treatment) \times 3 (Day) analysis of EI failed to indicate a significant main effect
330 of treatment, time or treatment \times time interaction (all p 's >0.05). Total 3 d EI was also similar
331 between experimental treatments matched for energy intake ($p=0.182$). Hence, food form was
332 not significantly influential on EI.

333

334 **3.4 Fruit Smoothie vs. HE Fruit Smoothie: Effects of Added Energy**

335 ***3.4.1 Subjective Ratings and Eating Time***

336 2 (Treatment) \times 2 (Time) \times 3 (Day) analyses of mean subjective hunger, desire to eat, and
337 fullness ratings revealed a significant main effect of time on each scale, $F(1,9)=59.4$;
338 $F(1,9)=54.6$; $F(1,9)=54.8$, respectively (all p 's <0.001), such that consuming a test-breakfast
339 significantly reduced hunger (68 ± 5 vs. 17 ± 4 mm) and desire to eat (77 ± 5 vs. 24 ± 5 mm),
340 whilst increasing fullness (18 ± 5 vs. 73 ± 5 mm). No other significant main effects or

341 interactive effects were observed (all p 's>0.050). The amount of time taken to consume the
342 FS (7.8±1.3 min) vs. HE (7.8±1.3 min) was not significantly different by treatment or day (all
343 p 's>0.05).

344

345 **3.4.2 Estimated Energy Intake and Dietary Energy Compensation**

346 Daily EI across the 3 d intervention period under the FS and HE treatments is indicated in
347 Table 3. A 2 (Treatment) × 3 (Day) analysis of mean daily EI revealed a significant main
348 effect of treatment, $F(1,9)=9.751$, $p=0.012$, such that the HE increased (+1290±413 kJ) EI,
349 compared to the FS treatment. No significant main effect of time, $F(2,18)=0.138$, $p=0.872$;
350 or treatment × time interaction, $F(2,18)=0.394$, $p=0.680$ was detected. Total 3 d EI also
351 differed between the experimental treatments ($p=0.012$). The percentage of added energy
352 compensated for on the HE (i.e. by a reduction in EI over the remainder of the day) on days
353 1, 2, 3 and in total is indicated in Table 4; total EC by individual participants over the 3 d
354 period is indicated in Figure 2. The mean percentage of 3 d total EC was 17.7±28.7%. There
355 was no significant time-trend for EC across the 3 d period of intervention with the HE,
356 $F(2,18)=0.842$, $p=0.447$. Two out of ten participants compensated sufficiently to offset the
357 total amount of additional energy, whereas four participants ingested more energy in the
358 period following consumption of the HE compared to FS.

359

360 **3.5 Measurement Error and Treatment Order Effects**

361 The co-efficient of variation (CV) for EI was calculated as 11.3% (between the two trained
362 dietitians). Analysis indicated no significant effects of treatment order on 3 d mean EI over
363 the study duration, $F(2,18)=0.572$, $p=0.573$. An additional paired t -test comparing 3 d mean
364 EI on trials that *preceded* vs. *followed* the HE in the 6 participants that received this breakfast
365 either first or second (i.e. where it could potentially impact dietary behavior on a subsequent
366 treatment) also did not reveal a significant trial order effect, $t(5)=1.23$, $p=0.275$.

367

368 **4.0 Discussion**

369 This pilot study investigated the effect of consuming ready-to-eat cereal (CM) or a
370 nutritionally-comparable smoothie (FS) for breakfast on the dietary behaviour of free-living
371 adults over a 3 d period. This study also examined individuals' capacity to compensate for
372 additional calories ingested when the ED of the smoothie was increased (HE). Overall, results
373 indicate that participants' total daily EIs were similar when consuming CM vs. FS at
374 breakfast. However, the response to the additional energy consumed in the HE condition was

375 highly variable, with very little compensation observed, resulting in an increased energy
376 intake associated with this treatment. Thus, consuming a smoothie instead of cereal at
377 breakfast does not appear to predispose consumers to excess energy intakes across the
378 remainder of the day. However, individuals concerned about weight-management should be
379 cautious of energy dense formulations.

380 Contrary to our hypothesis, the results of the present study indicate that participants'
381 total daily EIs were similar when consuming CM vs. FS at breakfast (7894 ± 547 vs.
382 7570 ± 463 kJ·d⁻¹). Furthermore, although not statistically significant ($p=0.182$), total 3 d EI
383 was ~1000 kJ *lower* when participants consumed the FS. This observation contrasts previous
384 acute “preload test-meal” studies, which demonstrate that caloric liquids (e.g. milkshakes,
385 fruit juice, liquid yoghurt and soft drinks) generally promote EI at a subsequent meal (when
386 compared to solid foods) (Almiron-Roig et al. 2013). One factor that may have contributed to
387 the current result is the type of “test-food” investigated. In contrast to other fluids, smoothies
388 are viscous, have a diverse nutrient profile (e.g. protein, fat and fiber) and are produced by
389 blending whole ingredients into small liquefied particles. These characteristics may affect the
390 appetitive responses that smoothies provide (Clegg et al. 2013). Indeed, soup, the only liquid
391 that has so far been demonstrated to elicit stronger satiety sensations than other solid foods
392 (Mattes 2005; Clegg et al. 2013), shares these characteristics. The viscous consistency of
393 soup contributes to “fullness” by delaying gastric emptying and increasing gastric distention
394 (Santangelo et al. 1998; Marciani et al. 2012; Clegg et al. 2013). Specifically, gastric
395 emptying of solid food involves the separation of solid and liquid particulate, leading to
396 accelerated emptying of the liquid component (Clegg et al. 2013). When the solid and liquid
397 particulate are combined in a thick consistency, this separation is delayed and gastric
398 distention is increased (Marciani et al. 2012). Some data also suggest that the small particles
399 of food in soup increase nutrient availability in the duodenum, which may potentiate
400 secretion of satiety hormones, compared to solid foods (Santangelo et al. 1998; Clegg et al.
401 2013). Whilst the present study did not assess gastric emptying or hormonal responses to the
402 test-breakfasts, it is conceivable that smoothies may elicit similar physiological effects.
403 Furthermore, these mechanisms could potentially explain the non-significant reduction in
404 total 3 d EI with Fruit Smoothie consumption.

405 The inability to detect differences in dietary intake following the consumption of solid
406 vs. liquid breakfasts in the present study may reflect a Type II error due to the low-sensitivity
407 of the dietary assessment tools employed in free-living situations (Burke et al. 2001). It is
408 important to acknowledge that acute studies (conducted in controlled laboratory

409 environments) facilitate direct observation of eating and are therefore likely to involve fewer
410 sources of assessment error (e.g. reporting bias) than studies utilizing prospective diet
411 records. While these protocols may facilitate the detection of difference in dietary behaviour,
412 they often lack ecological validity by controlling many factors (e.g. food preferences,
413 availability and accessibility) which may influence dietary intake (Stubbs et al. 1998).

414 To our knowledge, only three studies have attempted to determine longer-term (e.g.
415 ≥ 24 h) consequences of substituting solid foods with liquid alternatives in free-living
416 individuals. Of these (Hulshof et al. 1993; DiMeglio and Mattes 2000; Houchins et al. 2012),
417 only one (DiMeglio and Mattes 2000) has produced results that are consistent with acute
418 “preload test-meal” studies, whereby consumption of a caloric beverage increased energy
419 intake compared to a solid food. In this study (DiMeglio and Mattes 2000), lean participants
420 ($n=15$) were supplemented solid vs. liquid carbohydrate ($\sim 1.9 \text{ MJ}\cdot\text{d}^{-1}$) over a 28 d period.
421 Mean daily EI was significantly lower with the solid food ($\sim 1.7 \text{ MJ}$). However, the change in
422 BM that occurred pre- to post-intervention did not differ between treatments, which appears
423 to contradict the large change in dietary energy intake reported. In a study conducted over a
424 56 d period, Houchins et al. (2012) failed to detect a significant difference in mean daily EI
425 or change in BM amongst overweight/obese ($n=19$) or lean ($n=15$) individuals who were
426 provided solid vs. liquid fruits and vegetables ($400\text{--}550 \text{ kcal}\cdot\text{d}^{-1}$). Finally, Hulshof et al.
427 (1993) found that individuals ($n=33$) receiving a solid vs. liquid breakfast consumed similar
428 diets for the following 48 h. Collectively, these observations appear consistent with the
429 present investigation in that, the consumption of an isocaloric liquid vs. solid meal does little
430 to influence total energy intake in free-living individuals.

431 When participants were exposed to the HE condition, EC was poor and the extent to
432 which it occurred was highly variable. The majority of participants demonstrated little or no
433 compensation, however, two participants demonstrated complete compensation (i.e. EC
434 $>100\%$) across the 3 d period (Figure 2). This observation is consistent with prior research
435 that indicates that EC behavior is likely to be influenced by a variety of factors, including age
436 (Appleton et al. 2011), gender (Davy et al. 2007), BMI (Houchins et al. 2012), physical
437 activity level (Long et al. 2007), genetic polymorphisms (Cecil et al. 2006), familiarity
438 (Appleton et al. 2011) and eating style (e.g. rate of intake) (Robinson et al. 2014). Studies
439 have also documented considerable day-to-day variation in energy intake (Tarasuk and
440 Beaton 1992). Therefore, despite conducting trials on the same days each week (i.e. in an
441 effort to minimize dietary variability), it is likely that fluctuations in dietary behavior
442 (unrelated to this study) contributed to the observed result. It is also important to note that the

443 current study used a commercial maltodextrin powder to manipulate the ED of the HE Fruit
444 Smoothie. Maltodextrin was used because it has little impact on the sensory properties of the
445 beverage (i.e. facilitating blinding). In reality, however, ingredients that increase the ED of
446 smoothies (e.g. ice-cream, honey, nuts/seeds and fruit juice, and sugar) are likely to alter the
447 taste, texture and/or appearance of the product. Therefore, even consumers with no prior
448 knowledge of a smoothie's energy content, may receive sensory inputs that influence the
449 perceived nutrient content (e.g. sweetness or creaminess), and thus, dietary energy
450 compensation. It is also worthwhile noting that, under "real world" conditions (i.e. where the
451 breakfast meal is not strictly prescribed), palatability is likely to play an important role in
452 determining the quantity of food (and thus, dietary energy) ingested by an individual.
453 Therefore, future research should investigate *ad libitum* breakfast consumption behaviours as
454 well as individuals' capacity to compensate for additional calories ingested via energy-dense
455 smoothies which also differ in their organoleptic properties.

456 Acute feeding studies generally indicate that EC is weak at a subsequent meal (Stubbs
457 and Whybrow 2004). However, limited research has examined longer-term (e.g. ≥ 24 h)
458 consequences of manipulation to EI in free-living individuals. This is important because
459 corrective responses to deviations from average EI may take several days to occur (Bray et al.
460 2008) and evidence suggests that the short-term effects of ED on EI do not translate into the
461 longer term (Stubbs and Whybrow 2004). The present results indicate that EC was highly
462 variable. While, the mean results support a temporal effect of changing ED on EI, (i.e. $\sim 40\%$
463 compensation observed on days 1 and 2, while day 3 $\sim 30\%$) at the individual level,
464 responses appeared inconsistent. This is likely to reflect the multifactorial determinants of EI
465 in free-living individuals.

466 The present investigation does contain several limitations. First, as this was a pilot
467 study, it involved a relatively small number of participants ($n=10$). Future investigations can
468 use the current data to inform sample size calculations that enable extension of this work.
469 Second, while participants were instructed to maintain their regular physical activity regime
470 for the duration of this study, we did not monitor participants' activity levels directly. Thus,
471 differences in energy expenditure may have affected the results, particularly given that
472 dietary manipulations may influence daily physical activity (Chowdhury et al. 2016). Future
473 studies should employ objective measures to allow quantification of energy expenditure and
474 control for variations should they exist. Finally, studies that rely on self-reported dietary
475 intakes can be influenced by under-reporting and altered feeding behavior. However, as this
476 was a counterbalanced repeated-measures design (in which participants were required to

477 provide photographic evidence to support their diet record), these biases would be likely to
478 affect all treatment arms similarly. In addition, as subjects were informed that the diet records
479 would be used to quantify intakes of other “health promoting” constituents, the emphasis on
480 dietary energy intake should have been reduced. Nonetheless, a logical extension of the
481 current work would be a laboratory investigation with measurement of appetite hormones and
482 hedonic responses, which would provide valuable mechanistic information.

483

484 **5.0 Conclusion**

485 Results from the current investigation suggest that substituting ready-to-eat cereal with an
486 isocaloric smoothie at breakfast may not predispose consumers to excess energy intakes
487 across the remainder of the day. However, individuals are unlikely to offset additional
488 calories consumed in energy-dense beverages. Therefore, individuals interested in weight-
489 management should be cautious of these formulations. Future studies should consider
490 obtaining data from a larger participant population (including both lean and overweight/obese
491 individuals) over a longer intervention duration to gain a better understanding of the
492 appetitive responses that smoothies provide.

493

494

495 **Acknowledgements**

496 The authors would like to thank Annette Billiau for her assistance conducting this study.

497

498 **Disclosure Statement**

499 No potential conflict of interests.

500

501 **Funding**

502 This research did not receive any specific grants from funding agencies in the public,

503 commercial, or not-for-profit sectors.

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642

Tables 1 – 4:

Table 1. Nutritional composition of the Fruit Smoothie (FS) and Cereal & Milk (CM).

	CM	FS	Difference (%)
Carbohydrate (g·MJ⁻¹)	32.7	32.6	0.1
Protein (g·MJ⁻¹)	9.3	9.6	3.3
Fat (g·MJ⁻¹)	6.4	6.2	2.9
Dietary Fibre (g·MJ⁻¹)	6.3	6.5	3.2
Water (g·MJ⁻¹)	360	365	1.2

Table 2. Nutritional composition of participants' usual breakfast and experimental breakfasts.

	Usual Breakfast	CM	FS	HE
Total Energy (kJ)	1673 ± 283	1675 ± 283	1675 ± 283	3019 ± 335
CHO (g)	46.5 ± 7.0	54.6 ± 9.2	54.7 ± 9.2	143 ± 14.5
Protein (g)	22.4 ± 7.6	16.2 ± 2.7	15.6 ± 2.6	15.6 ± 2.6
Fat (g)	11.5 ± 2.6	10.4 ± 1.8	10.7 ± 1.8	10.7 ± 1.8
Fibre (g)	6.9 ± 1.2	10.9 ± 1.8	10.5 ± 1.8	10.5 ± 1.8

Table 3. Mean daily EI across the 3 d with Cereal & Milk (CM), Fruit Smoothie (FS) and High Energy FS (HE).

	CM (kJ)	FS (kJ)	HE (kJ)
Day 1	7982 ± 559	7859 ± 568	8858 ± 1,098
Day 2	7856 ± 582	7536 ± 650	8602 ± 670
Day 3	7842 ± 626	7315 ± 661	9122 ± 707
3 d Total	23681 ± 1,640	22710 ± 1,389	26583 ± 2,178
3 d Mean	7894 ± 547	7570 ± 463	8861 ± 726

Table 4. The percentage of added energy compensated for on the High Energy Fruit Smoothie (HE).

	% EC
Day 1	45.5 ± 52.0
Day 2	38.4 ± 44.1
Day 3	-30.7 ± 60.2
3 d Total	17.7 ± 28.7

Figure Captions:

Figure 1. Schematic representation of the study design employed.

Figure 2. Percentage of the total energy added to the HE compensated for by each participant over the 3 d intervention period compared to the FS. 0% EC indicates the energy difference between test-meals was not compensated for, and 100% EC indicates complete compensation. Values <0% suggest that subsequent EI was greater following HE than FS. Each bar represents one individual subject. Corresponding characteristics of individual participants are tabulated below. Expected satiety value reflects participants' responses to the following statement "*smoothies are as (or more) filling than cereal*". A: Agree; D: Disagree; F: Female Subject; M: Male Subject; P1 – 10: Participants 1 – 10; U: Unsure. 3-Factor Eating Questionnaire Scores are presented as a percentage of maximum score for each domain.