BMJ Open  Hospital climate actions and assessment tools: a scoping review protocol

Connie Cai Ru Gan,1 Nicola Banwell,2 Ramon San Pascual,3 Cordia Chu,1 Ying Wei Wang4

ABSTRACT
Introduction  Health and climate change are inexorably linked through the exacerbation of health risks and the contribution of the health sector to greenhouse gas emissions. Climate action in healthcare settings is critical to reduce risks and impacts of climate change through the smarter use of energy, minimising waste and enhancing disaster preparedness. Globally, hospital climate action is growing; however, the potential for further progress and impacts remains. The literature on this topic lacks synthesis, and this poses challenges for hospital leadership in tracking the impact of climate action. This scoping review will summarise the current knowledge about hospital climate action and existing tools to measure progress in this area.

Methods and analysis  This scoping review will be conducted applying the six-stage protocol proposed by Arksey and O’Malley. The study includes literature of how hospitals have addressed climate change (mitigation and adaptation) since the Kyoto Protocol was signed in 1997. All identified studies indexed in Medline, Scopus, Embase and CINAHL will be examined. The search strategy will also include Google Scholar to capture relevant grey literature. Quantitative and thematic analysis will be used to evaluate and categorise the study results.

Ethics and dissemination  This scoping review is part of the climate-smart healthcare initiative which will provide a valuable synthesis to aid understanding of hospitals’ climate actions, and tools used to measure its implementation. As such it will contribute to mobilising and accelerating the implementation of climate action in hospitals. The findings will be disseminated with all members of the International Health Promoting Hospital and Health Services (HPH) and the Global Green and Healthy Hospital network. Dissemination will occur through peer-reviewed publications; and with the HPH and GGHH members through its annual conference and newsletter.

INTRODUCTION
Climate change threatens the lives and health of countless people, coastal cities and local economies. Researchers have warned that climate change will intensify and increase the severity of various climate-sensitive extreme events and their associated health risks.1–4 More than 6000 subnational actors, including cities and regions, have made quantifiable commitments to reducing emissions to achieve the Paris Agreement.5 However, making commitments is only the first step towards the transformations necessary to achieve nationally determined contributions. Collective tracking of contributions from individual commitments is challenging because of inadequate and often incomplete information on targets and greenhouse gas emissions. Moreover, there are ongoing efforts to align methodologies and concepts applied by the diverse range of stakeholders engaged in climate action.

Delays in action increase the risk of missing global mitigation targets and exposing the world to more significant impacts from climate change.6 7 The recent Intergovernmental Panel on Climate Change ‘1.5 Degree Report’ highlighted the potential consequences of climate change if current emission rates continue.8 Global warming and climate-related disasters, such as floods and infectious disease outbreaks present severe health threats on a global scale.9 Hospitals play a...
The purpose of this scoping review is to synthesise knowledge on how hospitals incorporate adaptation and mitigation practices to address climate change. The findings from this scoping review will provide a comprehensive understanding of the current knowledge of hospital climate actions and tools used to measure their implementation. This review will showcase existing actions and share knowledge on solutions with high potential for success.

**METHODOLOGY**

**Patient and public involvement**

This scoping review study will have no patient or public involvement in the design or planning of this study.

**Protocol design**

This scoping review will be conducted based on the methodology as per Arksey and O’Malley27 and further developed by Levac et al.28 These methods include six-stage procedures including (1) identifying the research question; (2) information sources and search strategy; (3) study selection; (4) data extraction; (5) collating, summarising and reporting the result; and (6) external consultation with relevant stakeholders.

1. **Identifying the research question**
   - To what extent do hospitals address climate change?
     - Mitigation (sustainable policy, improve energy efficiency, shifting to renewable energy, minimising waste, green procurement).
     - Adaptation (disaster risk reduction, health professionals’ and community climate awareness and preparedness, vulnerability evaluation, early warning system).
   - What measures and tools exist in the scientific literature regarding climate change mitigation and adaptation in hospitals?

2. **Information sources and search strategy**

Both mitigation and adaptation measures, which include projections and preparedness for the impacts of climate change to lessen health burden. By focusing their efforts to enhance the adaptive capacity in specific areas, promoting institutional improvement, embracing adaptive management and developing tools,24 to support these processes are important priorities and can build the resilience of local health systems to climate change.25 26 However, it is unclear how hospitals worldwide are acting to reduce emissions and adapt to climate change.

As the converging crises of health and climate change, we require much more radical climate action. Therefore, this scoping review will synthesise the current knowledge about hospital climate action and the existing tools to measure progress in this area. As such, it will contribute to mobilising and accelerating hospitals to implement climate action.
## Table 1  Search strategy syntax for Medline, Scopus, Embase and CINAKL

<table>
<thead>
<tr>
<th>Database</th>
<th>Search strategy syntax</th>
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<tr>
<td>Medline</td>
<td>(“Healthcare provider*” OR “health care provider*” OR “healthcare industr*” OR “health care industr*” OR “health care sector*” OR “health care sector” OR “hospital” OR “medical facilit*” OR “hospital” OR “health facilit*” OR “Medical facilit*” OR “health institution*” OR “Medical institution*” OR “health care cent*” OR “healthcare cent” OR “acute care unit*” OR “healthcare institution” OR “health care institution” OR “intensive care unit*” OR “ward” OR “clinic” OR “emergency department” OR “trauma cent*” OR “nursing station” OR “operating theat*” OR “dialysis centre*” OR “dialysis center” OR “hemodialysis OR operating room*” OR “surgical service*”) AND (“climate change” OR “climate variabil*” OR “global warming” OR “greenhouse effect*” OR “greenhouse gas emission*” OR “GHGE” OR “heat wave*” OR “heatwave” OR “high temperature*” OR “drought” OR “flood” OR “climate induced” OR “climate related disaster*” OR “storm*” OR “typhoon*” OR “hurricane*” OR “cyclone*” OR “sea level rise*”) AND (Mitigation OR reduction OR adaptation OR cease OR tackle* OR address OR combat OR fight OR Strateg OR procedure OR process OR implementation OR action* OR effort OR attempt* OR polic* OR framework OR plan* OR law OR approach* OR response* OR “system thinking” OR integrated model)</td>
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<td>Scopus</td>
<td>TITLE-ABS-KEY (“Healthcare provider*” OR “health care provider*” OR “healthcare industr*” OR “health care industr*” OR “healthcare sector*” OR “health care sector” OR “hospital” OR “medical facilit*” OR “hospital” OR “health facilit*” OR “Medical facilit*” OR “health institution*” OR “Medical institution*” OR “health care cent*” OR “healthcare cent” OR “acute care unit*” OR “healthcare institution” OR “health care institution” OR “intensive care unit*” OR “ward” OR “clinic” OR “emergency department” OR “trauma cent*” OR “nursing station” OR “operating theat*” OR “dialysis centre*” OR “dialysis center” OR “hemodialysis OR operating room*” OR “surgical service*”) AND TITLE-ABS-KEY (“climate change” OR “climate variabil*” OR “global warming” OR “greenhouse effect*” OR “greenhouse gas emission*” OR “ghge” OR “heat wave*” OR “heatwave” OR “high temperature*” OR “drought” OR “flood” OR “climate induced” OR “climate related disaster*” OR “storm*” OR “typhoon*” OR “hurricane*” OR “cyclone*” OR “sea level rise*”) AND TITLE-ABS-KEY (mitigation OR reduction OR adaptation* OR cease OR tackle* OR address OR combat OR fight OR Strateg OR procedure OR process OR implementation OR action* OR effort OR attempt* OR polic* OR framework OR plan* OR law OR approach* OR response* OR “system thinking” OR integrated model)</td>
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Eligible studies will be identified through Medline, Scopus, Embase and CINAHL. The search terms cover all areas of climate action and hospital, including Medical Subject Headings (MeSH) terms, subject headings and keywords. To truly capture grey literature, search strategy will include Google Scholar, and searches of targeted organisations, file types and websites. The keywords for the search strategy are outlined in box 1, and the corresponding search syntaxes for each database are outlined in table 1. To capture all eligible studies, we will follow the search strategy, which allows both MeSH terms and free text.

3. Study selection

The research team will first use Zotero software to remove duplicates of references; and then screen titles and abstracts in English, Chinese and Indonesian. Two independent reviewers who have proficiencies in English, Chinese and Indonesian will be involved in this step. Title and abstract screening will be based on the inclusion and exclusion criteria outlined in table 2. Those that meet all eligibility criteria will be included in the analysis. All screening and data extraction process will be conducted by two independent reviewers. The two primary reviewers will resolve any inconsistencies in study eligibility through discussion, before involving a third reviewer in the study selection stage.

4. Data extraction process

The scoping review will explore the implemented mitigation and adaptation actions in hospitals and the tools which are being used to track progress. Data extraction will include: author, publication date, journal, study characteristic, intervention characteristic, tools used to measure intervention, intervention results (eg, barriers, success factors and outcomes) and drivers reported associated with hospital climate action. Examples of facilitating factors include, but are not limited to, law and policy, available funding, electricity cost, advocates (eg, environmentalist), characteristic of the hospital (eg, faith-based organisations), vulnerability to climate change (eg, higher risk of flood, past disasters experience). The research team will discuss these factors before reviewing to ensure standardisation and comprehensiveness.

5. Collating, summarising and reporting the result

The primary researcher will conduct a thematic analysis to explore and examine study results, disciplines associated with hospitals climate action and tools used to measure its implementation. The result is presented using tabulated data, and new themes will be included as required.

6. External consultation with relevant stakeholders

A 1-day consultation meeting will be held to present a preliminary summary of the state of evidence related to hospital climate actions and tools used to measure its implementation. Experts, health practitioners and relevant stakeholders in the field of greening healthcare will be encouraged to express their ideas as they pertain to the results during the meeting discussion. The meeting will include a combination of structured presentations from experts, small and large group discussion sessions to facilitate knowledge exchange of insights for establishing a common consensus and identifying critical considerations for promoting climate actions in hospital settings.

The authors will use the Preferred Reporting Items for Systematic Reviews and Meta-Analyses extension for scoping review checklist on the reporting of this scoping review.

Ethics and dissemination

This project is literature-based research, thus does not require ethical approval. This research is part of the climate-smart hospital initiative to enhance hospital climate preparedness. The Task Force on Health Promoting Hospital and Health Services (HPH) and Environment of The International HPH provides valuable guidance to inform this work. The taskforce consists of health practitioners across regions who provide strategic advice to HPH members on various environment-related matters that impact health promotion-based practice in hospital settings.

We will share the review findings with all members of the International HPH and Global Green and Healthy Hospital network. Results may be of interest to Ministries of Health, policy-makers, national climate change and health teams, hospital managers, healthcare practitioners
globally who are seeking to improve their understanding of hospital adaptation and implementation strategy internationally. Dissemination will occur through peer-reviewed scientific publications; and with HPH and GGHH members through their annual conference and newsletter.

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Acknowledgements The authors are grateful to Wendy Summers-Penny, Dr Sima Barmania and Dr Febi Dwirahmati for their inputs, and we thank the four reviewers whose insightful comments have greatly improved this protocol.

Contributors CCRG prepared the first draft, initiated the project and revised the manuscript. NB and RSP advised on the rationale and search strategy. CC and YWW provided inputs on study methodology.

Funding The authors have not declared a specific grant for this research from any funding agency in the public, commercial or not-for-profit sectors.

Competing interests None declared.

Patient consent for publication Not required.

Provenance and peer review Not commissioned; externally peer reviewed.

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REFERENCES