

A theory-based intervention to reduce alcohol drinking in excess of guideline limits among undergraduate students

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A Theory-Based Intervention to Reduce Alcohol Drinking in Excess of Guideline Limits
among Undergraduate Students

Abstract

1
2 **Objectives.** Undergraduate students frequently exceed guideline limits for alcohol intake in a
3 single session and are highly susceptible to associated health, social, and economic problems.
4 Psychological theory suggests that interventions aimed at reducing alcohol consumption should
5 target both motivational and volitional phases of action to be effective. This study reports an
6 integrated theory-based intervention aimed at reducing undergraduates' alcohol consumption in
7 excess of guideline limits.

8 **Design.** The study adopted a 2 (motivation: mental simulation vs. no mental simulation) x 2
9 (volitional: implementation intention vs. no implementation intention) randomized controlled
10 design presented in an online format.

11 **Methods.** Undergraduate students ($N = 238$; females, $n = 133$, M age = 20.11, $SD = 2.09$;
12 males, $n = 105$, M age = 20.38, $SD = 1.35$) completed baseline psychological measures and
13 self-reported alcohol consumption as units consumed and heavy episodic drinking occasions
14 followed by the intervention manipulation (if any). One month later participants completed
15 follow-up measures of the psychological variables and alcohol consumption.

16 **Results.** Significant reductions in alcohol consumption were observed at follow-up.
17 Participants receiving a mental simulation intervention reported significantly fewer units of
18 alcohol consumed and heavy episodic drinking occasions. Among participants with high
19 baseline alcohol consumption, participants in the combined mental simulation and
20 implementation intention intervention group consumed significantly fewer units than other
21 groups.

22 **Conclusion.** Results support the use of these theory-based strategies to reduce alcohol drinking
23 in excess of guideline limits among undergraduates. There was preliminary support for the
24 interaction between the two strategies among heavier drinkers. Targeting both motivational and
25 implemental phases of action poses a high probability for success in changing alcohol-related
26 behaviour in this population.

1
2 *Keywords:* binge drinking, implementation intentions, mental simulations, planned behaviour,
3 internet, randomized trial
4

1 A Theory-Based Intervention to Reduce Alcohol Drinking in Excess of Guideline Limits
2 among Undergraduate Students

3 Excessive consumption of alcoholic beverages in a single-session, known as heavy
4 episodic or 'binge' drinking, has been linked to numerous health, social, and economic
5 problems in young people (Plant, Plant, Miller, Gmel, & Kuntsche, 2009). University and
6 college undergraduate students comprise a segment of the young population that has high rates
7 of alcohol consumption, particularly heavy episodic drinking, compared to their non-student
8 counterparts (Bailer et al., 2009; Gill, 2002; Nelson, Xuan, Lee, Weitzman, & Wechsler, 2009).
9 Research has demonstrated that heavy episodic drinking in this population not only leads to
10 increased risk of the previously-cited health, social, and economic problems (Mundt,
11 Zakletskaia, & Fleming, 2009), but is also related to impaired academic performance (Thombs
12 et al., 2009) and drop-out (Martinez, Sher, & Wood, 2008). Universities and colleges are
13 therefore faced with the serious challenge of managing the alcohol consumption of the student
14 body and ameliorating the associated problems of heavy episodic drinking (Borsari, Murphy,
15 & Barnett, 2007; Wechsler, Dowdall, Maenner, Gledhill-Hoyt, & Lee, 1998).

16 In response to the evidence linking high-levels of alcohol consumption and maladaptive
17 outcomes in undergraduate students, governments (Department of Health, 2009b), university
18 authorities (Health Challenge Wales and National Union of Students Wales, 2009), charities
19 (Drinkaware, 2009), and student advice groups (StudentHealth Ltd., 2005) have developed
20 guideline limits for daily alcohol consumption¹ and invested in awareness-raising campaigns to
21 reduce alcohol consumption in excess of these limits (e.g., DCSF, 2008; Department of Health,
22 2008). However, such campaigns have generally not been based on formative theoretical
23 research that identifies the important intervention components to target in order to attain
24 successful behaviour change. In the research literature, there has been a multitude of brief
25 interventions aimed at reducing alcohol consumption in undergraduate students. Considerable
26 variation exists in the reported effectiveness of these interventions in reducing alcohol intake

1 making it difficult to draw conclusions as to the characteristics of interventions that
2 successfully lead to alcohol reduction (Jenkins, McAlaney, & McCambridge, 2009; Tait &
3 Hulse, 2003). These variations have been attributed to three main factors: (a) methodological
4 problems such as failure to adopt a randomised controlled design (Bewick et al., 2008), lack of
5 a sufficiently lengthy follow-up measure of alcohol intake (Tripodi, Bender, Litschge, &
6 Vaughn, 2010), and assessment reactivity and social desirability (Bernstein, Bernstein, &
7 Heeren, 2010; McCambridge, 2009); (b) a lack of theoretical basis of the intervention itself
8 (Abraham, Southby, Quandt, Krahe, & van der Sluijs, 2007); and (c) use of factorial designs
9 to isolate the components of the intervention that evoke the change in alcohol consumption
10 (Michie, 2008). Means to address these factors should therefore be of high priority when
11 designing and evaluating interventions to reduce alcohol consumption in young people.

12 One of the clear limitations of previous interventions is the lack of reporting of the
13 theoretical background of the intervention or make sufficient comparisons to pinpoint exactly
14 which components affect behaviour change (Carey, Scott-Sheldon, Elliott, Bolles, & Carey,
15 2009). Isolating the specific components that give rise to behaviour change has been
16 highlighted as essential for the effective evaluation and replication of interventions in health
17 psychology and behavioural medicine (Abraham & Michie, 2008; Michie et al., 2005).
18 Adopting strategies based on formative theoretical research and including means to evaluate
19 the components that lead to behaviour change should be a priority for interventions aimed at
20 reducing alcohol intake among undergraduate students (Michie, 2008; Michie, Johnston,
21 Francis, Hardeman, & Eccles, 2008).

22 The present study aims to evaluate the effectiveness of two theory-based intervention
23 strategies to reduce drinking alcohol in excess of guideline limits on single occasions among
24 undergraduate students as well as reducing overall alcohol consumption. The study will
25 adopted a randomized controlled design and use an integrated theoretical approach to intervene
26 at two stages in the decision-making process; the motivational and volitional stages. The study

1 will add to knowledge on the effectiveness of interventions aimed at reducing alcohol
2 consumption by identifying specific components that give rise to behaviour change in the
3 undergraduate student population as well as examining the efficacy of an integrated approach
4 to intervention design using motivational and volitional strategies.

5 **Theoretical Basis for Interventions**

6 Theory-based interventions to change health-related behaviour have largely focused on
7 two key processes that lead to action: motivation and implementation. In this section we
8 outline the theory behind these processes and demonstrate how they may interact to bring
9 about behaviour change. This will serve as the theoretical basis for our integrated intervention
10 strategy aimed at reducing alcohol consumption in excess of guideline limits in undergraduate
11 students.

12 **Intention, motivation, and mental simulation.** Failure to engage in health-related
13 behaviour can be conceptualized as a problem of motivation and self-regulation (Hagger, 2010;
14 Hall & Fong, 2010; Orbell, Hagger, Brown, & Tidy, 2006). Many theoretical approaches
15 adopted to identify the factors that lead to engagement in health behaviours have motivation, or
16 similar constructs like *intentions*, as a central component (Chatzisarantis, Hagger, Smith, &
17 Phoenix, 2004). According to such theories, increasing motivation toward a given health
18 behaviour will lead to a concomitant increase in behavioural engagement. Such theories can
19 also help identify the psychological antecedents of motivation and can therefore be adopted to
20 guide interventions designed to promote behavioural engagement. The theory of planned
21 behaviour (Ajzen, 1985) typifies such an approach. The theory proposes that intentions are a
22 function of three factors: attitudes, subjective norms, and perceived behavioural control.
23 Attitudes are beliefs that the target behaviour will result in certain desirable outcomes.
24 Subjective norms are beliefs that salient social agents want the actor to engage in the target
25 behaviour. Perceived behavioural control reflects the extent to which people believe they have
26 the resources to engage in the target behaviour and is akin to Bandura's (1977) self-efficacy

1 construct. Intentions are proposed to mediate the effects of these factors on actual behaviour,
2 with the exception of perceived behavioural control which may also have a direct effect should
3 it adequately reflect actual control (Ajzen, 1985). The theory has been shown to be effective in
4 predicting variance in health-related behaviour (Armitage & Conner, 2001), including alcohol
5 use in students (Collins & Carey, 2007; Cooke, Sniehotta, & Schuz, 2007; Murgraff,
6 McDermott, & Walsh, 2001; Norman, Armitage, & Quigley, 2007; Norman & Conner, 2006).
7 It has also been adopted as the basis of numerous interventions to change health-related
8 behaviour (Ajzen & Manstead, 2007; Hardeman et al., 2002). An important feature of the
9 theory is its flexibility as a general framework to explain the processes by which motivational
10 interventions lead to behaviour change (Schwarzer, 2008). The theory may therefore serve to
11 identify the psychological mediators of intervention strategies to change behaviour.

12 A motivational intervention technique that has been recently applied in health
13 behaviour contexts and used on conjunction with the theory of planned behaviour is mental
14 simulations (Armitage & Reidy, 2008). Mental simulations are mental rehearsals of future
15 events and have shown efficacy in increasing motivation and behavioural engagement (Pham
16 & Taylor, 1999; Taylor, Pham, Rivkin, & Armor, 1998). Two types of mental simulation have
17 been identified. Outcome simulations require imagining the achievement of a salient
18 behavioural outcome such as losing a certain amount of weight or drinking below a certain
19 number of units of alcohol per week. Process simulations reflect rehearsing the behavioural
20 steps required to achieve the outcome such as engaging in physical activity or a diet or
21 restricting alcohol intake on some days of the week. Research has shown that process mental
22 simulations tend to be most effective in changing behaviour and that the effects were mediated
23 by increased planning and motivation (Escalas & Luce, 2003; Pham & Taylor, 1999). In the
24 context of the theory of planned behaviour, Armitage and Reidy (2008) demonstrated that
25 process simulations resulted in increased intentions (synonymous with motivation) and the
26 effect of the simulations was mediated by the psychological antecedents of intentions, namely,

1 perceived behavioural control and subjective norms. While these studies found no effects for
2 outcome simulations, there is evidence that imagining future success, an outcome oriented
3 approach, leads to positive emotions and increased motivation to attain the goal and changing
4 behaviour (Elliot, Shell, Bouas Henry, & Maier, 2005; Escalas & Luce, 2003; Vasquez &
5 Buehler, 2007).

6 Armitage and Reidy (2008) suggest that the mechanism by which process simulations
7 lead to increased intentions is through increased perceived behavioural control or self-efficacy.
8 Process simulations focus on rehearsing the means to engage in the behaviour in the future and
9 provide individuals with greater perceived ability to take the relevant actions. Similarly,
10 outcome simulations are also hypothesised to affect intentions by increasing self-efficacy. By
11 imagining a successful and desired outcome, the actor will have greater belief in his/her ability
12 to attain the outcome and will be more motivated to do so. The lack of effectiveness of
13 outcome simulations in influencing intentions and behaviour observed in previous research
14 may be attributable to a lack of information of the necessary steps required to attain the
15 outcome (Pham & Taylor, 1999). Although the outcome simulation may make a goal seem
16 more salient and attainable, the actor has no means or concrete plan of action to reach it. The
17 provision of an action plan alongside an outcome mental simulation may remedy this
18 shortcoming and lead to more effective behavioural engagement. We will examine the role of
19 action plans and their link to increased behavioural enactment next.

20 **Volition and implementation.** One of the problems with motivational interventions is
21 that their effects on actual behaviour have been relatively modest (Hardeman et al., 2002). The
22 limited success of such interventions has been attributed to the comparatively weak
23 relationship between intentions and behaviour (Armitage & Conner, 2001; Hagger &
24 Chatzisarantis, 2009; Hagger, Chatzisarantis, & Biddle, 2002; McEachan, Conner, Taylor, &
25 Lawton, in press). Meta-analyses of motivational interventions aimed at changing intentions
26 have corroborated these findings, demonstrating substantially larger effects on intentions than

1 actual behaviour (Webb & Sheeran, 2006). These data present a problem for interventions
2 based on motivational theories as it seems that even though people may state ‘good intentions’
3 to engage in health-related behaviour, they do not always behave in accordance with their
4 intentions.

5 Solutions to this problem have been presented in the form of implemental approaches to
6 health-related behaviour change. Heckhausen and Gollwitzer (1987) presented an action-phase
7 model which identifies two complimentary processes that lead to action: an intentional
8 (motivational) phase and an implemental (volitional) phase. The intentional phase encompasses
9 the processes that lead to the formation of intentions to engage in a behaviour captured in the
10 theory of planned behaviour by the antecedents of intention. However, while intentions to
11 engage in health-related behaviours may be a prerequisite for behavioural engagement, they are
12 not always sufficient. The implemental phase outlines to process of how the identification of
13 critical cues in the environment leads to the enactment of intentions and promotes strong links
14 between the cue and the intended action. Proponents of the action-phase model have proposed
15 that engaging in strategies that highlight a critical situation or contingency in which the
16 behaviour will be initiated will be effective in promoting behavioural engagement (Gollwitzer,
17 1990). Such strategies, known as *implementation intentions*, require people to propose and
18 write down when and where they will enact their planned behaviour (e.g., “*if situation Y*
19 *occurs, then I will perform response Z!*”). Such exercises promote behavioural engagement by
20 promoting increased accessibility of the critical cue in the environment (Aarts, Dijksterhuis, &
21 Midden, 1999) and developing a link in memory between the critical situation (*Y*) and the
22 planned action (*Z*) (Brandstätter, Lengfelder, & Gollwitzer, 2001). When intentions are
23 furnished with implementation intentions, behavioural initiation is more efficient, guided by
24 automatic processes, and less vulnerable to lapses in memory or reliant on conscious
25 processing.

1 Augmenting intentions with implementation intentions has shown to be effective in
2 promoting behavioural engagement in numerous health-related contexts such as cancer
3 screening (Orbell, Hodgkins, & Sheeran, 1997; Prestwich et al., 2005), physical activity
4 (Chatzisarantis, Hagger, & Thøgersen-Ntoumani, 2008; Luszczynska, 2006; Prestwich,
5 Lawton, & Conner, 2003; Sniehotta et al., 2005), dietary behaviours (Chapman, Armitage, &
6 Norman, 2009; Hagger & Montasem, 2009; Prestwich, Ayres, & Lawton, 2008; Prestwich,
7 Perugini, & Hurling, 2009; Scholz, Schuz, Ziegelmann, Lippke, & Schwarzer, 2008),
8 rehabilitation from surgery (Orbell & Sheeran, 2000), and alcohol consumption (Murgraff,
9 Abraham, & McDermott, 2007). In addition, changes in behaviour as a result of forming
10 implementation intentions have been shown to be independent of intentions (Orbell et al.,
11 1997; Sheeran & Orbell, 1999). Instead, there is evidence that the effect of implementation
12 intention manipulations on behaviour is mediated by the extent to which participants form
13 plans to enact their intentions (Scholz et al., 2008). Such mediators demonstrate the mechanism
14 underlying the effect and also the importance of compliance with the implementation intention
15 technique (Michie, 2008).

16 **An integrated approach.** Integration of theoretical approaches is becoming
17 increasingly important in health psychology and behavioural medicine in order to reduce
18 redundancy and, most importantly, increase the complementarity of different approaches
19 (Hagger, 2009). The action phase model serves as a basis for integrated approaches to health-
20 related behaviour change by providing a framework for interventions that target the
21 motivational and volitional phases (Schwarzer, 2008; Sheeran, Webb, & Gollwitzer, 2005). In
22 such approaches, motivational strategies to increase intentions are complemented by
23 implementation intentions that assist in the successful conversion of intentions into actual
24 behaviour. The mechanism behind this combined intervention approach is that an intention is
25 more likely to be enacted if an action plan has been formed to facilitate its execution. There is
26 some, albeit limited, precedence for an integrated approach. Adopting motivational

1 intervention strategies in conjunction with implementation intentions has resulted in synergistic
2 effects on taking reducing dietary fat (Prestwich et al., 2008) and participating in physical
3 activity (Milne, Orbell, & Sheeran, 2002; Prestwich et al., 2003). Importantly, significant
4 interaction effects were found such that the combination of motivational and implemental
5 strategies was more effective in promoting behavioural engagement than each strategy alone
6 (Prestwich et al., 2008; Prestwich et al., 2003). Furthermore, analysis of concurrent
7 psychological measures demonstrated that the motivational interventions changed intentions,
8 but the implementation intention intervention had no such effects. These studies not only
9 demonstrate the combined effects of the intervention but also isolate the components that affect
10 change in the behaviour (Michie, Rothman, & Sheeran, 2007). In the present study we adopted
11 an integrated approach using motivational (outcome mental simulations) and volitional
12 (implementation intentions) strategies to reduce alcohol consumption in excess of guideline
13 limits on single occasions among undergraduates. It was expected that the combination of
14 strategies would be more effective in reducing alcohol consumption than each of the strategies
15 alone.

16 **Overview of the Present Study**

17 This article reports a theory-based intervention aimed at changing university
18 undergraduates' alcohol consumption so that it is within guideline limits. The intervention
19 adopted a randomized controlled two-factor design with a one-month follow-up period and was
20 administered to a large sample of undergraduate students using an online communication
21 method. Participants received the intervention via email and were directed via web-browser
22 links to an online questionnaire followed by text-format intervention materials. Participants
23 were randomized to one of four intervention conditions according to their university
24 department membership. The four conditions reflected combinations of motivational and
25 implemental behaviour-change components based on the action phase model. Specifically,
26 participants received an outcome mental simulation or implementation exercise, a combination

1 of the two, or neither. All participants received measures of motivation, intention, and the
2 theory of planned behaviour components. The key dependent variables were self-reported
3 number of units of alcohol consumed over the one-month follow-up period and the number of
4 occasions where participants consumed more than twice the daily guideline amounts, or
5 number of heavy episodic drinking occasions. These outcomes have been identified as the most
6 salient and frequently-cited in intervention alcohol intervention research in this population
7 (Carey et al., 2009). We tested the effects of the intervention on the dependent alcohol-
8 consumption variables using intention to treat with last observation carry-forward (LOCF,
9 Shao & Zhong, 2004) and complete-case analyses.

10 It was hypothesised that participants receiving both the mental simulation and
11 implementation intention manipulations would report drinking fewer units of alcohol and fewer
12 heavy episodic drinking occasions in the one-month follow-up period. In keeping with
13 previous interventions that have adopted designs integrating these two theory-based
14 intervention components (Milne et al., 2002; Prestwich et al., 2008; Prestwich et al., 2003), it
15 was also expected that participants allocated to the combined mental simulation and
16 implementation intention condition would exhibit fewer units of alcohol consumed and fewer
17 heavy episodic drinking occasions than either condition alone. Consistent with previous
18 studies, we hypothesised that the implementation intention condition would have no impact on
19 the psychological antecedents of intention, intentions, or motivation to keep alcohol drinking
20 within guideline limits (Orbell et al., 1997; Sheeran, Milne, Webb, & Gollwitzer, 2005).
21 However, we expected that the outcome mental simulation component would have significant
22 effects on post-intervention attitudes, intentions, and motivation as hypothesised in theoretical
23 accounts and previous empirical tests of mental simulations (Armitage, 2009; Pham & Taylor,
24 1999; Vasquez & Buehler, 2007). In addition, we anticipated that perceived behavioural
25 control, intentions and motivation would serve to mediate the effects of the mental simulation
26 intervention on the dependent variables. This is in keeping with previous research and theory

1 which suggests that the mechanism by which outcome simulations affect behaviour is via
2 intentions and motivation (Pham & Taylor, 1999; Vasquez & Buehler, 2007).

3 **Method**

4 **Participants**

5 Participants were undergraduate students from 19 academic departments in the
6 University of [location withheld for masked peer review process]. Email invitations to
7 participate were distributed to 2,500 eligible participants. Seven hundred and nine participants
8 (M age = 20.32, SD = 2.50; females, n = 414, M age = 20.37, SD = 2.64; males, n = 295, M age
9 = 20.26, SD = 2.30) consented to participate in the study and completed the online
10 questionnaire at baseline. For the intention to treat LOCF analyses, participants completing
11 baseline measures of the key dependent variables were included in the analysis. Seventy-one
12 participants did not provide baseline dependent variable data (n = 47) or data for key
13 psychological variables used as covariates (n = 24) and were eliminated yielding a final sample
14 of 638 participants (M age = 20.35, SD = 2.51; females, n = 370, M age = 20.38, SD = 2.63;
15 males, n = 268, M age = 20.30, SD = 2.34). For the complete-case analysis, follow-up data
16 were collected from 311 participants, a follow-up response rate of 43.86%. Data from 73
17 participants were excluded due to an excessive amount of data missing at random from the
18 follow-up sample (> 5% of responses) leaving 238 complete cases (M age = 20.23, SD = 1.80;
19 females, n = 133, M age = 20.11, SD = 2.09; males, n = 105, M age = 20.38, SD = 1.35). The
20 flow of participants through the intervention protocol is illustrated in Figure 1.

21 **Design and Procedure**

22 The study adopted a 2 (mental simulation: present vs. absent) x 2 (implementation
23 intention: present vs. absent) between-participants design using text-format manipulations
24 distributed by email and communicated via online methods. Participants received the
25 intervention manipulations alongside questionnaires with an assessment-only control group
26 that received measures but no manipulations. Participants received baseline behavioural and

1 psychological measures and intervention manipulations (if any) at baseline with follow-up
2 measures collected one-month later.

3 Departmental heads of 32 academic departments of the University of [location withheld
4 for masked peer review process] were initially contacted requesting permission to participate in
5 the study. Nineteen consented to participate: American and Canadian studies, biology,
6 biomedical sciences, business studies, computer science, economics, English studies,
7 geography, history, humanities, institute of science and society, institute of work, health, and
8 organizations, law, mathematical sciences, modern languages and cultures, politics and
9 international relations, sociology and social policy, and veterinary medicine science. A cluster-
10 randomized controlled design was adopted with the academic departments randomly allocated
11 to one of the four intervention conditions. Randomization was conducted using a computer-
12 generated randomization programme and the algorithm was designed to ensure approximately
13 equal numbers of eligible participants were recruited to each group (Urbaniak, Plous, & Lestik,
14 2007). Electronic invitations were distributed to all undergraduate students in each department
15 asking recipients to participate in the study. Each invitation included a uniform resource locator
16 (URL) link to an online questionnaire specific to the allocated condition.

17 Participants were initially presented with an online study information page and consent
18 form on their web browser explaining the requirements of participation and the participant's
19 right to withdraw at any time without prejudice. Participants were informed that they were
20 participating in a survey on health and that they would be asked to respond to some questions
21 relating to their alcohol behaviour. They were also informed that they would be invited to
22 participate in second survey one month later. As an incentive, participants were informed that
23 they would be entered into a prize draw with the opportunity to win €100 if they completed
24 both parts of the questionnaire. Participants were required to check an assent box to confirm
25 they had read the information and consented to participate. All participants were then presented
26 with the following introductory statement: "The World Health Organisation (WHO)

1 recommends that safe limits for drinking alcoholic drinks are 4 units per day for men and 3
2 units per day for women. Drinking above these safe limits could lead to some health conditions
3 in the long run.” Next, they were directed to an online questionnaire containing baseline study
4 measures followed by the intervention condition(s) (if allocated) presented after the
5 questionnaire items. The questionnaire and intervention was developed using the *Survey*
6 *Monkey* online survey and questionnaire tool and was designed for ease of use with the
7 majority of responses requiring a mouse click and a few open-ended response boxes requiring
8 typed responses. The questionnaire spanned 12 web-browser pages. Participants were sent two
9 reminder emails to complete their follow-up questionnaires, one in the week leading up to the
10 one-month follow-up period and one on the day that the data was due. The email contained a
11 URL directing them to a second online survey containing psychological measures identical to
12 those completed at baseline as well as follow-up behavioural measures.

13 **Intervention Manipulations**

14 Participants randomly allocated to the intervention conditions (mental simulation only,
15 implementation intention only, combined mental simulation and implementation intention)
16 were presented with the following common passage based on previous research (Jackson,
17 2008): “Considering these [WHO] health messages, we would like you to try to keep your
18 regular alcohol intake so that it is within recommended limits on each individual occasion or
19 session over the next month. To help you do this we ask you to take five minutes of your time
20 to complete the next very simple mental exercise(s)”.

21 **Mental simulation.** Participants allocated to the mental simulation condition were
22 provided with an adapted version of an outcome simulation script developed by Pham and
23 Taylor (1999). The script was modified to make reference to the target behaviour of keeping
24 alcohol drinking within safe limits. Participants were presented with the following instructions:

25 “You are now asked to visualize yourself having achieved your goal of keeping your
26 alcohol intake to within ‘safe’ limits on each individual occasion or session over the next

1 month, and imagine how you would feel. Imagine how much effort and willpower it has taken
2 to achieve your goal of keeping your alcohol intake to within safe limits on each occasion or
3 session and that you have successfully managed to do it. Imagine how satisfied you will feel. It
4 is very important that you see yourself actually keeping your alcohol intake to within ‘safe’
5 limits on each occasion or session over the next month and keep that picture on your mind.
6 Please type in the box below how you imagine will feel if you achieve your goal of keeping
7 your alcohol intake within ‘safe’ limits on each individual occasion or session over the next
8 month.”

9 The instructions were followed by an open-ended response box for participants to type
10 their responses. Responses were used as a manipulation check to evaluate compliance with the
11 intervention.

12 **Implementation intention.** We adopted an “if...then...” format for the implementation
13 intention manipulation (e.g., Orbell et al., 1997; Sheeran & Orbell, 1999). This format has been
14 shown to be more effective in promoting behaviour change than global formats (Chapman et
15 al., 2009). The format permits participants to develop their own contingencies to enact their
16 plans, but they are constrained to produce their plans in the format that has been shown to be
17 most effective in linking environmental cues to the desired action (Oettingen, Hönig, &
18 Gollwitzer, 2000). In addition, we also presented an example to guide participants to the types
19 of cues to action and behavioural alternatives that they might adopt. Participants allocated to
20 the implementation intention condition were presented with the following instructions:

21 “You are more likely to carry out your intention to keep your alcohol intake to within
22 safe limits on each occasion or session if you make a decision about the time and place you
23 will do so and how you plan to do it. Decide now when and where you will need to keep your
24 alcohol intake to within safe limits and how you will do it. We want you to plan to keep your
25 alcohol drinking to within safe limits on each occasion or session over the next month, paying
26 particular attention to the specific situations in which you will implement these plans. For

1 example, you may find it useful to say to yourself, ‘*If I am in a bar/pub drinking with my*
2 *friends and I am likely to drink over the daily safe limits for alcohol, then I will opt for a soft*
3 *drink instead of an alcoholic drink to keep within the recommended safe limits.*’ Please type
4 your plans in the response box below, following the format shown in the previous example
5 (‘if... then...’).”

6 The instructions were followed by two response boxes for participants to type their
7 response. To guide participants in making their responses using the “if...then...” format, the
8 word “If...” preceded the first response box and the phrase “then I will...” preceded the second
9 box. Again responses to the open-ended questions served as a manipulation check and as an
10 indicator of whether participants had formed an implementation intention. The order of
11 presentation of the mental simulation and implementation intention intervention manipulations
12 was counter-balanced for participants allocated to the combined condition.

13 **Measures**

14 **Self-reported alcohol behaviour.** Self-report measures of the primary dependent
15 variables of number of units of alcohol consumed and number of heavy episodic (‘binge’)
16 drinking occasions in the previous four weeks were taken at baseline and follow-up. To ensure
17 participants were clear as to the definitions of terms used in the questionnaire, they were
18 presented with a series of definitions and a pictorial reference guide illustrating the volume of
19 different alcoholic beverages equivalent to one unit of alcohol. In the guide, one unit was
20 clearly defined as a 10ml volume measure of alcohol, daily ‘safe’ limits for alcohol were
21 defined as 4 units for men and 3 units for women, and ‘binge’ drinking was defined as
22 exceeding 10 units of alcohol for men or seven units on a single occasion (Murgraff, Parrott, &
23 Bennett, 1999). The daily ‘safe’ limits and ‘binge’ drinking definitions and pictorial reference
24 guide appeared as a header on each online page to remind participants of the definition of a
25 unit. Number of units of alcohol was measured via a self-report measure that prompted
26 participants to type the number of units they consumed each week over the previous month.

1 Number of heavy episodic drinking occasions was measured on a self-report measure requiring
2 participants to type how many occasions they exceeded 10 units for men or seven units for
3 women each week over the previous four weeks. Separate response boxes were provided for
4 each week and responses were summed to give the total units of alcohol consumed and number
5 of heavy episodic drinking occasions for the previous four weeks. In addition, participants
6 completed the four-item Fast Alcohol Screening Test (FAST, Hodgson, Alwyn, John, Thom, &
7 Smith, 2002) at baseline to assess extent of alcohol misuse. This instrument has rigorously
8 evaluated and demonstrated validity and reliability as a brief means to evaluate the extent of
9 heavy drinking (Hodgson et al., 2002).

10 **Psychological measures.** Measures of theory of planned behaviour constructs made
11 reference to the target behaviour (“keeping alcohol drinking within safe limits”), in the time
12 frame of interest (“one month”), and in the context that the behaviour was to be performed (“on
13 each individual occasion or session”). This was so the measures adhered to the boundary
14 condition of correspondence in the theory (Ajzen, 1985). The target behaviour was defined for
15 participants in an initial set of instructions preceding the measures: “The World Health
16 Organization has published guidelines for the amount of alcohol considered safe to drink.
17 Guideline safe limits are four units of alcohol per day for males and three units per day for
18 females”.

19 Behavioural intentions were measured via three items using six-point Likert-type scales
20 (e.g., “I intend to keep my alcohol drinking within safe limits on each individual occasion or
21 session over the next month”) with scale anchors 1 (*extremely unlikely*) and 6 (*extremely likely*)
22 ($\alpha = .97$). Five six-point semantic differential items were used to measure attitudes in response
23 to the following statement: “For me, keeping my alcohol drinking within safe limits on each
24 individual occasion or session over the next month is...” One item measured affective aspects
25 of attitude using *enjoyable-unenjoyable* bipolar adjectives, three items tapped instrumental
26 attitudes using the adjective pairs: *worthwhile-not worthwhile*, *useful-of no use*, and *important-*

1 *unimportant*, and one item measured moral aspects of attitudes using *good-bad* adjectives ($\alpha =$
2 $.90$). Subjective norms were measured on three items (e.g., “Most people who are important to
3 me (e.g., friends, family) would want me to keep my alcohol drinking within safe limits on
4 each individual occasion or session over the next month”) on six-point Likert-type scales
5 anchored by 1 (*disagree*) and 6 (*agree*) ($\alpha = .87$). Perceived behavioural control was assessed
6 via three items using six-point Likert-type scales (e.g., “How much personal control do you
7 think you have in keeping your alcohol drinking within safe limits on each individual occasion
8 or session over the next month?”) with scale anchors 1 (*no control at all*) and 6 (*complete*
9 *control*) ($\alpha = .88$).

10 Participants were also asked to report their motivation toward the target behaviour on
11 three items (e.g., “How motivated are you to keep your alcohol drinking within safe limits on
12 each individual occasion or session over the next month”) with scale anchors 1 (*not at all*
13 *motivated*) and 6 (*extremely motivated*) ($\alpha = .95$). Finally, participants allocated to the
14 implementation intention condition were asked to rate the extent to which they had planned to
15 keep their alcohol drinking with safe limits on three items (e.g., “To what extent have you
16 figured out exactly how you might keep your alcohol drinking to within safe limits on each
17 individual occasion or session over the next month”) with scale anchors 1 (*I have no idea*) and
18 6 (*I have figured out exactly*) ($\alpha = .92$).

19 Results

20 Preliminary Analyses

21 **Alcohol consumption.** The average baseline number of units consumed by male (per
22 week, $M = 15.43$, $SD = 12.37$; per month, $M = 61.73$, $SD = 49.49$;) and female (per week, $M =$
23 9.17 , $SD = 8.72$; per month, $M = 36.70$, $SD = 34.89$) participants in the current study compared
24 favourably with the weekly number of units reported in UK national statistics for young people
25 aged 16 to 24 (male = 18.6; females = 10.8) (Goddard, 2006). However, the percentage of male
26 (52.1%) and female (40.3%) participants who engaged in heavy episodic drinking (10 units for

1 men, 7 units for women) on more than three occasions in the four week period at baseline
2 tended to be substantially higher than those reported in national statistics in the UK (male,
3 26%; female, 29%) and Europe (male, 22%; female, 15%) (Hibell et al., 2004). While levels of
4 alcohol consumption in the present sample may be similar to those in the general population, a
5 larger proportion engaged in heavy episodic drinking. As with their age-matched counterparts,
6 the current sample therefore represented particularly high-risk groups with respect to alcohol
7 consumption relative to national averages in older age groups. In addition, within their own
8 age-group, a higher percentage of participants reported engaging in heavy episodic drinking
9 and are therefore likely to be at risk of the maladaptive outcomes of that particular pattern of
10 alcohol consumption.

11 **Randomization checks.** Randomization checks were conducted on baseline
12 demographic, behavioural, and psychological measures. There were no significant differences
13 across the intervention and control groups for gender distribution, number of heavy episodic
14 drinking occasions, and perceived behavioural control. Participants in the combined mental
15 simulation and implementation intention condition (M age = 21.63, SD = 3.55) were
16 significantly older than those in the other conditions (mental simulation only, M age = 20.34,
17 SD = 2.20; implementation intention only, M age = 19.88, SD = 1.78; control, M age = 20.12,
18 SD = 2.43), $F(1, 634) = 13.81, p < .01, \eta^2_p = .02$. Although the effect size for the age difference
19 was small, age was included as a covariate in subsequent analyses. There were significant main
20 effects for implementation intentions on number of alcohol units consumed (implementation
21 intention, $M = 50.34, SD = 45.94$; no implementation intention, $M = 41.90, SD = 38.68$; $F(1,$
22 $634) = 5.29, p < .05, \eta^2_p < .01$) and intentions (implementation intention, $M = 4.07, SD = 1.53$;
23 no implementation intention, $M = 3.83, SD = 1.49$; $F(1, 634) = 4.76, p < .05, \eta^2_p = .01$). There
24 were also significant main effects for mental simulations on attitudes (mental simulation, $M =$
25 $4.22, SD = 1.18$; no mental simulation, $M = 3.99, SD = 1.22$; $F(1, 634) = 7.63, p < .05, \eta^2_p =$
26 $.01$), subjective norms (mental simulation, $M = 4.51, SD = 0.99$; no mental simulation, $M =$

1 4.29, $SD = 1.00$; $F(1, 634) = 9.00$, $p < .01$, $\eta^2_p = .01$), and motivation (mental simulation, $M =$
2 3.70 , $SD = 1.42$; no mental simulation, $M = 3.47$, $SD = 1.42$; $F(1, 634) = 5.021$, $p < .05$, $\eta^2_p <$
3 $.01$). While the effect sizes of these differences were small (typically $\eta^2_p \leq .01$) we included
4 baseline alcohol consumption, intentions, attitudes, subjective norms, and motivation as
5 covariates in the main analyses to ensure that these differences did not alter the effects of the
6 intervention. There were no other differences across the intervention groups.

7 **Attrition checks.** We also checked for differences in the key study variables between
8 participants that remained in the study after one month and those that were lost to follow-up. A
9 multivariate analysis of variance (MANOVA) with attrition status (remained in the study vs.
10 lost to follow-up) and intervention condition as independent variables and age, number of units
11 of alcohol consumed, number of heavy episodic drinking occasions, intentions, attitudes,
12 subjective norms, perceived behavioural control, and motivation as dependent variables
13 revealed no significant main effect for attrition status or attrition x intervention condition
14 interaction effect.

15 **Manipulation checks.** Participants' written responses to the mental simulation and
16 implementation intention exercises were content analyzed to ensure that they had engaged
17 sufficiently in the exercises. For the mental simulation manipulation, responses were coded
18 according to whether participants specified outcomes and reported their feelings of satisfaction
19 relating to keeping their alcohol intake within safe limits. The majority of participants reported
20 their feelings regarding achieving the outcome of drinking within guideline limits. Only five
21 participants did not report an outcome or recorded an inappropriate outcome. These were
22 considered non-compliers but were included in subsequent analyses in their original
23 randomized groups to provide a conservative estimate of intervention effectiveness. For the
24 implementation manipulation, responses were coded as to whether participants wrote down a
25 contingency alongside the "If..." prompt and a viable alternative next to the "Then I will..."
26 prompt. Responses to the first prompt included relevant situations such as being in bars or

1 nightclubs and responses to the second prompt included strategies such as switching to non-
2 alcoholic alternatives such as water or soft-drinks, coming up with reasons for not drinking,
3 pacing oneself and stretching drinks over a longer period of time, or taking sufficient money to
4 buy only a few drinks. The behaviours listed exhibited a high degree of congruence with
5 behaviours identified as means to minimize harm from alcohol in previous research (Larimer et
6 al., 2007; Ray, Turrisi, Abar, & Peters, 2009). The majority of participants reported adequate
7 contingencies and plans according to instructions. Fourteen participants' data did not report a
8 response to the prompts that was considered adequate. These included blank responses or
9 statements that indicated an implementation intention had not been formed. As before, these
10 participants were classified as non-compliers and remained in the analysis in the groups to
11 which they were originally randomized.

12 The planning scale administered to participants allocated to the implementation
13 intention manipulation was used to check the extent to which participants had formed plans to
14 keep their alcohol intake to within safe limits. Average planning scores were significantly
15 greater than the mid-point (3.5) on the scale ($M = 3.98$, $SD = 1.47$), $t(1,197) = 4.56$, $p < .01$, d
16 $= 0.65$. Self-reported planning was significantly lower in the implementation intention only (M
17 $= 3.78$, $SD = 1.57$) compared with the combined condition ($M = 4.29$, $SD = 1.23$), $t(1,197) =$
18 2.41 , $p < .05$, $d = 0.34$.

19 **Main Analysis**

20 **Effect of interventions on behaviour.** Data were analysed using both intention to treat
21 LOCF and complete-case analyses. Specifically, a series of 2 (mental simulation: present vs.
22 absent) x 2 (implementation intention: present vs. absent) analyses of covariance (ANCOVA)
23 were conducted on the main dependent variables of self-reported units of alcohol and number
24 of heavy episodic drinking occasions consumed in the month after the intervention. FAST
25 scores, self-reported units of alcohol or number of heavy episodic drinking occasions,
26 intentions, attitudes, subjective norms, motivation, and participants' age measured at baseline

1 were included as covariates. Intention to treat analyses revealed significant main effects for
2 mental simulation on number of units of alcohol consumed ($F(1, 227) = 6.15, p < .05, \eta^2_p =$
3 $.01$) and number of heavy episodic drinking occasions ($F(1, 227) = 4.27, p < .05, \eta^2_p = .01$).
4 Participants receiving the mental simulation condition reported significantly fewer units
5 consumed ($M = 42.11, SD = 42.54$) and heavy episodic drinking occasions ($M = 3.24, SD =$
6 4.34) relative to those that did not receive the manipulation (units of alcohol, $M = 47.77, SD =$
7 41.84 ; heavy episodic drinking occasions, $M = 3.81, SD = 4.87$). There was no significant main
8 effect for implementation intentions or mental simulation x implementation intention
9 interaction effect in either analysis. Similarly, complete-case analyses revealed significant main
10 effects for mental simulation on number of units of alcohol consumed ($F(1, 227) = 9.26, p <$
11 $.01, \eta^2_p = .04$) and number of heavy episodic drinking occasions ($F(1, 227) = 4.65, p < .05, \eta^2_p$
12 $= .02$) with participants receiving the mental simulation condition reporting significantly fewer
13 units consumed ($M = 32.11, SD = 33.06$) and heavy episodic drinking occasions ($M = 2.47, SD$
14 $= 5.51$) compared to those that did not receive the manipulation (units of alcohol, $M = 43.29,$
15 $SD = 41.81$; heavy episodic drinking occasions, $M = 3.56, SD = 5.24$). As in the previous
16 analysis, there were no significant main effects for implementation intentions or mental
17 simulation x implementation intention interactions. The mean number of units of alcohol and
18 heavy episodic drinking occasions for both sets of analyses by intervention group are reported
19 in Table 1.

20 **Effects of intervention on psychological variables.** The effects of the intervention on
21 the psychological variables at follow-up was tested using a 2 (mental simulation: present vs.
22 absent) x 2 (implementation intention: present vs. absent) multivariate ANCOVA with
23 intention, attitude, subjective norms, perceived behavioural control, and motivation as the
24 dependent variables and their respective baseline measures and FAST scores as covariates. The
25 analysis revealed no significant main or interaction effects. The lack of significant effects of
26 the intervention on the psychological variables precluded tests of mediation of the effects of the

1 intervention on behavioural dependent variables by the theory of planned behaviour and
2 motivational variables (Baron & Kenny, 1986). Mean scores on the psychological variables for
3 each group are reported in Table 1

4 **Sub-group analyses.** Further analyses were conducted to examine whether the
5 intervention was more effective for participants with relatively high levels of alcohol
6 consumption and numbers of heavy episodic drinking occasions at baseline. The sample was
7 therefore coded according to upper and lower tertiles on the average number of units of alcohol
8 consumed and number of heavy episodic drinking occasions at baseline (Altman & Bland,
9 1994). MANOVAs revealed that the upper and lower tertile groups were characterized by
10 significantly higher numbers of units of alcohol consumed and heavy episodic drinking
11 occasions, and lower levels of intentions, attitudes, subjective norms, perceived behavioural
12 control, and motivation in the upper third relative to the lower third for the tertile split based on
13 units of alcohol (Wilks' $\Lambda = 0.19$, $F(7, 133) = 82.20$, $p < .01$, $\eta^2_p = .81$) and number of heavy
14 episodic drinking occasions (Wilks' $\Lambda = 0.28$, $F(7, 174) = 63.52$, $p < .01$, $\eta^2_p = .72$).

15 Next, we conducted a 2 (mental simulation: present vs. absent) x 2 (implementation
16 intention: present vs. absent) x 2 (baseline number of alcohol units: high vs. low) ANCOVA
17 controlling for FAST scores, number of units of alcohol consumed, intentions, attitudes,
18 subjective norms, motivation, and age measured at baseline². The analysis revealed a
19 significant three-way interaction ($F(1, 132) = 4.22$, $p = .05$, $\eta^2_p = .03$). We probed this
20 interaction by conducting separate two-way ANCOVAs for high and low numbers of units
21 consumed at baseline. The analyses revealed no significant main or interaction effects for the
22 sub-group consuming low numbers of units at baseline (all F s < 1.00). There was, however,
23 significant main effects for mental simulations ($F(1, 72) = 12.75$, $p < .01$, $\eta^2_p = .15$) and
24 implementation intentions ($F(1, 72) = 6.17$, $p < .05$, $\eta^2_p = .08$), and a significant interaction
25 effect ($F(1, 72) = 4.46$, $p < .05$, $\eta^2_p = .06$) for the sub-group consuming high numbers of units

1 at baseline. A focused contrast revealed a significant effect with the combined mental
2 simulation and implementation intention group exhibiting significantly fewer units consumed
3 at follow-up relative to the other intervention groups and the control group ($F(1, 75) = 21.12, p$
4 $< .01, \eta^2_p = .22$)³. The ANCOVA with number of heavy episodic drinking occasions revealed
5 no significant three-way interaction effect.

6 **Discussion**

7 This aim of the present study was to evaluate the effectiveness of a theory-based
8 intervention to reduce the consumption of alcohol in excess of guideline limits in a single
9 session among undergraduate students using an integrated motivational and volitional strategy.
10 A randomized controlled design was adopted using mental simulation and implementation
11 intention intervention strategies alone or synergistically to change alcohol consumption and
12 number of heavy episodic drinking occasions in a one-month follow-up period. It was
13 hypothesised that the combined mental simulation and implementation intention intervention
14 would lead to greater reductions in the dependent variables than either strategy alone. The
15 intervention was distributed via email and delivered using an online communication method
16 with intervention materials presented after baseline questionnaire measures. Data were
17 analysed using intention to treat LOCF and complete-case analyses.

18 Results revealed significant reductions in alcohol consumption in terms of number of
19 units of alcohol consumed and number of heavy episodic drinking occasions in the one-month
20 follow-up period. Participants receiving an outcome mental simulation exhibited a decrease in
21 the numbers of units of alcohol consumed and heavy episodic drinking occasions one month
22 later but no effects for the implementation intention strategy or the interaction of the two
23 strategies. Although the effect sizes were larger for the complete-case analyses relative to the
24 intention to treat analyses, the pattern of effects was identical. Follow-up sub-group analyses
25 indicated that the intervention was more effective among participants who had higher levels of
26 alcohol consumption at baseline. Specifically, participants in the upper third for baseline

1 alcohol consumption that received both mental simulation and implementation intention
2 interventions reported consuming significantly fewer units than those in the other intervention
3 conditions. The interventions had no effects on motivation or variables from the theory of
4 planned behaviour.

5 The most prominent finding in the present investigation was the pervasive effect of
6 mental simulations on alcohol consumption and heavy episodic drinking. The adoption of an
7 *outcome* mental simulation technique is a unique feature of this research. The literature
8 examining the efficacy of mental simulations to change behaviour has tended to find much
9 larger effects for *process* mental simulations relative to outcome simulations (Armitage &
10 Reidy, 2008; Pham & Taylor, 1999). Reasons proposed for this is that process simulations tend
11 to focus on the rehearsal of concrete behavioural steps that bring a person closer to attaining
12 their behavioural goal or outcome. Such rehearsal tends to increase self-efficacy and
13 perceptions of control over behavioural engagement. However, there is literature demonstrating
14 that imagining successful future outcomes promotes increased motivation and behavioural
15 engagement (Andersson & Moss, in press; Elliot et al., 2005; Vasquez & Buehler, 2007). The
16 mechanism behind these simulations is that perceptions of prospective success in goal
17 achievement and control over outcomes are promoted through the visualization future outcome
18 attainment. Again, this is proposed to influence self-efficacy beliefs. While outcome mental
19 simulations were effective in reducing alcohol consumption and heavy episodic drinking
20 occasions in the present study, we did not find evidence for any mediation by psychological
21 variables such as motivation and perceived behavioural control and were therefore unable to
22 corroborate the proposed mechanism.

23 Based on Heckhausen and Gollwitzer's (1987) action phase model, we also proposed
24 that an intervention that included a mental simulation component to increase motivation to
25 reduce alcohol consumption in excess of guideline limits and an implementation intention
26 component to match appropriate cues and alternatives to behavioural enactment would have

1 synergistic effects on alcohol consumption. The hypothesised interaction effect was based on
2 two premises. First, people with positive intentions to change their behaviour to attain a goal or
3 outcome formed in the motivational phase often do not convert their intentions into actual
4 behaviour due to memory lapses (Orbell et al., 1997) or vaguely-conceived plans to enact the
5 behaviour (Sheeran, Milne et al., 2005). Second, cues and contingencies stated in action plans
6 during the volitional phase would only be relevant if an individual had formed intentions to
7 attain their goal (Milne et al., 2002).

8 However, we found only limited support for the interaction effect in the present study.
9 Overall, mental simulations was the only intervention component to have an effect on reducing
10 units of alcohol consumed and number of heavy episodic drinking occasions. It was only when
11 we segregated the sample according to levels of alcohol consumption that we found a
12 significant interaction effect. Among participants with high levels of alcohol consumption, the
13 combination of mental simulations and implementation intentions lead to a significant decrease
14 in units of alcohol consumed relative to the either component alone and the control group. The
15 interaction corroborates previous health-related research adopting combined motivational and
16 volitional intervention components to change behaviour (Milne et al., 2002; Prestwich et al.,
17 2008; Prestwich et al., 2003). Our finding is unsurprising as people with high alcohol intake
18 are likely to have lower levels of motivation and intention to keep their drinking within
19 guideline limits, a fact that was corroborated in our classification analysis. Therefore, it is
20 likely that an intervention strategy that induces people to simulate the expected outcome of
21 reducing alcohol intake compliments an implementation intention strategy in reducing alcohol
22 consumption in groups with high alcohol intake and relatively low intentions to change.

23 An advantage of the present investigation is that it has high levels of ecological validity
24 and provides evidence of a high likelihood of success if the intervention were to be
25 implemented on a wider scale across colleges and universities. We used existing networks (i.e.,
26 email circulars to University departments) to recruit participants and administer the

1 intervention materials at minimal cost in terms of materials and human resources. This
2 demonstrates the practical feasibility and cost effectiveness of the intervention. Furthermore,
3 the open-ended manipulation checks indicated that most participants allocated to the mental
4 simulation, implementation intention, or combined intervention groups engaged with the
5 exercises and were therefore identified as compliant. Although a small minority were coded as
6 non-compliers, we retained them in our analyses to provide a conservative estimate of the
7 efficacy of the intervention. This demonstrates that naturally-occurring levels of non-
8 compliance do not appear to affect the efficacy of the intervention in changing behaviour.

9 **Limitations and Future Directions**

10 The present study has a number of strengths. A rigorous randomized controlled design
11 was adopted with a clear theoretical basis for the intervention strategies. In addition, the design
12 permitted the evaluation of motivational and intervention strategies alone or synergistically
13 relative to an assessment-only control group. This is important as it permits a clearer evaluation
14 as to whether interventions targeting each phase in the action phase model (Heckhausen &
15 Gollwitzer, 1987) are effective independently or interact to produce lower alcohol
16 consumption. In addition, present analyses provided relatively conservative estimates of the
17 power of the intervention because participants that did not comply with the intervention were
18 included in follow-up analysis in the same group to which they were randomized. In addition, a
19 minority of participants reported that they did not drink alcohol at all at baseline (7.3%). These
20 participants were also included in analyses in the groups to which they were originally
21 randomized to ensure that naturally-occurring levels of abstinence were accounted for and did
22 not affect the efficacy of the intervention. Finally, we used an intention to treat analytic
23 strategy to test the effectiveness of the intervention despite the relatively high levels of
24 participant drop-out. In summary, the adoption of an appropriate theoretical basis, careful study
25 design, and conservative analytic methods illustrates the value of the present study to

1 understand the intervention components that lead to behaviour change in the context of alcohol
2 consumption in undergraduate students.

3 However, it would be remiss to omit potential limitations of the present study and how
4 they might be overcome in future. First, neither intervention strategy had an effect on
5 motivation, intentions, or other psychological variables from the theory of planned behaviour.
6 The lack of effects precluded a search for mediators of the intervention effects on the alcohol-
7 related dependent variables. For the outcome mental simulation manipulation, we expected that
8 the manipulation would have significant effects on perceived behavioural control, intentions,
9 and motivation, as suggested by previous research (Armitage & Reidy, 2008; Taylor et al.,
10 1998), and that these variables would mediate the effect of the intervention on the alcohol-
11 related outcome measures. It is possible that the effects of outcome mental simulations on
12 alcohol behaviour may not be due to changes in any of these variables. For example, it may be
13 that the effect would be through the enhancement of positive emotion, outcome expectations or
14 self-efficacy beliefs measured in accordance with Bandura's (1977) conceptualization of the
15 construct. Visualizing future success is likely to lead to positive affect and increased
16 expectancies that the behaviour will result in desirable outcomes. For the implementation
17 intention manipulation, our hypothesis that the intervention would have no effects on intentions
18 or other psychological variables was supported by the present data. This is because
19 implementation intentions are proposed to affect the enactment of intentions in the volitional
20 phase rather than changing intentions itself. In addition, although we demonstrated that
21 participants receiving the implementation intention manipulation scored highly on a planning
22 scale, the scale was not administered to all participants so no group-level comparisons or
23 mediation analyses could be conducted as recommended in other research (Luszczynska,
24 2006). A priority for future investigation would be the inclusion of mediators of the effects of
25 the intervention on outcome variables.

1 Second, the present research adopted a one-month follow-up period. This is a relatively
2 brief follow-up period to evaluate the effectiveness of an intervention. This precludes
3 equivocal judgement that the current intervention has the propensity bring about long-lasting
4 changes in alcohol consumption. Interventions adopting one of the component intervention
5 strategies adopted in the present study have typically adopted short-range predictions of
6 behaviour ranging from one or two weeks (Armitage, 2007; Chapman et al., 2009) to one
7 month (Chatzisarantis et al., 2008; Prestwich et al., 2008). Fewer interventions have examined
8 long-range behavioural prediction, but those that have demonstrate high-levels of adherence in
9 health related behaviours (De Vet, Oenema, Sheeran, & Brug, 2009; Luszczynska, 2006),
10 including alcohol consumption (Murgraff et al., 2007). Furthermore, no study adopting
11 integrated motivational and implemental interventions has examined behaviour change over a
12 follow-up period of greater than five weeks (Milne et al., 2002; Prestwich et al., 2003).
13 Investigators should seek to provide longer-range follow-up in investigations using combined
14 motivational and volitional interventions based on the action phase model to evaluate whether
15 these relatively simple, cost-effective interventions achieve lasting change on behavioural
16 outcomes in research on alcohol intake.

17 Third, the present study did not include a no-measurement control group. The control
18 group received baseline and follow-up psychological and behavioural measures relating to
19 alcohol consumption, termed an 'assessment-only' control group. There was therefore potential
20 for the introduction of the measures to act as an intervention in itself and affect change in
21 drinking behaviour. The role of such measures in affecting behaviour change is a topic of
22 debate in the literature on behavioural medicine with studies reporting significant effects of
23 questionnaire measures on psychological and behavioural outcomes (Godin, Sheeran, Conner,
24 & Germain, 2008) and others reporting no effects (O'Sullivan, Orbell, Rakow, & Parker, 2004).
25 Researchers should seek to replicate the current intervention and include a no-measurement

1 control group to rule out the potential effect of measures to change alcohol consumption
2 (McCambridge, 2009).

3 Finally, while we adopted measures of behaviour as the dependent variable at baseline
4 and follow-up, the measures relied on participants to self-report their alcohol intake and
5 number of heavy episodic drinking occasions. Considerable emphasis has been placed on the
6 adoption of behavioural dependent variables to evaluate the effectiveness of interventions and
7 experimental manipulations (Decade of Behavior, 2009). However, the adoption of self-report
8 measures has considerable potential to introduce systematic error into data sets (Baumeister,
9 Vohs, & Funder, 2007). While we took care to adopt self-report measures that have
10 demonstrated satisfactory predictive validity in previous studies (Cooke et al., 2007; Murgraff
11 et al., 2001; Norman & Conner, 2006), it must be acknowledged as a limitation. The literature
12 on alcohol-related interventions and compliance is replete with self-report behavioural
13 measures as objective measures of alcohol compliance are elusive and costly in terms of
14 equipment and human resources. The development of easily administered objective measures
15 of alcohol intake for the normal population similar to measures of expired carbon monoxide for
16 smoking or pedometers for walking other than observation would be a very useful tool for use
17 in future research.

18 **Conclusions**

19 The present study demonstrated the efficacy of outcome mental simulation as an
20 intervention strategy to reduce alcohol consumption and heavy episodic drinking among
21 undergraduate students. Practically, psychologists, health professionals, and University health
22 services could implement such interventions in a relatively easy, cost-effective way by
23 embedding links to the outcome simulation strategy into emails and other online materials that
24 are distributed to students. This would be useful during University induction weeks and early
25 in the University year before students are socialised into 'typical' student drinking patterns
26 (Carpenter et al., 2008). Current findings also indicate that combining implementation

1 intention with outcome mental simulations will be particularly effective among undergraduates
2 with high alcohol intake. It may, therefore, be useful to include an implementation intention
3 exercise alongside the outcome simulation strategy in online materials. However, it may be
4 more effective if the combined intervention is targeted at those with high alcohol intake.
5 University health services which offer alcohol screening enabling them to identify students
6 with high alcohol intake are recommended to present materials containing the combined
7 intervention exercises for maximum effectiveness.

8

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Footnotes

¹Numerous guideline limits for alcohol exist. For the purpose of the present study we adhered to guideline limits published by StudentHealth (StudentHealth Ltd., 2005) and the UK government (Department of Health, 2009a) of 4 units for men and 3 units for women. In addition, we defined heavy episodic ('binge') alcohol drinking as 10 units in one session for men and 7 for women.

²Sample sizes were 64 for the sub-group consuming low numbers of units of alcohol at baseline (control condition, n = 14; implementation intention only condition, n = 25; mental simulation condition only, n = 15; combined implementation intention and mental simulation condition, n = 10), 83 for the sub-group consuming high numbers of units of alcohol at baseline (control condition, n = 32; implementation intention only condition, n = 25; mental simulation condition only, n = 21; combined implementation intention and mental simulation condition, n = 5), 83 for the sub-group with low frequency of heavy episodic drinking occasions at baseline (control condition, n = 23; implementation intention only condition, n = 30; mental simulation condition only, n = 16; combined implementation intention and mental simulation condition, n = 14), and 99 for the sub-group with high frequency of heavy episodic drinking occasions at baseline (control condition, n = 38; implementation intention only condition, n = 24; mental simulation condition only, n = 29; combined implementation intention and mental simulation condition, n = 8).

³The focused contrast tested the efficacy of the combined intervention condition relative to the mental simulation only, implementation intention only, and control conditions. The combined mental simulation and implementation intention condition was allocated a weight of -3 and the mental simulation only, implementation intention only, and control conditions each allocated a weight of +1 according to Rosenthal and Rosnow's (1985) recommendations. Univariate follow-up tests supported the focused contrast finding for participants with high numbers of units of alcohol consumed at baseline. Specifically, fewer

units of alcohol were consumed at follow up in the combined mental simulation and implementation intention condition ($M = 44.33$, $SD = 35.73$) relative to the mental simulation only ($M = 71.29$, $SD = 33.10$; $F(1, 23) = 6.16$, $p < .05$, $\eta^2_p = .21$), implementation intention only ($M = 74.43$, $SD = 34.70$; $F(1, 23) = 9.67$, $p < .01$, $\eta^2_p = .29$), and control ($M = 88.55$, $SD = 43.78$; $F(1, 35) = 11.80$, $p < .01$, $\eta^2_p = .25$) conditions.

Table 1

Baseline-Adjusted Means and Standard Errors of Psychological and Behavioural Variables by Condition

Variable	Intervention condition							
	Implementat- -ion Intention		Mental simulation		Combined		Control	
	<i>M</i>	<i>SE</i>	<i>M</i>	<i>SE</i>	<i>M</i>	<i>SE</i>	<i>M</i>	<i>SE</i>
Intention ^a	4.12	1.51	4.08	1.59	4.21	1.44	3.85	1.44
Attitude ^a	4.13	1.25	3.93	1.34	4.15	1.44	4.05	1.09
Subjective norms ^a	4.32	1.07	4.41	0.92	4.34	0.84	4.18	0.78
PBC ^a	4.73	1.02	4.71	1.21	4.80	1.02	4.75	0.95
Motivation ^a	3.60	1.45	3.65	1.43	3.85	1.23	3.52	1.34
Alcohol units ^b								
Intention to treat analysis ^c	45.78	1.23	43.64	1.20	43.45	1.56	47.49	0.96
Complete-case analysis ^a	40.31	2.56	33.81	2.73	30.41	4.02	46.26	2.34
Heavy drinking episodes ^d								
Intention to treat analysis ^c	4.00	0.27	3.26	0.21	3.40	0.27	3.62	0.17
Complete cases analysis ^a	3.91	0.49	2.59	0.52	2.36	0.77	3.21	0.44

Note. Statistics reported are baseline-adjusted means and standard deviations. PBC = Perceived

behavioural control; ^aCell n's = 68, 60, 29, 81. ^bSelf-reported number of units of alcohol. ^cCell

n's = 149, 155, 96, 238. ^dSelf-reported frequency of occasions where alcohol consumption exceeded 10 units for men and 7 units for women.

Figure 1. Participant flow diagram.

