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Author

Parker, Stephen, Meurk, Carla, Newman, Ellie, Fletcher, Clayton, Swinson, Isabella, Dark, Frances

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Understanding consumers' initial expectations of community-based residential mental health rehabilitation in the context of past experiences of care: a mixed-methods pragmatic grounded theory analysis.

Dr Stephen Parker*, Staff Specialist – Psychiatry

FRANZCP, MBBS, BSc(Hon 1), BBus(Man)

Rehabilitation ACU, Metro South Addiction and Mental Health Service (MSAMHS),
Brisbane, Australia

University of Queensland, School of Public Health, Herston, Australia

orcid.org/0000-0002-6022-3981

Dr Carla Meurk, Postdoctoral Research Fellow

PhD, M.Sc (Hons), Grad Dip Sc. , BSc

Policy and Epidemiology Group, Queensland Centre for Mental Health Research, Wacol,
Australia

University of Queensland, School of Public Health, Herston, Australia

orcid.org/0000-0002-5792-5229

Dr Ellie Newman, Clinical Researcher

DPsy(Clin), BPsySc(Psychology) (Hon)

Rehabilitation ACU, Metro South Addiction and Mental Health Service (MSAMHS),
Brisbane, Australia

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Mr Clayton Fletcher, Peer Support Worker

Rehabilitation ACU, Metro South Addiction and Mental Health Service (MSAMHS),
Brisbane, Australia

Ms Isabella Swinson, Peer Support Worker

Rehabilitation ACU, Metro South Addiction and Mental Health Service (MSAMHS),
Brisbane, Australia

Dr Frances Dark, Clinical Director

FRANZCP, MBBS

Rehabilitation ACU, Metro South Addiction and Mental Health Service (MSAMHS),
Brisbane, Australia

University of Queensland, School of Public Health, Herston, Australia

* Correspondence to: Em: stephen.parker@health.qld.gov.au

Mail: 128 Main Street, Redland Bay, Queensland, Australia 4165

1

2 DR. STEPHEN PARKER (Orcid ID : 0000-0002-6022-3981)

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11 Abstract

12 This study explores how consumers expect community-based residential mental-health
13 rehabilitation to compare with previous experiences of care. Understanding what
14 consumers hope to receive from mental health services, and listening to their perspectives
15 about what has and has not worked in previous care settings, may illuminate pathways to
16 improved service engagement and outcomes. A mixed methods research design taking a
17 pragmatic approach to grounded theory guided the analysis of 24 semi-structured
18 interviews with consumers on commencement at three Community Care Units (CCUs) in
19 Australia. Two of these CCUs were trialling a staffing model integrating peer-support work
20 with clinical care. All interviews were conducted by an independent interviewer within the
21 first six weeks of the consumer's stay. All participants expected the CCU to offer an
22 improvement on previous experiences of care. Comparisons were made to acute and sub-
23 acute inpatient settings, supported accommodation and outpatient care. Consumers
24 expected differences in the people (staff and co-residents), the focus of care, physical
25 environ, rules and regulations. Participants from the integrated staffing model sites
26 articulated the expected value of a less clinical approach to care. Overall, consumers'
27 expectations aligned with the principles articulated in policy frameworks for recovery-
28 oriented practice. However, their reflections on past care suggest that these services
29 continue to face significant challenges realizing these principles in practice. Paying
30 attention to the kind of working relationship consumers want to have with mental health
31 services, such as the provision of choice and maintaining a practical and therapeutic
32 supportive focus, could improve their engagement and outcomes.

33 Keywords

34 Rehabilitation, Schizophrenia, Residential services, Qualitative Research, Service-user
35 perspectives

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38 completion of this project are acknowledged. Associate Professor Dan Siskind from the

39 University of Queensland provided support and guidance in the analysis and presentation of
40 quantitative data.

41 Authorship statement

42 All authors listed meet the authorship criteria according to the latest guidelines of the
43 International Committee of Medical Journal Editors, and are in agreement with the
44 manuscript.

45 Declaration of interest

46 No conflicts of interest are identified.

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48

49 **Introduction**

50 Residential mental health rehabilitation services work to achieve recovery outcomes for
51 people who are predominantly diagnosed with schizophrenia and related disorders
52 (Killaspy, 2014; Meehan et al. 2017). These services are resource intensive, and the small
53 proportion of consumers who access them reflect a ‘low volume high needs’ group often
54 characterised by treatment resistance, co-morbidity, challenging behaviours and cognitive
55 impairment (Killaspy, 2014; Killaspy, et al. 2005; Meehan et al. 2017). Many of these
56 consumers will have had multiple and prolonged inpatient admissions, and experienced
57 problems in maintaining stable accommodation.

58 Internationally, there have been multiple calls for further increased proportionate funding
59 of rehabilitation services (Bond & Drake 2016; Edwards, Meier & Killaspy 2010;
60 Medibank Private Limited & Nous Group 2013; Wolfson, Holloway & Killaspy 2009).

61 However, there has been limited evaluation of the acceptability and effectiveness of
62 contemporary service models (Parker, Dark, Newman, Korman, Meurk, et al. 2016).

63 Despite limitations in the evidence base, these services are subject to iterative changes in

64 response to shifting policy frameworks, such as the integration of peer support workers and
65 partnership with non-government services in the delivery of rehabilitation care (Parker,
66 Siskind & Dark 2017).

67 One of the known challenges in rehabilitation units is that of engagement (Cook et al.
68 2016; Meaden et al. 2014; Meaden et al. 2012), with consumers not necessarily fully
69 utilizing the intensive support available. Many rehabilitation consumers will have had
70 contact with multiple services and have often not adequately responded to routine care.
71 Listening to and acting on their perspectives about what has and has not worked in previous
72 settings, and what they think they need, may illuminate pathways to overcoming apparent
73 ‘resistance’ to routine care (Leighton 2005; Tait 2005; Thornicroft & Tansella 2005).
74 Seeking comparative expectations may also provide a way to overcome ‘halo’ effects that
75 can be a limiting factor on consumers’ acknowledgement of the negative aspects of care
76 environments (Corrigan 2016). There has been limited research exploring consumers’
77 experiences and perspectives on residential rehabilitation services (McKenna 2017; Parker,
78 Siskind & Meurk 2017). This paper aims to overcome this gap by exploring consumers’
79 expectations of community-based recovery-oriented residential rehabilitation units, in
80 comparison to their previous experiences of mental health care.

81 Methods

82 Study protocol and related data

83 This paper presents one component of a longitudinal comparative evaluation of the
84 equivalence of an integrated peer-support and clinical staffing models for residential mental
85 health rehabilitation using a mixed methods protocol incorporating multiple stakeholder
86 perspectives. A detailed description of the research team, methods and context are provided
87 in the parent study protocol (Parker, Dark, Newman, Korman, Meurk, et al. 2016). The
88 focus of the current paper is consumers’ expectations on commencement at a CCU and
89 how these compare with previous experiences of care. This paper explores the dynamic and
90 contextual nature of how consumers' expectations are shaped; a companion paper is
91 available that considers consumers’ static concept of what consumers expect the CCU to be

92 (Parker, Dark, Newman, Hanley, et al. 2017). The qualitative analysis is supported by a
93 quantitative description and statistical analysis of the comparability of participant
94 characteristics across the three study sites.

95

96 **Study context**

97 The study took place at three CCUs within a large Australian mental health service. These
98 units provide community-based recovery-oriented mental health rehabilitation to people
99 whose functioning is affected by serious mental illness, primarily those with a diagnosis of
100 schizophrenia (Meehan et al. 2017; Parker, Dark, Newman, Korman, Rasmussen, et al.
101 2016). The service model describes the provision of 24-hour transitional rehabilitation
102 support to consumers in clustered independent living units over a 6-to-24-month period.
103 The support focuses on living skills development and community integration. Two of the
104 CCUs are trialling an integrated staffing model, where more than half of staff are employed
105 as peer support workers on the basis of their lived experience of mental health issues
106 (Parker et al. 2016). This staffing configuration contrasts with the traditional clinical
107 model, where nursing roles are the dominant staff type.

108 **Participants and ethical clearance**

109 Ethical approval was provided by the Metro South Human Research Ethics Committee
110 (HREC/14/QPAH/62). All consumers admitted to the CCU between December 2014 and
111 January 2016 were approached by either clinical staff or the independent interviewer (EN)
112 to participate in the parent study. Forty-eight of the 64 consumers admitted (75%) provided
113 voluntary informed consent. Participation in interviews was prioritised based on
114 availability and balancing interview participation across the three sites. At the conclusion
115 of the sampling process, eight participants from each site were interviewed (n=24).
116 Participant characteristics are provided in the Results to contextualize the sample, and have
117 also been reported elsewhere (Parker, Dark, Newman, Hanley, et al. 2017).

118 **Interview process**

119 Semi-structured qualitative interviews were used (Dicicco-Bloom & Crabtree 2006), and
120 the interview schedule was developed by SP, CM, FD & EN. The interview schedule
121 explored three topics: how participants came to be at the CCU; expectations of the CCU
122 experience; and comparative expectations to previous experiences of mental health care
123 (Parker, S., Dark, F., Newman, E., Korman, N., Meurk, C., et al. 2016). The third topic is
124 the focus of this paper. After three interviews were completed at each study site SP, CM
125 and EN met to review the adequacy of the interview schedule. Through this discussion, the
126 interview schedule was adapted to include the instruction to the interviewer to not use the
127 word 'recovery' unless this had introduced by the participant, and if used to explore their
128 understanding of the concept (Parker, Dark, Newman, Hanley, et al. 2017). The rationale of
129 this adaptation was to avoid leading participants, and to allow implicit recovery concepts to
130 emerge.

131 All interviews were completed within the initial 6-weeks of the participant's stay at the
132 CCU. This time frame was chosen as it coincides with the assessment phase before
133 commencing formal rehabilitation work. An assumption built into the sampling approach
134 was that the initial assessment experience and the associated expectations of rehabilitation
135 are distinct from the experience of rehabilitation. An independent interviewer, employed as
136 a research assistant, completed and audio-recorded all semi-structured interviews; these
137 were then transcribed and de-identified by an external transcription company. EN's field
138 notes were used to verify and enhance transcript understandability.

139 **Analysis**

140 The comparability of participant characteristics from the integrated and clinical staffing
141 model sites was evaluated using independent measures t-tests and χ^2 tests in SPSSv22. The
142 qualitative analysis followed a pragmatic grounded theory approach (Parker, Dark,
143 Newman, Hanley, et al. 2017; Parker, Dark, Newman, Korman, Meurk, et al. 2016; Parker,
144 Dark, Newman, Korman, et al. 2017), using an inductive-deductive interplay to analyse
145 content with a view to developing, confirming, refuting, and refining emergent themes and

146 the relationships between these across transcripts. The data collection, analysis and
147 associated theorizing occurred in tandem. This process was facilitated using an NVivo11
148 database (QSR 2016). The research team met after three interviews from each study site
149 were completed to consider the adequacy of the interview schedule, the initial coding
150 framework, and estimate the sample size required to achieve thematic saturation. Following
151 agreement on the initial coding framework, all subsequent interviews were coded by SP.
152 The research team collaboratively explored limitations in the coding and the extent to
153 which the emerging theory was grounded in the data.

154 The thematic analysis was supplemented through quantification of the number of
155 participants referring to a given concept and related content. This was done to increase
156 transparency of pattern recognition and emphasis occurring through the analysis
157 (Sandelowski 2001). The following quantifiers were applied in text: some (N=1-11); half
158 (N=12); most (N=13-23) and all (N=24). Additionally, for concepts uniquely relevant to
159 the integrated staffing model the following qualifiers were used: some (N=1-7); half (N=8);
160 most (N=8-15) and all (N=16). Transcript excerpts best illustrating concepts were selected
161 through author consensus.

162 A conceptual map outlining key themes emerging from the analysis was developed by SP.
163 Key themes emerging from the data and the relationships between these ('conceptual
164 relationships') reflect the research team's interpretation of the concepts best synthesising
165 the data. 'Conceptual elaboration' provides links from our interpretations to specific
166 participant-generated detail.

167 The draft manuscript was circulated to two CCU staff with a lived experience of mental
168 health issues (CF & IS) for feedback. Their feedback was incorporated into the revision of
169 the manuscript and guided the development of the discussion. Respondent verification was
170 used to enhance the trustworthiness of the analysis. Prior to finalisation, the conceptual
171 map and conclusions drawn were explored with 29 current residents and 19 staff across the
172 CCU sites.

173 Results

174 Participant and interview characteristics

175

176 Participant and interview characteristics are presented in Table 1. The high representation
177 of males (75%) and prevalence of schizophrenia (87%) in the sample is consistent with
178 established service utilisation patterns (Meehan et al. 2017). Characteristics of participants
179 from the integrated and clinical staffing model sites were comparable except for the
180 proportion admitted from the community rather than inpatient facilities, the chlorpromazine
181 dose equivalence and the prescription of clozapine. As reported elsewhere, participants at
182 the integrated staffing model sites were more likely to be admitted from the community
183 ($\chi^2_{(1)} = 9.38, p < .05$), had lower chlorpromazine equivalent doses of antipsychotics ($t_{(22)} = -$
184 $2.40, p < .05$), and were more likely to be prescribed clozapine ($\chi^2_{(1)} = 4.00, p < .05$) (Parker,
185 Dark, Newman, Hanley, et al. 2017). The difference observed in the total chlorpromazine
186 level was no longer significant after the exclusion of a single outlier from the clinical
187 staffing model group. Despite differences between the mode of referral to the CCU most
188 participants made comparisons in their interviews to psychiatric inpatient services (20/24).
189 However, participants from the integrated staffing model site were more likely to make
190 comparisons to outpatient services (10/16) than those from the clinical staffing model site
191 (2/8). Comparisons to supported accommodation services were only present in transcripts
192 from the clinical staffing model site (2/8).

193 [INSERT TABLE 1 HERE]

194 Table 1: Characteristics of consumers completing interviews from the integrated and
195 clinical staffing model sites. Standard deviations are provided in brackets where relevant.
196 Reproduced with permission from Parker, Dark, Newman, Hanley, et al. (2017).

197 Thematic analysis

198

199 Figure 1 provides a conceptual map outlining key themes and the relationships between
200 them that emerged through the analysis. Figure 2 presents the coding framework which was
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201 organised across up to four levels: Concept (Level 1); Feature (Level 2); Descriptor (Level
202 3); Descriptor – detail (Level 4). Despite differences in the mode of referral between
203 participants from the clinical and integrated model sites, there was an overall consistency in
204 the themes emerging from participants across the sites. On the basis of their initial
205 experience, all participants expected that the CCU would differ favourably to the types of
206 mental health services they had experienced previously (24/24), and which were generally
207 construed negatively. Comparisons were made to acute and sub-acute inpatient settings,
208 community mental health care and supported accommodation services.

209 [INSERT FIGURE 1 HERE]

210 Figure 1: Conceptual Map – Consumers' expectation that the community-based residential
211 rehabilitation unit will be better than previous experiences of mental health support and
212 care.

213 [INSERT FIGURE 2 HERE]

214 Figure 2: The final coding framework of expectations of a community-based residential
215 rehabilitation unit in comparison to other experiences of mental health care and support.

216 **People**

217

218 Consumers expected to encounter different people (i.e. staff 24/24 and co-residents 13/24)
219 and a different physical environment (11/24, compared to inpatient and supported
220 accommodation settings), with these features anticipated to impact positively on how the
221 unit is regulated (21/24). Most participants discussed the increased availability of staff
222 (16/24); this included reference to the time pressures of inpatient settings and limitations in
223 continuity and after-hours support from community teams.

224 Um, it's been better 'cause you get more support and people listen to you properly...

225 Yeah and even when you're not in the in-patient ward, like, sometimes it takes - it's

226 a long process before you can sort of get real help. [INT082]

- 227 [I]t's good here because... with the other mental health services... I've...
228 [experienced where] you stay with someone and you tell them everything about
229 your problems and what's going on with your head. And then they - a couple of
230 months later you get a new case manager or something. [CLIN094]
- 231 [T]hey're always around whenever you need them. And, yeah it's just good to have
232 that 24-hour support. Whereas with my case manager you know I could only call
233 her during work hours... [INT004]
- 234 The perception that staff would be less clinical in their approach was also raised by half the
235 participants (12/24). This theme emerged more frequently in transcripts from the integrated
236 staffing model (10/16).
- 237 [I]t doesn't feel much like the medical model, like at the hospital... [CLIN010]
- 238 A key feature relating to this theme raised by some participants was the belief that staff at
239 the CCU had a more genuine desire to help (10/24); additionally, some participants from
240 the integrated staffing model believed staff to be more positive and hopeful (see Figure 3).
- 241 [T]he staff here really want to do anything they can to help... [Later elaborating] I
242 thought it would be like the hospital and I thought that the, um, sort of - the living
243 arrangements, um, would be a bit of a - like a farce. [CLIN090]
- 244 I can imagine sometimes in inpatient units, the staff might be a little bit more
245 stressed and a bit more abrasive... [at the CCU] I can see that they're - they just
246 generally - genuinely want to help if you know what I mean?... [T]hey don't judge
247 us... just there's no bullshit about them... [INT050]
- 248 In contrast, some participants (3/24) indicated that the staff would be 'the same'
249 (CLIN053). Two of these participants reflected positively on past experiences of care and
250 one of them negatively.
- 251 Focus of support
252

253 Most participants expected the focus of support would be different to that experienced in
254 acute and sub-acute inpatient care (15/24). Participants spoke about specific features of the
255 care they anticipated, such as the ability for the service to provide more active (11/24),
256 practical (6/24) and individualised support (5/24), as well the desire for a focus on
257 counselling/therapy rather than medication (4/24).

258 [T]hey've got more stuff going on and happening [compared to inpatient care].
259 [INT056]

260 [Y]ou get more support and people listen to you properly... They directly help you
261 with, um, proper issues. Like issues that are arising daily [as compared to multiple
262 inpatient admissions]. [INT082]

263 When I was in... [a transitional housing program, I]... just felt like I was another
264 number there. [CLIN100]

265 I had no counselling [in the acute inpatient setting]. I wasn't offered anything, no
266 support at all. And I found that one of the toughest things to deal with when I was in
267 there. [INT056]

268 Physical environment

269

270 Consumers expected that the physical environment combined with more supportive and
271 less clinical staff attitudes would enable a different focus of care. Distinctive features of the
272 physical environment in comparison to inpatient and supported accommodation settings
273 were its attractiveness (8/24), access to one's own space (5/24), and reduced density
274 (crowding) (4/24).

275 Better than anything I've known... [Later describing inpatient facilities as] Just
276 horrible and place is dirty and just not - it's not a good place or an environment for
277 old people. [INT082]

278 [Y]ou get your own space... it is a kind of freedom having your own space.
279 [CLIN022]

280 I think on the inpatient ward it is very crowded... so you see a lot more illness...
281 and maybe a little bit of bad behaviour... I haven't encountered any of that here.
282 [CLIN090]

283 Participants anticipated that less clinically focused staff, combined with more space and
284 independent living units, would permit a focus on more practical support and opportunities
285 for recreational activity. The privacy afforded in this setting (compared to inpatient units)
286 and perceived availability of willing and helpful staff appeared to be linked to more
287 individualised and therapeutically focused support.

288 Rules and regulations

289

290 Differences in staff attitudes were expected to contribute to fewer rules and regulations by
291 most participants (21/24); this included 'having choices' (14/24), as illustrated by
292 descriptions of the opportunity to make your own decisions (12/24) and to make mistakes
293 (2/24), as well as being provided with more options (2/24).

294 [L]ike being given more freedom you're able to get your life on track, opposed to
295 sort of being restricted... [Comparison to inpatient facilities, INT005]

296 [I]t's not like other rehab facilities or anything... if you make a mistake you sort of
297 pick yourself back up and learn from the mistake and stuff... It's really good.

298 [CLIN094]

299 [Y]ou get more options here, but in hospital, you don't get as many... you have to
300 go through a fair few steps in order to get... where you want to get. [CLIN041]

301 Some participants described the CCU as a more relaxed and casual environment (10/24);
302 including reference to it being less strict and rule-driven (6/24), less intrusive (5/24), and
303 less stressful or chaotic (4/24).

304 [I]t's been great. I thought it would be a lot more stricter than what it is [laughs] to
305 be honest. [Later elaborating about freedom to leave the unit in contrast to inpatient
306 care, INT050]

307 [I]t goes down to the freedom thing as well... it's a lot better here... [In inpatient
308 care] I'm constantly being watched... [INT066]

309 [N]ot stressful compared to our mental health units. You know, like I can never
310 relax in a mental health unit. [CLIN053]

311 Increased freedom in the CCU setting appeared to be linked, for consumers, to the
312 expectation of being able to make choices, which was in turn linked to the expected focus
313 of support being more individualised and facilitative of achieving desired changes.

314 The physical environment of the CCU, as well as the different ways the different rules and
315 regulations operated in this space, were linked to the expectation of different interactions
316 with co-residents for most participants (13/24). Freedom, an attractive environment and
317 less co-residents having less severe symptoms was linked to consumers' beliefs that co-
318 residents would be easier to get along with (7/24) and that there would be more natural
319 opportunities for friendship formation (5/24).

320 [Co-residents at the CCU compared with co-patients in the inpatient unit, are] a lot
321 more open. They're quite friendly. [INT069]

322 Well, with CCU, you get to know them a little bit more. You get to have
323 conversations and hang out a little more, so you understand who they are as a
324 person, and unlike in hospital... you don't get as much time to get to know them...
325 [CLIN041]

326 In contrast, a single participant discussed the negative impact of the individualised
327 treatment focus at the CCU on opportunities for 'group unity' amongst co-residents
328 compared to other treatment settings.

329 [I]t's not like places I've been before where you have that... group unity... Where
330 everyone is... like... we're all doing something at like any group, um to kind of
331 break down that social barrier... [INT050]

332 Some participants discussed the opportunity for mutual support with co-residents that
333 would be facilitated by the CCU setting (4/24, see Figure 3 for additional detail).

334 [Co-residents are] just not afraid to talk about what they are going through... and
335 wanting to help and everything... [INT066]

336 **The value of integrating peer support with routine clinical care**

337

338 While there was overall congruence in consumers' discourses, differences emerged
339 between participants from the integrated and clinical staffing model sites with regards to
340 their expectations of staff being less clinical and the expected impact of peer support
341 workers on the experience of care. The value placed on the expectation that staff would be
342 'less clinical' emerged more strongly in transcripts from the integrated staffing model sites
343 (10/16 compared to 2/8 of the clinical staffing model participants). Participants from the
344 integrated site explicitly referred to notions of 'clinical' or 'medical model' care, associating
345 it with a distant or 'standoffish' interpersonal style; being analysed, judged or mistreated;
346 'aggressive' questioning; staff preoccupation; 'panic' around medication; and repetitive
347 focus on symptoms.

348 Most participants from the integrated staffing model sites independently discussed the
349 expectation that integrating peer support workers into routine clinical care would improve
350 the experience of care (see Figure 3). Additionally, the expectation that staff would be
351 more positive and hopeful at the CCU was only evident in the transcripts from the
352 integrated staffing model sites. Interestingly, few participants discussed the role of informal
353 peer support from co-residents (4/24), and the proportion considering this was higher at the
354 clinical model site where formal peer support was absent (2/8 versus 2/16).

355 [INSERT FIGURE 3 HERE]

356 Figure 3: Expectations of the integration of peer support with routine clinical care
357 under the integrated staffing model for residential mental health rehabilitation.

358 Discussion

359 The desire to be supported in the CCU in a different way to past experiences of care was
360 pervasive, as was dissatisfaction with previous care settings. These findings have important
361 implications for mental health nurses and other staff working in inpatient and community
362 mental health services. The differential support consumers hoped to receive at a CCU was
363 consistent with the principles of 'support for personally defined recovery' and the
364 characteristics of the 'working relationship' articulated internationally in policy frameworks
365 defining recovery-oriented mental health care (Le Boutillier et al. 2011). These recovery
366 principles were mirrored in the expected focus on individualised, practical support and the
367 articulated value of 'having choices' evident in participant transcripts. Consumers want to
368 be supported in a manner consistent with what current policy frameworks suggest services
369 should be providing, but their narratives suggest that other mental health care and support
370 settings are failing to deliver this. The misalignment between consumer expectations and
371 past experiences of care suggests ongoing challenges in translating mental health policy
372 into recovery-oriented care.

373 The expectations that consumers in this study had of a CCU align with the positive aspects
374 and facilitators of recovery identified by consumers reflecting on their experiences in
375 mental health services generally (Lietz et al. 2014) and other Australian sub-acute
376 community-based residential settings (Lee et al. 2014; Thomas & Rickwood 2016).
377 Reflections on the positive aspects of residential services include the promotion of
378 independence, a more relaxed and social environment, provision of practical help, and the
379 availability of 'supportive and caring' staff (Lee et al. 2014). Such settings were viewed as
380 facilitative of recovery through the availability of individualized support, fostering personal
381 responsibility through involvement in decision making, as well as opportunities for peer
382 support and social interaction in a 'community context' (Thomas & Rickwood 2016). This
383 convergence adds further weight to the relevance of listening to and acting on consumer
384 perspectives about services to better support them in their recovery journey.

385 Enthusiasm about the availability of peer support through an integrated staffing model
386 suggests that this may provide a pathway to actualising recovery-oriented mental health

387 service delivery and improving consumer engagement at rehabilitation services. This
388 finding is consistent with the positive expectations consumers have expressed about the
389 availability of peer support in other studies (Lietz et al. 2014), as well as emphasis on the
390 importance of finding common ground in the case management literature (Buck &
391 Alexander 2006). Consumers entering the sites trialling the integrated staffing model
392 emphasised the hope that care would be 'less clinical', and the theme of staff being 'more
393 positive and hopeful' emerged only in their transcripts. These differences suggest that
394 participants were aware of differences in the staffing composition and expected that these
395 to change their working relationship with staff.

396 Mental health services have struggled to transform existing services in line with recovery
397 principles, and the availability of formal peer support has been proposed as one way to
398 facilitate this (Lloyd-Evans et al. 2014; Parker, et al. 2016; Slade et al. 2014). While the
399 limitations in the quality of the existing evidence base supporting the role of peer support in
400 mental health service delivery must be acknowledged (Lloyd-Evans et al. 2014), our
401 findings support this proposition. Whether the integrated staffing model is robust enough to
402 overcome the risk of assimilation of values with the clinical paradigm (Parker et al. 2016)
403 remains to be tested (Parker, Dark, Newman, Korman, Meurk, et al. 2016).

404 It is interesting that the availability of informal peer support did not emerge strongly in
405 consumers' comparative expectations. This could be because participants have not
406 experienced mutual peer support in past residential settings as a basis for comparison. The
407 possibility that availability of formal peer support under the integrated staffing model
408 inhibits informal peer support from developing also needs to be considered. The value of
409 the professionalisation of a peer workforce remains unresolved (Beales & Wilson 2015)
410 and it is important to acknowledge the risk that formalizing peer 'work' may limit the
411 development of naturalistic support networks sustainable beyond the service context.
412 Further research is warranted to explore the impact of increased availability of formal peer
413 support on the role of informal peer support in residential care.

414 It is important to emphasise that expectations and hopes of participants on commencement
415 at a CCU should not be confused with the reality or outcomes of care in this setting. Future

416 qualitative and quantitative research exploring the impact of expectations on engagement
417 and outcomes of care is needed. Based on this sub-study we propose the hypothesis that
418 positive initial expectations may enhance engagement with relevant rehabilitation support.
419 However, a service failing to meet these expectations may also contribute to consumer
420 disengagement over time.

421 *Limitations*

422

423 The findings of this study are context dependent, and case-to-case transferability to other
424 settings should be undertaken with consideration of contextual similarity. Several factors
425 may have influenced participant disclosure and the analytic process. The completion of
426 interviews in the initial six weeks of the participant's stay means that the comparative
427 expectations provided were influenced by the initial experiences of care. The extent to
428 which these expectations are similar to those held before commencement with the service
429 cannot be determined. Furthermore, retrospective biases and state-dependent recall may
430 have impacted participants' comparative expectations. Additionally, the interview situation
431 may have inhibited disclosure of negative expectations of the CCU due to power
432 differentials between residents and staff, and the dual roles of several members of the
433 research team. Alternatively, a honeymoon effect relating to change in care environment
434 (Leon et al. 2016), may have contributed to the favourable comparative expectations.
435 However, the independence of the interviewer and the use of respondent verification with
436 residents who were both within and outside of this trial period, work to enhance the
437 trustworthiness of the analysis.

438 The initial coding framework was developed by SP who held dual roles as a clinician-
439 researcher at the two integrated staffing model sites, and had previously held a clinical role
440 at the other site. As such he was theoretically sensitised to the relevant literature and
441 organisational context. However, the grounding of analytic inferences in the data was
442 encouraged through the diversity of the analytic team (including multiple outsider and lived
443 experience perspectives) and use of respondent verification. Furthermore, sampling was
444 completed in this study on the basis of thematic rather than theoretical saturation (O'Reilly

Integrated staffing (n=16)	Clinical staffing (n=8)	Total (N=24)	Test	p
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445 & Parker 2012). Regardless, a cohesive theory of key concepts and their inter-relationships
446 emerged through the analysis.

447 *Conclusions and relevance for clinical practice*

448

449 Consumers commencing at three Australian CCUs expected that their experience would
450 differ favourably from previous experiences of mental health care and support. Participants
451 described multiple challenges associated with the care experiences in community and
452 inpatient mental health settings. Their hopes for the rehabilitation experience aligned with
453 many of the principles outlined in policy frameworks for recovery-oriented practice. This
454 finding suggests that mental health services continue to struggle to translate recovery
455 oriented policy initiatives into recovery oriented practice. Nursing and other mental health
456 staff should pay attention to the kinds of working relationships consumers want to have
457 with rehabilitation services, including emphasis on the provision of choice and support that
458 is practically and therapeutically focused. Better alignment between consumer expectations
459 and staff practices has the potential to improve both engagement and outcomes. Further
460 research is needed to confirm the hypothesis that the substantive integration of peer support
461 workers with clinical staff in these services will provide a pathway to achieving recovery-
462 oriented practice and improved consumer engagement.

Demographics	Mean	%	Mean	%	Mean	%		
Age at admission (years)	28(7.2)	-	33(8.2)	-	30(7.8)	-	$t_{(22)} = -1.51$;	.15
Proportion male sex	-	69	-	88	-	75	$\chi^2_{(1)} = 1.00$.32
Unemployment	-	100	-	100	-	0	n/a	n/a
Admitted from the community	-	88	-	25	-	67	$\chi^2_{(1)} = 9.38$.00
Interview length (minutes)	20(6.9)		20(4.4)	-	20(6.0)	-	$t_{(22)} = 0.09$.93
Primary diagnosis								
Schizophrenia spectrum disorders*	-	94	-	75	-	87	$\chi^2_{(1)} = 1.71$.19
Secondary diagnoses								
Substance use disorder (non-tobacco)	-	63	-	38	-	54	$\chi^2_{(1)} = 1.34$.25
Personality disorder	-	13	-	0	-	8	$\chi^2_{(1)} = 1.09$.30
Obsessive compulsive disorder	-	6	-	0	-	4	$\chi^2_{(1)} = 0.52$.47
Acquired brain injury	-	0	-	13	-	4	$\chi^2_{(1)} = 2.09$.15
Autistic spectrum disorder	-	6	-	13	-	8	$\chi^2_{(1)} = 0.27$.60
Treatment								
Involuntary Treatment Order	-	50	-	50	-	50	$\chi^2_{(1)} = 0.00$	1
Chlorpromazine equivalent dose (mg)	517(256.9)	-	906(538.9)	-	646(411.5)	-	$t_{(22)} = -2.40$.03 [#]
Depot prescribed	-	50	-	50	-	50	$\chi^2_{(1)} = 0.00$	1
Clozapine prescribed	-	38	-	0	-	25	$\chi^2_{(1)} = 4.00$.05
Antipsychotic polypharmacy	-	38	-	75	-	50	$\chi^2_{(1)} = 3.00$.08
Mood stabiliser prescribed	-	19	-	38	-	25	$\chi^2_{(1)} = 1.00$.32
Antidepressant prescribed	-	44	-	38	-	42	$\chi^2_{(1)} = 0.09$.77
Routine outcome measures								
Total HoNOS score (mean)	12(6.4)	-	11(6.7)	-	12(6.4)	-	$t_{(22)} = 0.53$.60
Total LSP-16	12(6.6)	-	15(9.0)	-	13(7.5)	-	$t_{(22)} = -1.09$.29
Total MHI (%)	57(19.3)	-	64(18.6)	-	59(19.0)	-	$t_{(22)} = -0.80$.43

* ICD10 diagnoses F20.x & F25.x

[#] $p > .05$ when a single outlier was excluded from the analysis.

463

464 Table 1: Characteristics of consumers completing interviews from the integrated and
 465 clinical staffing model sites. Standard deviations are provided in brackets
 466 where relevant. Reproduced with permission from Parker, Dark, Newman,
 467 Hanley, et al. (2017).

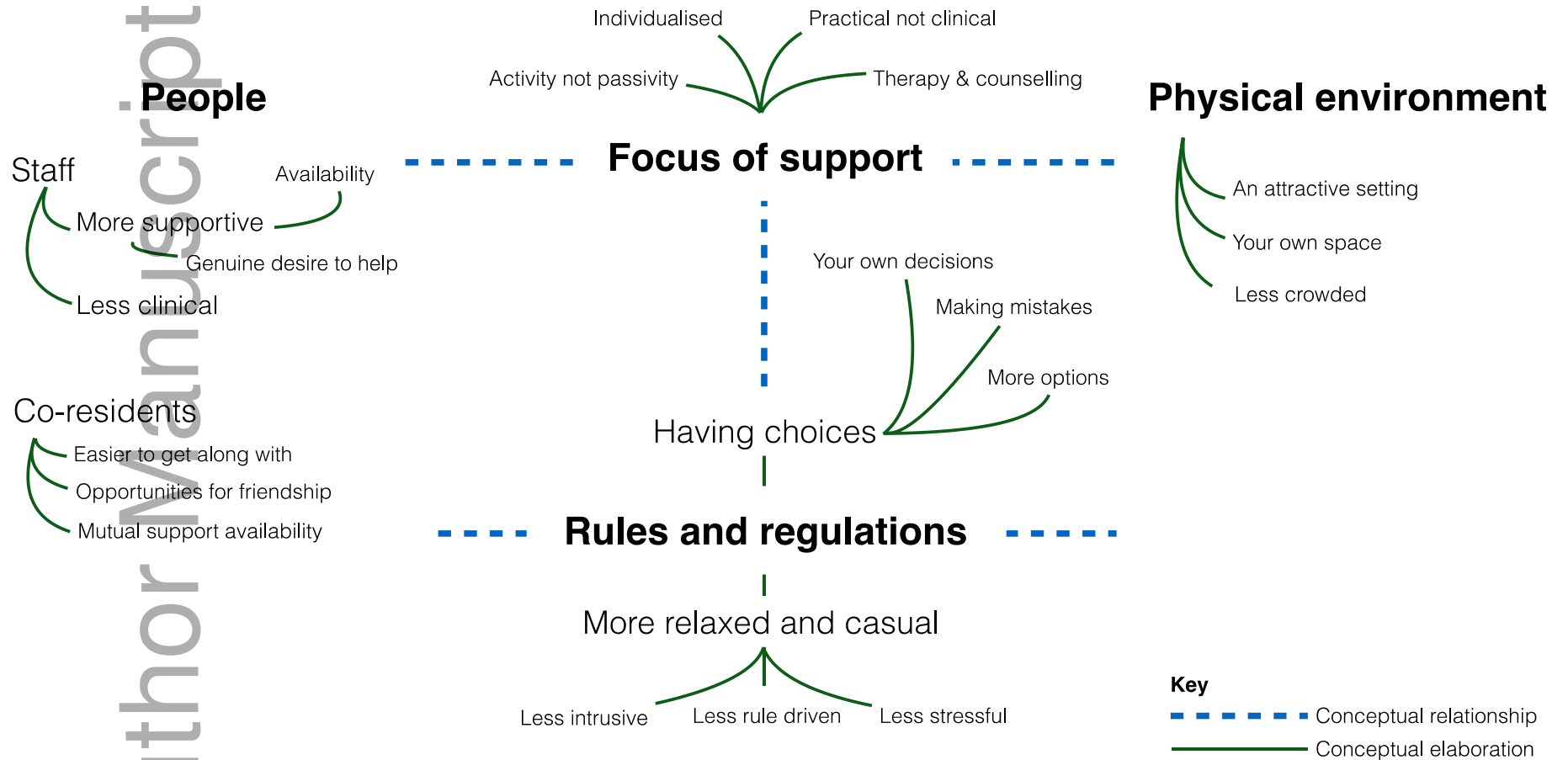


Figure 1: Conceptual Map – Consumers’ expectation that the community-based residential rehabilitation unit will be better than previous experiences of mental health support and care.

Level 1: Concept	Level 2: Feature	Level 3: Descriptor	Level 4: Descriptor (detail)
People (24/24)	Staff (24/24)	More supportive (21/24)	Availability (16/24) Genuine desire to help (10/24)
		Less clinical (12/24)	-
		More positive / hopeful (6/24) [^]	Non-judgemental (3/24)
		The same (3/24)	Positive (2/24) Negative (1/24)
	Co-residents (13/24)	Easier to get along with (7/24)	-
		Opportunities for friendship (5/24)	-
		Mutual support available (4/24)	-
Environmental regulation (21/24)	Having choices (14/24)	Making your own decisions (12/24)	-
		Being allowed to make mistakes (2/24)	-
		You get more options (2/24)	-
	A relaxed & casual environment (10/24)	Less intrusive (5/24)	-
		Less strict or rule driven (6/24)	-
		Less stressful or chaotic (3/24)	-
Focus of support (15/24) [*]	Activity not passivity / boredom (11/24)	-	-
	Practical not clinical (6/24)	-	-
	Individualised treatment (5/24)	-	-
	Counselling & therapy > medication (4/24)	-	-
Physical environment (11/24) [#]	An attractive setting (8/24)	-	-
	Own space (5/24)	-	-
	Less crowded / more space (4/24)	-	-

[^] Only evident in integrated staffing model transcripts

^{*} Compared to acute / sub-acute inpatient care

[#] Compared to acute / sub-acute inpatient care and supported accommodation

Figure 2: The final coding framework of expectations of a community-based residential rehabilitation unit in comparison to other mental health care and support settings.

Level 1: Concept	Level 2: Feature	Level 3: Descriptor	Illustrative transcript extracts
People (24/24)	Staff (24/24)	Peer workers are people you can relate to (7/16)	<p>I think peer workers are... the biggest addition to [the CCU model, I] just find that you can relate to them a lot more... I think just having... the peer support workers is like [...a] really good step... because they've lived through it... [INT045]</p> <p>[Peer workers] have a good understanding of what mental illness is like and... how they as a helper and me as a patient should respond to it. [INT072]</p> <p>[T]hose peer support workers here. I think that was the best idea... [the service] has ever had because its really hard for someone without mental illness to understand what you're going through... so they've got pretty much... hands on experience, from personal experience. And they don't freak out... Like they know just as much as the clinical staff, if not more because they experience these things. [INT056]</p>
		Breaking down traditional barriers to rapport and communication (5/16)	<p>[Y]ou only really see the [clinical] staff when it's – you know got an appointment or something... Whereas the peer workers, you know they're here 24/7... so you can actually get more of a chance to sit down and talk to them about... just about anything... All the [clinical] staff and the peer workers do talk so you know you talk to the peer workers and they get to know you and they sort of communicate that to the staff. So the staff [are] getting to know you better as well. [INT004]</p> <p>[In describing what is good about the CCU compared to previous care] [I]t makes me feel like yeah, you know, they want to help out, you know, without doing... just paperwork and all what doctors do. [The doctor] actually comes out and be's in a group with us. [INT047]</p>
		More positive / hopeful (6/24)	<p>[Peer workers] give me hope because I look at them and think, you know, that could be me one day. I could be working for... [the service] teaching other people to live... [INT056]</p> <p>[Peer workers] have a good understanding of what mental illness is like and... how they as a helper and me as a patient should respond to it... if I start whining and being pessimistic about what I'm doing, I... get the positive feedback that explains look that's the wrong way you're looking at it. [INT072]</p> <p>[CCU staff are] always positive, un they're always saying hi and how/re you going, you know and just being supportive, which is a really good thing. Sometimes, I need that to just get out of my own bad headspace you know. [INT050]</p>

Figure 3: Expectations of the integration of peer support with routine clinical care under the integrated staffing model for residential mental health rehabilitation.

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